

Carbon Capture, Allocation,
Transportation, and
Sequestration (CCATS)
Module of the National
Energy Modeling System:
Model Documentation 2025

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# **CCATS** Documentation

The Carbon Capture, Allocation, Transportation and Sequestration (CCATS) module represents the carbon capture industry in the National Energy Modeling System (NEMS). CCATS was developed by the U.S. Energy Information Administration (EIA) for the Annual Energy Outlook (AEO).

This section provides an overview of the model, and describes the assumptions, inputs, and model formulation.

The CCATS module is written in Python and Pyomo. Documentation for the source code of the CCATS module can be found in the Model API Reference Section.

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# Introduction

The Carbon Capture, Allocation, Transportation, and Sequestration (CCATS) module models the captured carbon dioxide (CO<sub>2</sub>) system within the National Energy Modeling System (NEMS). CCATS endogenously allocates and transports the projected supply of captured CO<sub>2</sub> from NEMS modules to utilization and storage sites throughout the United States.

At its core, CCATS is an optimization model that minimizes various operation and investment costs for capturing, transporting, and sequestering or utilizing CO<sub>2</sub>. After applying policy incentives, the module determines the most cost-effective network flow of CO<sub>2</sub> from supply sources to demand locations and projects the development of CO<sub>2</sub> infrastructure for both transportation and saline storage until 2050.

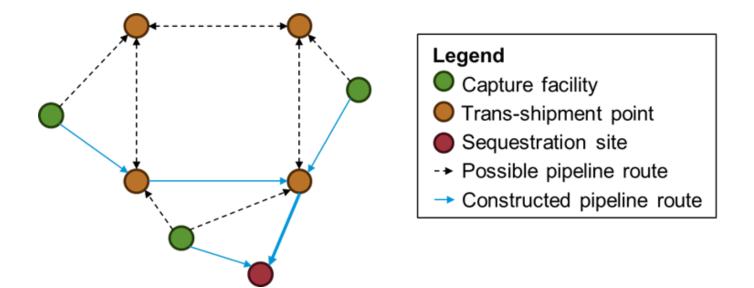
CCATS was first introduced in NEMS for the Annual Energy Outlook 2025 (AEO2025) to better reflect the emerging market for captured carbon dioxide (CO<sub>2</sub>). Prior to the Inflation Reduction Act (IRA), policy incentives for carbon capture and storage were insufficient to support the development of carbon storage at scale. The module was designed to be flexible to incorporate future policies and to more accurately project potential long-term trends in U.S. energy markets. CCATS replaced the Capture, Transport, Utilization, Storage (CTUS) from prior AEOs.

## **Model Overview**

CCATS represents three distinct components of CO<sub>2</sub> flow as interconnected nodes in a network (illustrated in Figure 1).

- 1. Capture facilities: Facilities where CO2 is captured
- 2. **Trans-shipment points**: A pipeline network that connects capture sites to sequestration locations, including both existing infrastructure and potential expansion routes.
- 3. Sequestration sites: Destinations where CO2 is stored

Figure 1. CCATS nodal network representation



Capture facility nodes represent sources of CO<sub>2</sub> supply from other modules in NEMS. Specifically, CCATS receives quantities of captured CO<sub>2</sub> from electric power generation, ethanol production, natural gas processing, hydrogen production, and cement production. CCATS currently does not represent direct air capture (DAC).

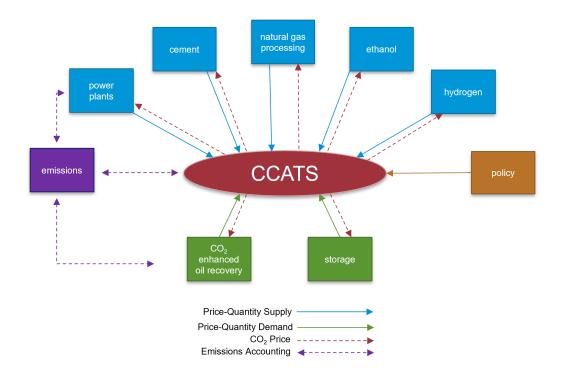
CO<sub>2</sub> demand in CCATS comes from either CO<sub>2</sub> enhanced oil recovery (EOR) wells or storage in saline formations. Today, the overwhelming majority of captured CO<sub>2</sub> is directed toward CO<sub>2</sub> EOR, a process in which CO<sub>2</sub> is injected into oil and natural gas wells to extract additional hydrocarbon resources. Demand from other sources of CO<sub>2</sub> utilization such as the food and beverage industry and electrofuels, or e-fuels, are currently not modeled in CCATS.

CCATS accounts for both operating and investment costs for capacity expansion for trans-shipment and sequestration node types. Note that capture costs are represented in other NEMS modules and have already been taken into account when CO<sub>2</sub> supply is received from other modules.

The model optimizes the flow of CO<sub>2</sub> from supply sources to sequestration sites using a linear program that minimizes total system costs while incorporating applicable tax credits and other revenues as negative costs. The model solution determines optimal transportation routes and sequestration locations. The model solution also provides CO<sub>2</sub> prices, which are passed off to NEMS modules and inform their carbon capture and investment decisions in equilibrium.

The interaction between CCATS and the other NEMS modules is shown in Figure 2.

Figure 2. Overview of CCATS interaction with other modules in NEMS



# Geographic Representation

CCATS represents the three main geographical areas where current carbon capture and sequestration operations are active in the U.S.: the Gulf Coast, the Permian Basin, and the Rocky Mountains/Great Plains. Each of these markets is local, and no existing pipelines move CO<sub>2</sub> between these regions. CCATS is designed to build on this local transportation infrastructure to support additional volumes.

# **Model Assumptions**

# Capture Facilities

CCATS makes various assumptions on the granularity, geographic location, and costs associated with captured CO<sub>2</sub> supplies received from NEMS for the following industries and corresponding NEMS modules:

- Electric power generation Electricity Market Module (EMM)
- Ethanol production Liquid Fuels Market Module (LFMM)
- Natural Gas Processing Hydrocarbon Supply Module (HSM)
- Hydrogen Production Hydrogen Market Module (HMM)
- Cement production Industrial Demand Module (IDM)

CCATS receives CO<sub>2</sub> supplies from NEMS at either at the census region or census division level. However, the CCATS optimization model operates on a more granular level, specifically at the discrete facility level, to provide more accurate projections geographically. Accordingly, CCATS disaggregates captured CO<sub>2</sub> supply to specific CO<sub>2</sub> supply facilities using the following methodology.

First, we assign CO<sub>2</sub> supply to facilities with existing infrastructure to represent observed CO<sub>2</sub> in the data. Second, as the captured CO<sub>2</sub> industry grows with volumes beyond the capacity of existing facilities, CCATS ranks facilities based on estimated costs to install capture technology and costs to connect supply facilities to the pipeline network. This determines which facilities will find it most economical to invest in capturing CO<sub>2</sub> first.

