

EIA-858

URANIUM MARKETING ANNUAL SURVEY

YOUR RESPONSE IS REQUIRED BY LAW

This report is mandatory under Title 15 U.S.C. §772(b). Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by Title 15 U.S.C. §797. Title 18 U.S.C. §1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

PURPOSE

The Form EIA-858 *Uranium Marketing Annual Survey* is used to collect data about the U.S. uranium market on uranium contracts, deliveries (during the report year and for the next ten years), inventories, enrichment services purchased, use in fuel assemblies, feed deliveries to enrichers (during the report year and for the next ten years), and unfilled market requirements for the next ten years. The data are used by Congress and the public. The data collected on this form may appear in the following U.S. Energy Information Administration (EIA) publications: *Uranium Marketing Annual Report and Domestic Uranium Production Report - Annual*.

Firms and individuals that are involved in the (domestic) U.S. uranium industry must complete the Form EIA-858. It is completed by owners and operators of U.S. civilian nuclear power reactors, uranium brokers and traders, uranium converters, uranium enrichers, uranium fabricators, uranium producers and the U.S. Government.

RESPONSE DUE DATE

The Form EIA-858 should be submitted to EIA by xx/xx/xxxx.

HOW TO FILE A RESPONSE

To facilitate the processing of data, the use of EIA forms is required. The form can be downloaded in XLS format on the EIA website, which can be accessed from <http://www.eia.gov/survey/#eia-858>

Survey respondents can submit data electronically using EIA's secure Single Sign-On internet data collection system. This system uses security protocols to protect information against unauthorized access during transmission. If you have not registered with EIA's Single Sign-On system, send an e-mail requesting assistance to eia4usa@eia.gov. If you have registered with Single Sign-On, log on at <https://signon.eia.doe.gov/ssoserver/login>. If you are having a technical problem with accessing or using the Single Sign-On system, send an e-mail requesting assistance to eia4usa@eia.gov.

Respondents can also use the EIA's Secure File Transfer system to submit their data. With this Internet-based option, EIA uses security protocols to protect the information against unauthorized access during transmission. EIA does not accept email, fax, or paper forms.

Data Submission Method

By Single Sign-On Internet Data Collection System: <https://signon.eia.doe.gov/ssoserver/login>

Secure File Transfer: <https://signon.eia.doe.gov/upload/noticeoog.jsp>

EIA does not accept email, fax, or paper forms.

QUESTIONS

Please contact the EIA Survey Support Team using the following communication methods:

By email: eia4usa@eia.gov
By phone: 1-855-EIA-4USA (1-855-342-4872) [Monday through Friday, 8:00 AM to 6:00 PM E.T.]

HOW TO USE EIA'S SECURE FILE TRANSFER

EIA is ensuring the security of your transactions by using the latest Internet security technology. The technology being used to protect your data is encryption which is the scrambling of data into a code that is unreadable to anyone who does not have the key that deciphers it. The secure hypertext transfer protocol (HTTPS) is a communications protocol designed to transfer this encrypted information between computers over the internet. All information is protected by 128-bit encryption to maintain the privacy and confidentiality of your data. The only thing you need to take advantage of strong encryption technology is a secure browser, one that supports 128-bit encryption.

1. Go to the EIA Secure File Transfer system located at <https://signon.eia.doe.gov/upload/noticeoog.jsp>
2. Read the Agreement and then click the **Accept** button.
3. Enter your name, company name, phone number and email address into the boxes provided. Note that the email address is required so that we can send you a confirmation of the receipt of your data.
4. Click on the **Choose Files** button to navigate to your saved Excel file submission. Select the file to upload and click on the **Open** button.
5. If you are ready to submit your file, click on the green **Submit File(s)** button. Please be patient, it may take a few minutes to upload your file. Do not close your browser during this upload. A confirmation page will be displayed with a Submission Successful banner and indicate the names of the files you have transferred, a confirmation number and the date and time of the transfer.

SANCTIONS

The timely submission of Form EIA-858 by those required to report is mandatory under 15 U.S.C. §772 (b). In accordance with 15 U.S.C. §797, failure to respond may result in a civil penalty of not more than \$12,937 each day for each violation. The government may bring a civil action to prohibit reporting violations, which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements.