Installation cost assumptions vary based on industry and the availability of expert studies and analysis. For natural gas power plants, coal power plants, and bioenergy with carbon capture and storage power plants in the electric power sector, we use modified versions of NETL power plant studies. [1], [2] These data provide the locations, expected cost of capture and estimated CO<sub>2</sub> capture potential of existing power plants suitable for carbon capture retrofit.

For ethanol, natural gas processing, hydrogen (represented by ammonia), and cement facilities, we use the NETL Industrial Carbon Capture Retrofit Database [3] to identify facilities suitable for retrofit with carbon capture. We subsequently combine estimated capture cost and CO<sub>2</sub> capture potential from this dataset with geographic location data from EPA's Greenhouse Gas Reporting Program. [4] We also use EPA Subpart PP [5] and an analysis by CATF [6] to determine whether a facility has been capturing CO<sub>2</sub>, and if so, for how long. Finally, we make modifications to assessed CO<sub>2</sub> capture potential based on EIA-64A, EIA-757, and EIA-816 survey data.

In addition to investment in existing facilities, CCATS also has the option to install carbon capture at new facilities as the industry further grows. Characteristics of these new facilities and their corresponding capture costs are provided by the other NEMS modules to CCATS as input parameters.

To save on runtime, facilities with a capture cost greater than \$70/MMmT (\$2023) are excluded from the optimization.

Table 1. CO<sub>2</sub> capture potential at represented existing facilities for the optimization

Census Division	Ammonia	Cement	Coal Power Plant	Ethanol	Natural Gas Power Plant	Natural Gas Processing
New England		0.3	8.8		36.4	
Mid Atlantic		5.1	67.1	0.5	165.8	
East North Central	0.8	8.2	303.1	12	219.5	0.5
West North Central	2.5	14.1	425.7	31.5	25.8	0
South Atlantic	1.1	13.7	444.1		238.9	
East South Central	0.9	6.4	214.5	1	147.8	
West South Central	9.7	12.7	326.9	1.4	332.4	9.7
Mountain	0.6	8	219.1		120.4	3.3
Pacific	0.1	10			121.1	
Total	15.6	78.6	2,009.30	46.4	1,408.10	13.6

Source: National Energy Technology Laboratory: Schmitt et al.[1], Buchheit et al.[2], Hughes et al.[3]; U.S. Environmental Protection Agency: [4], [5], Clean Air Task Force: [6].

# Pipeline Network

# **Nodal Map**

Captured CO<sub>2</sub> is transported from capture sites to either EOR or saline storage via pipelines. In CCATS, CO<sub>2</sub> can be transported directly from a supply source to a sequestration site, or indirectly via a series of trans-shipment points. This representation reflects current industry dynamics where some smaller CO<sub>2</sub> supply sites send captured CO<sub>2</sub> to a single storage or EOR site, while other groups of CO<sub>2</sub> capture infrastructure are connected via a regional pipeline network.

We build our transportation network by first representing the existing U.S. CO<sub>2</sub> pipeline network as trans-shipment points on the U.S. map. We add to this set a uniform grid of nodes representing the potential trans-shipment network that can be built for capacity expansion. Finally, we include all the CO<sub>2</sub> capture sites, CO<sub>2</sub> EOR sites, and saline formation storage sites to the network.

We connect the all the various nodes then limit the set of connections used in the model based on pipeline length and node type. For example, sequestration nodes cannot connect to other sequestration nodes.

## **Cost Assumptions**

To calculate installation and operations costs, we first group the set of connected nodes by pipeline length and region. All connections that are of similar distances and are in the same region use the same cost assumptions.

Regionalized pipeline costs are based on the FECM/NETL CO<sub>2</sub> Transport Cost Model [7], modifying a natural gas pipeline study from Brown *et al.*[8] to account for the higher costs of CO<sub>2</sub> pipelines. This model is highly granular and includes information on operating and financing costs by pipeline diameter, length, and pump count.

Table 2. Select cost curves by region from Brown et al

Pipeline Region	Pipeline Length (miles)	Cost Curve Slope (\$/tonne CO <sub>2</sub> )
New England	150	\$51.42
Great Plains	150	\$23.47
New England	400	\$142.93
Great Plains	400	\$68.67

#### Source: U.S. Energy Information Administration.

For each pipeline length, we apply cost factors from the FECM/NETL study to combinations of pipeline diameters and pump counts. We assume a 20-year project lifespan. This yields various cost possibilities for transporting a certain volume of CO<sub>2</sub> by a certain distance. We separate these total costs into electricity costs, fixed operating and maintenance costs, and capital costs.

To obtain installation cost parameters, we choose the least costly option in terms of fixed and capital costs based on the previous calculation. Based on this cost curve, we run a linear regression to produce the installation cost linear parameters provided to the model.

Variable costs include both maintenance costs and electricity costs. We calculate electricity operating costs based on the maximum flowrate for each diameter-pump-length combination, and some assumptions on pump requirements. Specifically, we treat CO<sub>2</sub> that is within the pipelines as a supercritical fluid, modeling the fluid as incompressible. We assume pump stations are built along the pipeline at a frequency of no more than two pumps per 100 miles. We then calculate pump power requirements and total electricity costs using electricity prices received endogenously from NEMS.

Table 3. Select maximum flow rates (MMtonne/year)

Pipeline Length (Miles)	Number of Pumps	Diameter			
		12 in	24 in	36 in	48 in

Pipeline Length (Miles)	Number of Pumps	Diameter			
		12 in	24 in	36 in	48 in
150	0	2.5	15.15	43.39	91.45
150	1	3.54	21.48	61.48	129.53
150	2	4.35	26.33	75.36	158.75
400	0	1.52	9.23	26.47	55.81
400	1	2.16	13.11	37.54	79.13
400	2	2.65	16.08	46.04	97.02

#### Source: U.S. Energy Information Administration.

In addition to installation and operating costs, we add cost multipliers to any pipelines that cross over water, or over land but is covered under the National Park Service [9] or National Register of Historic Places. [10] These multipliers account for rerouting or additional permitting costs associated with these routes.

# Saline storage assumptions

Saline formations are the only storage option for CO<sub>2</sub> in CCATS. To accurately model CO<sub>2</sub> storage, we calculate the amount of CO<sub>2</sub> that can be stored in each formation, and the costs of setting up an injection site, the process of injecting CO<sub>2</sub>, and storing CO<sub>2</sub> in the formation.

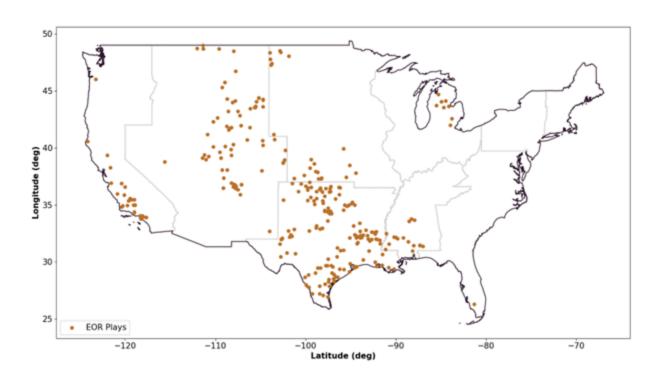
To do this, we relied on the FE/NETL CO<sub>2</sub> Saline Storage Cost Model [11] for a comprehensive list of geologic formations, as well as the base geologic/engineering calculations for injection rates, and maximum CO<sub>2</sub> storage amounts in the formations. The model was also used to estimate the costs for each individual injection project. A summary of the storage formations that are input into the model are shown below. The full list of storage formations and their characteristics can also be donwloaded here: table-storage-formations-full.csv.

**Table 4. Summary of Storage Formations** 

	South	Midwest	West	Mideast	Northeast
Area (sq miles)	675,703	262,009	375,353	17,128	8,201
Average maximim CO2 per injection project (Mmtonnes)	7,783,104	5,679,189	8,472,865	4,021,910	5,037,070
Maximum number of injection projects	9,145	3,172	4,357	90	30
Average injection rate per project (Mmtonnes/project/year)	2,334,931	1,135,838	1,694,573	104,064	167,902

# CO<sub>2</sub> EOR assumptions

Maximum demand for captured CO<sub>2</sub> from EOR sites is provided at the geological formation level by HSM. CCATS is not required to meet all CO<sub>2</sub> demanded for EOR because CCATS currently does not represent natural sources of CO<sub>2</sub>. Note that natural sources of CO<sub>2</sub> fulfilled 62% of CO<sub>2</sub> supplied to EOR in 2023. [12]



Source: U.S. Energy Information Administration.

## Price assumptions

CO<sub>2</sub> prices are calculated after the CCATS linear program has solved. Specifically, we calculate a regional volume-weighted average of the shadow prices produced by the model. This price is inclusive of transportation and sequestration costs, net policy revenue and revenue from selling CO<sub>2</sub> to EOR sites. This price does not include capture costs, as these costs are calculated by the NEMS modules that interface with CCATS as part of their carbon capture decisions.

# Technology improvement rate assumptions

CCATS includes a technology improvement rate that reduces the cost of a technology over time. A report by Fahs *et al.*[13] at DOE estimates that major cost reductions are possible for carbon capture, but only moderate and small reductions for transport and storage, respectively. As such, we include an annual improvement rate of 1% for pipeline transport and saline storage.

# Capacity expansion and financing assumptions

Assumptions for transportation and storage infrastructure investments are listed in Table 5. The fixed O&M fraction is the relative amount of fixed operation and maintenance costs within as compared with the capital investment cost. The buffer assumption is the amount of extra capacity that must be built.

**Table 5. CCATS Financing Assumptions** 

Parameter	Value
Debt ratio	40%
Return over capital cost	5%
Risk premia	2%
Financing years - Transportation	20
Financing years - Storage	26
Fixed O&M - Transportation	2.5%
Fixed O&M - Storage	8.7%
Capacity Expansion Buffer	15%

Source: U.S. Energy Information Administration.

# Legislation and Regulations

In representing existing policy, CCATS focuses on the expansion and enhancement of 45Q tax credits in the following three legislative acts.

## Energy Improvement and Extension Act of 2008

The Energy Improvement and Extension Act of 2008 [14] included the establishment of the 45Q tax credit for the capture and sequestration of CO<sub>2</sub> from industrial facilities. This law established that CO<sub>2</sub> must be captured and disposed of within the United States.

# Bipartisan Budget Act of 2018

The Bipartisan Budget Act of 2018 [15] included extending the availability of the 45Q tax credit to facilities that began construction before 2024 and increased the tax credit. For EOR, the tax credit began at \$10/mT and increased to \$35/mT in 2027. For saline storage, the tax credit began at \$20/mT and increased to \$50/mT in 2027. After 2027, the tax credit is inflation adjusted. The tax credit is available for 12 years.

### Inflation Reduction Act of 2022

The Inflation Reduction Act (IRA) of 2022 [16] extended the 45Q tax credit to eligibly facilities that begin construction before 2032 and meet minimum quantity thresholds. IRA increased the tax credits from previous legislations to \$60 per metric ton for captured CO<sub>2</sub> sent to EOR sites, and to \$85 per metric ton for captured CO<sub>2</sub> permanently sent to geologic storage sites. The cax credits last for 12 years after the carbon capture equipment associated with the project is placed into service. Tax credits are adjusted for inflation starting in 2027 and are indexed to 2025 as the base year.

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### **Model Formulation**

This section describes the CCATS optimization model. Please refer to the Glossary for additional details, and to Inputs and Methods for parameter selection.

## **Objective Function**

The core objective of the CCATS optimization model is to minimize the total cost associated with and transporting and storing  $CO_2$  from supply sources to sequestration sites. In the model, each of these locations are represented as nodes, n, while transportation routes or connections between two nodes are represented as arcs, a.

The model is also designed with multiple time periods to accommodate investment in network expansion. A time period is denoted by t.

The objective function (1), encompasses investment including CAPEX and fixed operating and maintenance (O&M) costs (2), variable operating costs including variable O&M and electricity costs (3), and policy-related costs and incentives (4).

$$\min_{\bar{\mathbf{X}}_{\mathbf{a,t}}, \; \mathbf{X}_{\mathbf{a,t}}, \; \mathbf{X}_{\mathbf{a,p,t}}, \; \mathbf{Q}_{\mathbf{a,d,t}}^{\mathrm{T}}, \; \mathbf{I}_{\mathbf{n,t}}^{\mathrm{S}} \quad \sum_{t \in \mathcal{T}} \left( C_t^{investment} + C_t^{variable} + C_t^{policy} \right) \tag{1}$$

$$C_t^{investment} = \psi_t^T \sum_{a \in \mathcal{A}, d \in \mathcal{D}} \left( \theta_{a,d,t}^T \mathbf{Q_{a,d,t}^T} \right) + \psi_t^S \sum_{n \in \mathcal{N}^S} \left( \theta_{n,t}^S \mathbf{I_{n,t}^S} \right)$$
(2)

$$C_t^{variable} = \psi_t^{variable} \left( \sum_{a \in \mathcal{A}} \left( \kappa_{a,t}^T \lambda_{a,t}^T X_{a,t} \right) + \sum_{n \in \mathcal{N}^S} \left( \lambda_{n,t}^S \sum_{a \in \mathcal{A}_n^{in}} X_{a,t} \right) \right)$$
(3)

$$C_t^{policy} = \psi_t^{policy} \sum_{n \in \mathcal{N}^S, \ a \in \mathcal{A}_n^{in}, \ p \in \mathcal{P}} \zeta_{n,p} \mathbf{X_{a,p,t}}$$
 (4)

The model solves for several decision variables, both continous and binary. Decision variables are denoted in bold. First, the model determines the flow of  $CO_2$  in arcs, split into three types for tractability: flow that uses existing capacity,  $\overline{\mathbf{X}}_{\mathbf{a},\mathbf{t}}$ , flow that uses newly constructed capacity,  $\mathbf{X}_{\mathbf{a},\mathbf{t}}$ , and flow that receives different policy incentives p,  $\mathbf{X}_{\mathbf{a},\mathbf{p},\mathbf{t}}$ . Policy incentives are denoted by p.