REPORTING BURDEN

Public reporting burden for this collection of information is estimated to average 26 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Energy Information Administration, Survey Development and Statistical Integration, EI-21, Forrestal Building, 1000 Independence Avenue S.W., Washington, D.C. 20585-0670; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503. A person is not required to respond to the collection of information unless the form displays a valid OMB number.

DISCLOSURE OF INFORMATION

The items RESPONDENT IDENTIFICATION Company Name and OTHER PARTY NAME (Item 1.A.), and SELLER'S NAME (Item 3.B.) on Form EIA-858 will be considered public information and may be publicly released in company or individually identifiable form. All other information you provide on Form EIA-858 will be used for statistical purposes only and is confidential by law. In accordance with the Confidential Information Protection and Statistical Efficiency Act of 2002 and other applicable Federal laws, your responses will not be disclosed in identifiable form without your consent. Per the Federal Cybersecurity Enhancement Act of 2015, Federal information systems are protected from malicious activities through cybersecurity screening of transmitted data. Every EIA employee, as well as every agent, is subject to a jail term, a fine, or both if he or she makes public ANY identifiable information you reported.

SPECIFIC INSTRUCTIONS

ITEM 1. CONTRACT covers all *Purchase, Sale, Exchanges, Loans, Loan Repayments, and/or Other* transactions (transfer of title) active during the Survey Year. Exchanges include physical material, country of origin, and ownership changes of uranium. Report each contract that was active or that was completed (performed) by your firm during the Survey Year. If, during the Survey Year, your firm held or entered into other contracts, a separate Item 1 must be completed to report each such new contract, including those completed during the year. EIA must be able to account for all *transfers of title to uranium materials* during the Survey Year. Any transaction that involved a transfer of title, i.e., the Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other should be reported. A separate Item 1 must be completed to report all information requested under Items 1.A. through 1.F. for a transfer-of-title contract during the Survey Year. Under certain conditions, a Sale or Purchase of separative work units (SWU) in the secondary market constitutes a transfer of title to uranium. A contract that involves, in fact, a transfer of title to enriched uranium for a title to natural uranium, or vice-versa, should be reported as an Exchange.

Item 1.A. Other Party Name: The other party or parties named in the contract.

Item 1.B. Date Contract Signed: Give the date the contract was originally signed. If applicable, give the latest date it was renegotiated and indicate whether this was price related.

Item 1.C. Contract Type:

Spot Contract - A one-time delivery of the entire contract quantity to occur within one year of contract execution.

Long-Term Contract - One or more deliveries to occur after a year following contract execution.

Item 1.D. Uranium Deliveries in Reporting Year

Item 1.D.1. Transaction Type: Indicate whether each delivery is a Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other transfer of title. If Other, specify. If more than one transaction type is involved, use the next row, and so on. For a Loan or Loan Repayment, indicate whether your firm was the Lender or the Borrower. An Exchange must be marked appropriately as sent and received separately for each delivery.

Item 1.D.2. Material Type:

U₃O₈ – Uranium oxide (Uranium concentrate or yellowcake)

Natural UF₆ – Uranium Hexafluoride

Enriched Uranium – Uranium in which the U-235 isotope concentration has been increased to greater than the 0.711 percent U-235 (by weight) present in natural uranium

Item 1.D.3. Country of Origin: Enter the country in which the uranium was mined.

Item 1.D.4. Quantity (thousand pounds): Enter quantity of uranium oxide (U₃O₈) and U₃O₈ equivalent uranium-component quantity specified in the contract for each delivery of natural UF₆ and enriched uranium during the Survey Year.

Item 1.D.5. Price (US\$ per pound): Enter price of uranium oxide (U₃O₈) and U₃O₈ equivalent uranium-component price specified in the contract for each delivery of natural UF₆ and enriched uranium during the Survey Year. In the price, do not include the conversion service and enrichment service components. Note that prices should not be reported for Exchanges, Loans, Loan Repayments, and Other transactions.