Second, the model determines the amount of additional pipeline capacity installed,  $\mathbf{Q}_{\mathbf{a},\mathbf{d},t}^{\mathbf{T}}$ , indexed by diameter size d. Lastly, the model solution includes binary decision variables representing investment in saline storage,  $\mathbf{I}_{\mathbf{n},\mathbf{t}}^{\mathbf{S}}$ . The superscripts T and S denote transportation and storage, respectively.

The parameters in the objective function represent the various costs by type of node. Parameters denoted by  $\psi$  represent discount factors which can vary by the type of cost and over time. Parameters denoted by  $\theta$  represent investment costs, while  $\lambda$  denotes variable costs. Transportation by pipeline requires electricity and is denoted by electricity demand,  $\kappa_{a.t.}^T$ . Policy incentives are denoted by  $\zeta_{n,p}$ .

#### Constraints

The model has four groups of constraints: constraints on the volume of flow in each arc, constraints on the balance of CO<sub>2</sub> flowing in and out of the nodes; constraints on transportation investment, and constraints on saline storage investment.

#### **Arc Flow Constraints**

$$\mathbf{ar{X}_{a,t}} \leq 
ho_{a,t}, \quad orall \ a \in \mathcal{A}, \ t \in \mathcal{T}$$
 (5)

$$\mathbf{X_{a,t}} \leq \sum_{d \in \mathcal{D}, t^* < = t-1} \mathbf{Q_{a,d,t^*}^T}, \quad \forall \ a \in \mathcal{A}, \ t \in \mathcal{T}$$
 (6)

$$\mathbf{Q}_{\mathbf{a},\mathbf{d},\mathbf{t}}^{\mathbf{T}} \leq \sigma_{a,d}^{max}, \quad \forall \ a \in \mathcal{A}, \ d \in \mathcal{D}, \ t \in \mathcal{T}$$
 (7)

$$X_{a,t} = \mathbf{\bar{X}_{a,t}} + \mathbf{X_{a,t}}, \quad \forall a \in \mathcal{A}, t \in \mathcal{T}$$
 (8)

$$X_{a,t} = \sum_{p \in \mathcal{P}} \mathbf{X_{a,p,t}}, \quad \forall \, a \in \mathcal{A}, \, t \in \mathcal{T}$$
 (9)

In Equation (5), flow that uses existing pipeline capacity as of the first time period,  $\bar{X}_{a,t}$ , is limited by the existing capacity parameter,  $\rho_{a,t}$ . This parameter is taken from the data in the early projection years and is determined by the model in later projection years as pipeline capacity is built in NEMS endogenously. Please refer to this section for additional details.

In Equation (6), flow that uses newly constructed capacity,  $\mathbf{X}_{\mathbf{a},\mathbf{t}}$ , is limited by the total capacity that has been installed in all previous time periods and is available for use in the current time period. This newly constructed capacity is a continuous decision variable that is in turn limited by the parameter  $\sigma_{a,d}^{max}$  in Equation (7). This parameter represents the maximum volume that can be installed based on characteristics of available pipelines in the market, particularly diameter.

Constraints (6) and (7) are formulated using the big M method to limit the number of binary decision variables in the model. Otherwise, the optimization problem where a binary investment decision is multiplied by build capacity or flow, which are both decision variables themselves, is a non-linear problem. To avoid this, the investment decision variable,  $\mathbf{Q}_{\mathbf{a},\mathbf{d},\mathbf{t}}^{\mathbf{T}}$ , is instead defined as a continuous variable. When this variable is zero, meaning no investment is undertaken by the model, the constraints force both capacity and flow to be zero as well.

Equation (8) defines the secondary decision variable,  $X_{a,t}$ , as the sum of the two flow types for ease of notation. Equation (9) constrains this total to be the sum of CO<sub>2</sub> flow across policies.

#### **Node Flow Balance Constraints**

The next set of constraints define the net supply or demand of  $CO_2$  at nodes and ensure that the sum of flows into a node and the sum of flows out of the node must balance out. The subsets of arcs with flow going into and out of a node is denoted by  $\mathcal{A}_n^{in}$  and  $\mathcal{A}_n^{out}$ , respectively.

$$\sum_{a\in A^{in}} X_{a,p,t} - \sum_{a\in A^{out}} X_{a,p,t} = -\phi^C_{n,p,t}, \quad orall \ n\in \mathcal{N}^C, \ t\in \mathcal{T}, \ p\in \mathcal{P}$$
 (10)

$$\sum_{a \in \mathcal{A}_{in}^{in}} X_{a,p,t} - \sum_{a \in \mathcal{A}_{out}^{out}} X_{a,p,t} = 0, \quad orall \ n \in \mathcal{N}^{TS}, \ t \in \mathcal{T}, \ p \in \mathcal{P}$$
 (11)

$$\sum_{a \in \mathcal{A}_n^{in}} X_{a,t} - \sum_{a \in \mathcal{A}_n^{out}} X_{a,t} \le J_{n,t}, \quad \forall \ n \in \mathcal{N}^{S,saline}, \ t \in \mathcal{T}$$
 (12)

$$\sum_{a \in \mathcal{A}_{n}^{in}} X_{a,t} - \sum_{a \in \mathcal{A}_{n}^{out}} X_{a,t} \le \phi_{n,t}^{S,EOR}, \quad \forall \ n \in \mathcal{N}^{S,EOR}, \ t \in \mathcal{T}$$
 (13)

Equation (10) sets the CO<sub>2</sub> flow balance for capture nodes equal to  $-\phi_{n,p,t}^C$ . This parameter represents the supply of captured CO<sub>2</sub> and is determined by other NEMS modules. Note that this constraint is an equality, so that CCATS must allocate and transport all captured CO<sub>2</sub> received from other NEMS modules.

Equation (11) sets the balance at transshipment points to zero, so that these nodes cannot be used as temporary storage locations.

Equation (12) limits the flow balance in saline storage nodes by the injection parameter  $J_{n,t}$ . It is defined as an inequality because the storage capacity in geologic formations in the U.S. is an order of magnitude higher than the  $CO_2$  emissions being produced in the data. This parameter determined by the model in later projection years as storage capacity is built in NEMS endogenously. It is further discussed in the section on section\_saline\_storage.

Equation (13) limits the flow balance to the EOR parameter  $\phi_{n,t}^{S,EOR}$ . This parameter is determined by HSM. Similar to the constraint on saline storage, it is also defined as an inequality, so the model does not force cap-

tured CO<sub>2</sub> to be directed to EOR unless it is economical. As such, there may be EOR demand that is unfulfilled in the model.

Supply of  $CO_2$  from NEMS modules will be specified by policy elgibility, thus the need to index by p in Equations (10). Consequently, the flow balance in the transshipment network also needs to be tracked by policy in Equation (11). However, storage and EOR nodes can accept flow from any policy, thus Equations (12) and (13) are not indexed by p.

#### Saline Storage Investment Constraints

CCATS represents two aspects of storage: the speed at which CO<sub>2</sub> flow can be stored and the total volume of CO<sub>2</sub> that can be stored.

$$J_{n,t} = lpha_n + eta_n \sum_{0 < t^* < t-1} \mathbf{I_{n,t^*}^S}, \quad orall \ n \in \mathcal{N}^{S,saline}, \ t \in \mathcal{T}$$
 (14)

$$\sum_{a \in \mathcal{A}_{n}^{in}, 0 \le t^{\star} \le t} \tau_{t}^{\star} X_{a, t^{\star}} \le \gamma_{n} + \delta_{n} \sum_{0 \le t^{\star} \le t-1} \mathbf{I_{n, t^{\star}}^{S}}, \quad \forall \ n \in \mathcal{N}^{S, saline}, \ t \in \mathcal{T}$$

$$(15)$$

$$\sum_{t \in \mathcal{T}} \mathbf{I_{n,t}^S} \leq \epsilon_n, \quad \forall \ n \in \mathcal{N}^{S,saline} \tag{16}$$

Injectivity, or the rate at which CO<sub>2</sub> can be injected, is defined in Equation (14) and is based on the investment decision in a saline storage node. The parameters  $\alpha_n$  and  $\beta_n$  are based on external studies and data.

Cumulative injection, or the total amount of CO<sub>2</sub> that can be injected over all time periods, is defined in Equation (15). The parameters  $\gamma_n$  and  $\delta_n$  are also based on external studies and data.

Lastly, a storage node is broken down into multiple Areas of Review (AOR) to represent incremental development of a storage location. Equation (16) limits the total number of AORs that can be built at a given node.

#### Non-Linear MILP Model

The default specification of CCATS is a linear program to save on runtime. However, the model can also be run as a mixed-integer linear program (MILP). In the MILP specification, investment in pipeline capacity is a piecewise-linear function instead of a simple linear function. The following equations are different from the ones described above.

$$\min_{\bar{\mathbf{X}}_{\mathbf{a},t}, \; \mathbf{X}_{\mathbf{a},t}, \; \mathbf{X}_{\mathbf{a},\mathbf{p},t}, \; \mathbf{Q}_{\mathbf{a},d,t}^{\mathrm{T}}, \; \mathbf{I}_{\mathbf{a},d,t}^{\mathrm{T}} \; \mathbf{I}_{\mathbf{n},t}^{\mathrm{S}} \quad \sum_{t \in \mathcal{T}} \left( C_{t}^{investment} + C_{t}^{variable} + C_{t}^{policy} \right)$$

$$(17)$$

$$C_{t}^{investment} = \psi_{t}^{T} \sum_{a \in \mathcal{A}, d \in \mathcal{D}} \left( \eta_{a,d,t}^{T} \mathbf{I}_{\mathbf{a},\mathbf{d},\mathbf{t}}^{\mathbf{T}} + \theta_{a,d,t}^{T} \mathbf{Q}_{\mathbf{a},\mathbf{d},\mathbf{t}}^{\mathbf{T}} \right) + \psi_{t}^{S} \sum_{n \in \mathcal{N}^{S}} \left( \theta_{n,t}^{S} \mathbf{I}_{\mathbf{n},\mathbf{t}}^{\mathbf{S}} \right)$$
(18)

$$\sum_{d \in \mathcal{D}} \mathbf{I}_{\mathbf{a}, \mathbf{d}, \mathbf{t}}^{\mathbf{T}} \leq 1, \quad \forall \ a \in \mathcal{A}, \ t \in \mathcal{T}$$
(19)

$$\sigma_{a,d}^{min} \mathbf{I}_{\mathbf{a},\mathbf{d},\mathbf{t}}^{\mathbf{T}} \leq \mathbf{Q}_{\mathbf{a},\mathbf{d},\mathbf{t}}^{\mathbf{T}}, \quad \forall \ a \in \mathcal{A}, \ d \in \mathcal{D}, \ t \in \mathcal{T}$$
 (20)

$$\mathbf{Q_{a,d,t}^T} \le \sigma_{a,d}^{max} \mathbf{I_{a,d,t}^T}, \quad \forall \ a \in \mathcal{A}, \ d \in \mathcal{D}, \ t \in \mathcal{T}$$

In the objective function, Equation (1) becomes Equation (17) with an additional binary decision variable  $\mathbf{I}_{\mathbf{a},\mathbf{d},t}^{\mathbf{T}}$ .

Equation (18) replaces the calculation for investment costs in Equation (2) and includes the piecewise linear function. The coefficient  $\eta^T_{a,d,t}$  is as an intercept parameter for each of the segments of the piecewise linear function, while  $\theta^T_{a,d,t}$  is a slope parameter for each additional unit of capacity invested in within that segment.

Equation (19) ensures that each arc can only build once per time period, and must be in one of the piecewise linear segments. Equation (20) and Equation (21) enforce the lower and upper bounds per segment of the pipeline piecewise linear function.

#### Price of Carbon Dioxide

One of the main results of the CCATS optimization model is the price CO<sub>2</sub>. Price is an important driver used by other NEMS modules to determine their decision to capture CO<sub>2</sub> and whether to install capture technology.

There are two types of flows in CCATS: flows that are 45Q eligible and flows that are not 45Q eligible. CCATS distinguishes between the two types to enable the model to return two separate prices, one for each 45Q eligibility. This is important, because policy incentives can be a big driver of the decision to provide captured CO<sub>2</sub> to the model.

The price CO<sub>2</sub> comes the duals from the constraints in Equation (10). These equations represent the point at which CCATS receives CO<sub>2</sub> from other NEMS modules, and equates supply to demand. In the optimization, the dual variables reflect the change in the objective function for each unit change in the constraint. Economically, it reflects the present value of the marginal cost to transport, store, and receive policy incentives for an additional unit of captured CO<sub>2</sub>.