Items 1D.6. Pricing Mechanism: Report whether the pricing arrangement under the contract is specified as base-price escalated or fixed price, or whether the price is based on a spot-market price. If the contract specifies a different pricing mechanism, mark "Other" and briefly describe. Note that pricing mechanisms prices should not be reported for Exchanges, Loans, Loan Repayments, and Other transactions.

Item 1.E. Future Deliveries

Item 1.E.1. Enter each year that deliveries stated in the contract, allowing for firm and optional quantities.

Item 1.E.2. Transaction Type: Indicate whether each future delivery is a Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other transfer of title. If Other, specify. If more than one transaction type is involved for a future year, use the next row reporting that same year, and so on. For a Loan or Loan Repayment, indicate whether your firm was the Lender or the Borrower. An Exchange must be marked appropriately as sent and received separately for each future delivery.

Item 1.E.3. Material Type:

U₃O₈ – Uranium oxide (Uranium concentrate or yellowcake)

Natural UF₆ – Uranium Hexafluoride

Enriched Uranium – Uranium in which the U-235 isotope concentration has been increased to greater than the 0.711 percent U-235 (by weight) present in natural uranium

Item 1.E.4-5. Minimum and Maximum Quantity (thousand pounds): Report the minimum and maximum quantities as stated in the contract for each year, to allow the option of either increasing or decreasing quantities. If a future delivery is firm or fixed, report that same quantity under both minimum and maximum.

Item 1.F. Contract Completed: Indicated if this survey year delivery is the last delivery under the terms of this contract. If a contract was completed during the Survey Year, please report YES, and be sure to return that contract with your survey form.

Item 2. Uranium Inventories: State the inventory quantities, both domestic- and foreign-origin, as of the end of the Survey Year to which your firm own, held title, and/or held under financial lease. Reported quantities should agree with inventory quantities reported last year and with data provided elsewhere in Form EIA-858. That is, inventory quantities should reflect last year's amounts with adjustments for subsequent stock changes, purchases, sales, exchanges, loans, and usages, etc., reported on other parts of this form.

Item 3. Enrichment Services Purchased by Owners and Operators of Civilian Nuclear Power Reactors: Report purchases of enrichment services made during the Survey Year. In Item 3.D., report individual quantities in units of separative work units (SWU), not thousand SWU, purchased by the country where the enrichment service was performed (country where enrichment plant is located) or SWU-origin in Item 3.A., seller's name in Item 3.B., and the appropriate type of contract (Spot or Long-Term) in Item 3.C. A purchase of SWU through assignment of an enrichment contract should be reported under this item. In Item 3.E., report the average SWU price paid in U.S. dollars per SWU for the total quantity of enrichment services purchased during the Survey Year. Owners and operators of civilian nuclear power reactors are required to report their purchases of enrichment services during the Survey Year under the provisions of Section 1015 of the Energy Policy Act of 1992 (P.L. 102-486).

Item 4. Uranium In Fuel Assemblies Loaded into U.S. Nuclear Power Reactors (Owners and Operators of Civilian Nuclear Power Reactors only): Report only the total of unirradiated new fuel assemblies loaded into the reactor(s) during the Survey Year and during the prior-year by domestic- and foreign-origin. Do not include uranium removed from a reactor that subsequently will be reloaded.

Item 5. Uranium Feed Deliveries to U.S. and Foreign Enrichers in the Survey Year: Enter the country origins and associated quantity of uranium feed shipped to enrichment plants, indicating each country where the enrichment plant is located.

Item 6. Projected Enrichment Feed Deliveries and Unfilled Market Requirements: In the second column, enter your firm's total projected enrichment feed shipments to enrichment service suppliers (U.S. and foreign suppliers) for each year. Include enriched uranium to be received through all purchase and exchange contracts in effect as of the end of the Survey Year. Exclude feed deliveries of uranium scheduled for a cancelled reactor, unless the enriched product will be used in another of your firm's reactor units. In the third column, enter your firm's total unfilled market requirements for each year.

Note: The total projected shipments in the second column are the contracted deliveries plus unfilled requirements reported in the third column.