CCATS uses a highly detailed representation of CO<sub>2</sub> supply facilities, which is more granular than what can be used by other NEMS modules. The constraints need to be aggregated to a census region or census division level to be compatible with NEMS. As such, CCATS calculates the volume-weighted average of the duals from the constraints in a post-processor to aggregate at both the census region and census division levels . In addition, CCATS returns the CO<sub>2</sub> price for the first year of the optimization which aligns with the year that the NEMS model is being run for a particular cycle or iteration.

### Relationship between CCATS and NEMS

Within a NEMS run, the CCATS model is executed each model year, iteration, and cycle. For instance, when NEMS runs for the 2025 model year, CCATS optimizes with 2025 as the initial period.

To reduce runtime, CCATS simplifies the temporal resolution of the investment horizon and limits the number of time periods to three. The first time period represents the current NEMS year, the second time period the following year, and the third time represents a longer time horizon to inform long-term decisions. Capacity can be added during any period, but will not available for use until the following time period. CCATS projects operation and capacity expansion of carbon transport and storage over this time horizon.

Table 6. CCATS Time Periods and Capacity Expansion
Assumptions

Time Period	Duration (years)	Capacity Expansion
1	1	Yes
2	1	Yes
3	18	Yes

Source: U.S. Energy Information Administration.

Although the model produces solutions for three time periods, only the solution for the first time period is returned to NEMS. For example, a NEMS run for the model year 2025 uses output from the first time period of a CCATS model. Subsequently, when NEMS advances to the 2026 model year, CCATS re-optimizes, now with 2026 as the initial period.

In addition, model parameters are derived from two sources: outputs from other NEMS modules, enabling feed-back effects, and expert studies for calibrating remaining parameters. For example, the model also uses NEMS results from 2025 as input parameters for 2026 to allow the model to build capacity over time.

## Modeling in Pyomo

The formulation is implemented in Pyomo using the declare\_objective() and declare\_objective\_across\_blocks() methods within the OptimizationModel class.

Constraints are set in the optimization program by declare\_constraints() and declare\_constraints\_across\_blocks().

Additional documentation for the source code of the CCATS module can be found found in the Model API Reference Section.

### Glossary

### Superscripts

Superscripts identify the main concepts within CCATS, indicating the relevance of sets, parameters, and variables to those concepts. For clarity and tractability, the number of superscripts is limited. Superscripts are displayed in regular font and listed in Table 7.

Table 7. Superscripts.

Superscript	Short Description
C	Capture
A	Allocation
T	Transport
TS	Transshipment
S	Storage
S, EOR	Storage - Enhanced Oil Recovery
S, saline	Storage - Saline aquifer
in	In
out	Out

#### Sets and subsets

Sets and subsets group model objects with shared characteristics, such as nodes and arcs, enabling efficient application of consistent calculations. Parameters and variables are indexed by these sets and subsets and are displayed in calligraphic font.

Sets and subsets are listed in Table 8. Subsets, denoted by a superscript on the parent set, are listed below their corresponding set. For example, t represents a time period within the set  $\mathcal{T}$ .

In the code, sets for the optimization program are defined using the <a href="declare\_sets">declare\_sets()</a>) method within the OptimizationModel class.

Table 8. Sets and subsets.

Set	Subset	Element	CCATS Name	Short Description	Detailed Description
$\mathcal{A}$		a	s_arcs	Arcs, connections between nodes	Details
	$\mathcal{A}^{in}$	a	arcs_in	Arcs transporting flow into a node	Details
	${\cal A}^{out}$	a	arcs_out	Arcs transporting flow away from a node	Details
$\mathcal{D}$		d	s_transport_options	Pipeline segment options	Details
$\mathcal{N}$		n	s_nodes	Nodes	Details
	$\mathcal{N}^C$	n	s_nodes_supply	Capture nodes	Details
	$\mathcal{N}^{TS}$	n	s_nodes_transshipment	Transport (transshipment) nodes	Details
	$\mathcal{N}^S$	n	s_nodes_demand	Saline storage or EOR nodes	Details
	$\mathcal{N}^{S,saline}$	n	s_nodes_demand_storage	Saline storage nodes	Details
	$\mathcal{N}^{S,EOR}$	n	s_nodes_demand_eor	EOR nodes	Details
$\mathcal{P}$		p	s_policy_options	CO <sub>2</sub> Policies (for example, 45Q)	Details

Set	Subset	Element	CCATS Name	Short Description	Detailed Description
$\mathcal{T}$		t	s_time	Time	Details

Data Source: U.S. Energy Information Administration

#### **Arcs**

 $\mathcal A$  is the set of all arcs a. Arcs represent connections between nodes. Arcs have directionality, therefore a single arc allows flow in one direction. Supply nodes only have the option to build arcs that transport flow away from the supply. Saline storage and EOR nodes only have the option to build arcs that transport flow to storage/EOR. Transshipment nodes have the option to build flow in either direction between nodes. Arcs options are defined in the offline preprocessor. In the optimization model, arcs are indexed by 1) the name (string) of the starting node, and 2) the name (string) of the ending node.

#### Arcs in

 $\mathcal{A}^{in}$  is a subset of  $\mathcal{A}$ .  $\mathcal{A}^{in}$  represents the arcs with flow coming into a node. This subset is used to support the balance of flows coming into a node against the flows leaving a node.  $\mathcal{A}^{in}$  is determined in the online preprocessor.

#### **Arcs out**

 $\mathcal{A}^{out}$  is a subset of  $\mathcal{A}$ .  $\mathcal{A}^{out}$  represents the arcs with flow exiting a node. This subset is used to support the balance of flows coming into a node against the flows leaving a node.  $\mathcal{A}^{out}$  is determined in the online preprocessor.

#### **Pipeline options**

 $\mathcal D$  is the set of all pipeline options d. When CCATS is run as a linear program, then each arc only has one pipeline option. When CCATS is run as a mixed integer linear program, it uses a piecewise linear function to select between different pipeline options. The different pipeline options are designed to represent possible combinations of pipeline diameters and number of pumps. Each segment of the piecewise linear is a pipeline option d.  $\mathcal D$  is determined in the offline preprocessor. Pipeline options are indexed by their name (string).

#### **Nodes**

 $\mathcal{N}$  is the set of nodes n. Nodes represent locations where pipelines (arcs) either start or end. Nodes include key locations including suppliers of CO<sub>2</sub>, EOR demand sites, and saline storage. Nodes are indexed by their name (string).

#### Supply nodes

 $\mathcal{N}^C$  is the subset of nodes where CO<sub>2</sub> capture occurs, thus supplying CO<sub>2</sub> to the network.

#### **Transshipment nodes**

 $\mathcal{N}^{TS}$  is the subset of nodes where two pipelines join, known as a transshipment node.

#### Storage nodes

 $\mathcal{N}^S$  is the subset of nodes where  $CO_2$  is stored either in EOR or saline storage.

#### Saline storage nodes

 $\mathcal{N}^{S,saline}$  is the subset of nodes  $\mathcal{N}^S$  where CO<sub>2</sub> is stored in saline storage.

#### **EOR** nodes

 $\mathcal{N}^{S,EOR}$  is the subset of nodes  $\mathcal{N}^S$  where CO<sub>2</sub> used for Enhanced Oil Recovery (EOR).

#### **Policy options**

 $\mathcal{P}$  is the set of policy options p. This includes flow that is not eligible for policy incentives and flow that is eligible for 45Q. CCATS does not determine the 45Q eligibility of flow, rather that is provided as an input by NEMS modules supplying CO<sub>2</sub>. Policy options are indexed by their name (string).

#### Time periods

 $\mathcal{T}$  is the set of time periods t. CCATS operates with three time periods, which are implemented in Pyomo using a block structure. The first time period represents the current year being analyzed by NEMS. The second and third time period are used to represent the future to support investment decisions. Time periods are indexed by their number (integer).

#### **Parameters**

Parameters serve as inputs to the CCATS optimization program and are generally represented using lowercase Greek letters. These parameters are sourced either endogenously from other NEMS modules or exogenously from the offline CCATS preprocessor. We use the notation  $\mathbb R$  to denote the set of real numbers and  $\mathbb R_0^+$  to denote the set of non-negative real numbers. Parameters are defined for the optimization program using the declare\_parameters() method within the OptimizationModel class. A complete list of parameters is provided in Table 9.

Table 9. Parameters.

Parameter	CCATS Name	Data Type	Short Description	Source	Units	Detailed Description
Storage						
$\alpha_n$	p_co2_demand_storage	$\mathbb{R}^+_0$	Existing injectivity	Exogenous	$tCO_2/year$	Details
$eta_n$	p_storage_inj_capacity_adder	$\mathbb{R}^+_0$	Additional injectivity per AoR	Exogenous	$tCO_2/year$	Details
$\gamma_n$	p_storage_injection_net_remaining	$\mathbb{R}^+_0$	Existing net capacity	Exogenous	$tCO_2$	Details
$\delta_n$	p_storage_injection_adder	$\mathbb{R}^+_0$	Additional capacity per AoR	Exogenous	$tCO_2$	Details
$\epsilon_n$	p_storage_aors_available	$\mathbb{R}_0^+$	Number of AoRs avail- able to open	Exogenous	$tCO_2$	Details
Policy						
$\zeta_{n,p,t}$	p_policy_45Q	$\mathbb{R}$	Policy cost (+) or incentive (-)	Exogenous	$$/tCO_2$	Details
Costs						
$\eta_{a,d,t}^T$	p_capex_transport_0	$\mathbb{R}^+_0$	Transport CAPEX - Intercept	Exogenous	\$	Details
$ heta_{a,d,t}^T$	p_capex_transport_slope	$\mathbb{R}^+_0$	Transport CAPEX	Exogenous	$ft CO_2$	Details

Parameter	CCATS Name	Data Type	Short Description	Source	Units	Detailed Description
$ heta^S_{n,t}$	p_capex_storage	$\mathbb{R}^+_0$	Storage CAPEX	Exogenous	$ft CO_2$	Details
$\kappa_{a,t}^T$	p_electricity_demand	$\mathbb{R}^+_0$	Transport electricity consumption	Exogenous	$MWh/tCO_2$	Details
$\lambda_{a,t}^T$	p_opex_transport	$\mathbb{R}^+_0$	Transport electricity cost	Exogenous	\$/MWh	Details
$\lambda_{n,t}^S$	p_opex_storage	$\mathbb{R}^+_0$	Storage OPEX	Exogenous	$ft CO_2$	Details
Transport						
$ ho_a$	p_transport_capacity_existing	$\mathbb{R}^+_0$	Exisiting capacity	Exogenous	$tCO_2/year$	Details
$\sigma_{a,d}$	p_transport_capacity_adder	$\mathbb{R}^+_0$	Maximum capacity per new build	Exogenous	$tCO_2/year$	Details
Net Supply						
$\phi_{n,p}^C$	p_co2_supply	$\mathbb{R}^+_0$	CO <sub>2</sub> cap- tured at source	Endogenous	$tCO_2/year$	Details
$\phi_n^{S,EOR}$	p_co2_demand_eor	$\mathbb{R}^+_0$	CO <sub>2</sub> de- mand for EOR	Endogenous	$tCO_2/year$	Details
Financing/discounting and time						
$ au_t$	p_duration	$\mathbb{R}^+_0$	Duration of time period	Exogenous	years	Details
$\psi_t^T$	p_discount_invest_storage	$\mathbb{R}^+_0$	Discount factor for storage investment	Endogenous	_	Details
$\psi_t^S$	p_discount_invest_transport	$\mathbb{R}^+_0$	Discount factor for transport investment	Endogenous	_	Details
$\psi_t^{variable}$	p_discount_variable	$\mathbb{R}^+_0$	Discount factor for variable costs	Endogenous	_	Details
$\psi_t^{policy}$	p_discount_policy	$\mathbb{R}^+_0$	Discount factor for policy costs	Endogenous	_	Details

## **Existing injectivity**

 $\alpha_n$  is the injectivity (amount of CO<sub>2</sub> that can be injected per year) at node n before the current model year.

### **Additional injectivity**

 $\beta_n$  is the additional injectivity that is added to node n per additional AoR opened.

#### **Existing net capacity**

 $\gamma_n$  is the injection capacity remaining at node n before the current model year.

#### **Additional capacity**

 $\delta_n$  is the additional injection capaicty added to node n per additional AoR opened.

#### **Available AoRs**

 $\epsilon_n$  is the number of AoRs available to open at node n.

#### **Policy cost**

 $\zeta_{n,p,t}$  is the cost of policy p. Incentives such as 45Q are provided as a negative cost.

#### **Transport investment - intercept**

 $\eta_{a,d,t}^T$  is the intercept for transport investment costs. This is only used in the non-linear version of CCATS.

#### **Transport investment - slope**

 $\theta_{a,d,t}^T$  is the slope of transport investment costs.

#### Storage investment

 $\theta_{n.t}^{S}$  is the investment cost of storage.

#### **Transport electricity consumption**

 $\kappa_{a,t}^T$  is the amount of electricity consumed to transport a tonne of CO<sub>2</sub>.

#### Transport electricity cost

 $\lambda_{a.t}^T$  is the cost per unit of electricity consumed for arc a.

#### Storage variable cost

 $\lambda_{n.t}^{S}$  is the variable cost of storage.

#### **Existing transport capacity**

 $ho_a$  is the capacity of transport arcs before the current model year.

#### Transport capacity adder

 $\sigma_{a,d}$  is the limit for adding capacity to an arc for the current pipeline option d.

#### CO<sub>2</sub> supply

 $\phi_{n,p}^C$  is the amount of CO<sub>2</sub> supplied at node n and of policy p.

#### **EOR** demand

 $\phi_n^{S,EOR}$  is the maximum CO<sub>2</sub> demand for EOR at node n.

#### **Duration**

 $\tau_t$  is the duration of time period t.

#### **Transport discount factor**

 $\psi_t^T$  is a multiplier to finance and discount payments for transportation investments made in time period t. It is calculated by calculate\_discount\_investment().

$$\psi_t^T = \left(rac{RINT_t(1+RINT_t)^n}{(1+RINT_t)^n-1} * \sum_{y=y_t+1}^{y_t+1+n} DIS_{y_0,y}
ight) + \left(FOM * \sum_{y=y_t+1}^{y_t+1+n} INF_{y_t,y} * DIS_{y_0,y}
ight)$$

with the discount factor, DIS, and inflation factor, INF, from year  $y_0$  to  $y_1$  defined as:

$$DIS_{y_0,y_1} = egin{cases} 1 & orall y_1 - y_0 = 0 \ \prod_{y^{\star} = y_0}^{y_1} rac{1}{(1 + RDIS_{y^{\star}})} & orall y_1 - y_0 > 0 \end{cases}$$

$$INF_{y_0,y_1} = egin{cases} 1 & orall y_1 - y_0 = 0 \ \prod_{y^*=y_0}^{y_1} (1 + RINF_{y^*}) & orall y_1 - y_0 > 0 \end{cases}$$

The discount and inflation factor is summed starting at  $y_t + 1$  because the first payment is assumed to occur one year after the investment decision.

These equations rely on eight inputs:

- · time inputs:
  - t is the time period of the investment decision,
  - $\circ \ y_0$  is the NEMS year that time period 0 begins,
  - $\circ y_t$  is the NEMS year that time period t begins,
  - n are the number of years that CAPEX is financed for transport and storage, respectively,
- · financing inputs:
  - $\circ$  FOM is the fraction of CAPEX paid each year as Fixed O&M for transport and storage, respectively,
  - $\circ RINF_{y}$  is the inflation rate of year y,
  - $\circ RDIS_y$  is the discount rate of year y equal to the real rate + inflation rate,
  - $RINT_t$  is the interest rate used for borrowing in time period t, equal to the real rate + inflation rate + risk premia.

#### Storage discount factor

 $\psi_t^S$  is a multiplier to finance and discount payments for storage investments made in time period t. It is calculated by calculate\_discount\_investment().

$$\psi_t^S = \left(rac{RINT_t(1+RINT_t)^n}{(1+RINT_t)^n-1} * \sum_{y=y_t+1}^{y_t+1+n} DIS_{y_0,y}
ight) + \left(FOM * \sum_{y=y_t+1}^{y_t+1+n} INF_{y_t,y} * DIS_{y_0,y}
ight)$$

#### Variable cost discount factor

 $\psi_t^{variable}$  is a multiplier to discount variable costs occurring in time period t. Variable costs are input for a single year, so  $\psi_t^{variable}$  also accounts for repeated costs in multi year time periods. It is calculated by calculate\_discount\_variable\_policy().

$$\psi_t^{variable} = \sum_{y=y_t}^{y_t + \Delta_t} \left(DIS_{y_0,y}
ight)$$

where  $\Delta_t$  is the duration of the time period t.

#### Policy cost discount factor

 $\psi_t^{policy}$  is a multiplier to discount policy costs occurring in time period t. Policy costs are input for a single year, so  $\psi_t^{policy}$  also accounts for repeated costs in multi year time periods. It is calculated by calculate\_discount\_variable\_policy().

$$\psi_t^{policy} = \sum_{y=y_t}^{y_t + \Delta_t} \left(DIS_{y_0,y}
ight)$$

#### **Variables**

Unknowns (decisions) to be solved by the mathematical program. They are split into primary and secondary decision variables. All variables use the uppercase Roman alphabet, with subscripts in lowercase. Primary decision variables are shown in **bold**. Secondary decisions variables are dependent on primary decision variables. Variables are shown in the order they are declared in the code. We use the symbol  $\mathbb R$  to denote the set of real numbers,  $\mathbb R_0^+$  to denote non-negative real numbers, and  $\mathbb B$  to denote binary variables. Variables are set-up for the optimization program by  $\frac{1}{2}$  to denote because  $\frac{1}{2}$  to denote  $\frac{1}{2}$ 

Table 10. Variables.

Variable	CCATS Name	Data Type	Short Description	Units	Detailed Description
	Costs				
$C_t^{investment}$	e_sum_costs_investment	$\mathbb{R}^+_0$	Investment costs	\$	Details
$C_t^{policy}$	e_sum_costs_policy	$\mathbb{R}$	Policy costs	\$	Details
$C_t^{variable}$	e_sum_costs_variable	$\mathbb{R}^+_0$	Variable costs	\$	Details
	Investment				
$\mathbf{I}_{\mathrm{a,d,t}}^{\mathrm{T}}$	vb_transport_investment	$\mathbb{B}$	Transport investment decision	0 or 1	Details
$\mathbf{I_{n,t}^{S}}$	v_storage_investment	$\mathbb{R}^+_0$	Storage investment decision	# of AoRs	Details
	Storage - injectivity				
$J_{n,t}$	v_storage_inj_capacity	$\mathbb{R}^+_0$	Storage injection capacity	$tCO_2/year$	Details
	Pipeline Capacity				
$\mathbf{Q}_{\mathrm{a,d,t}}$	v_transport_capacity_added	$\mathbb{R}_0^+$	Transport capacity constructed in the current time step	$tCO_2/year$	Details
	Pipeline Flow				
$X_{a,t}$	v_flow	$\mathbb{R}^+_0$	Flow of arc	$tCO_2/year$	Details
$ar{\mathbf{X}}_{\mathrm{a,t}}$	v_flow_base	$\mathbb{R}^+_0$	Flow using existing ca- pacity (built before CCATS run)	$tCO_2/year$	Details
$\mathbf{X}_{\mathrm{a,t}}$	v_flow_add	$\mathbb{R}_0^+$	Flow using new capacity (built during CCATS run)	$tCO_2/year$	Details
$\mathbf{X}_{\mathrm{a,p,t}}$	v_flow_by_policy	$\mathbb{R}^+_0$	Flow by policy	$tCO_2/year$	Details
/= /				' -	

#### Investment costs

 $C_t^{investment}$  is the sum of investment costs committed in time period t.

#### **Policy costs**

 $C_{\scriptscriptstyle t}^{policy}$  is the sum of policy costs occurring during time period t.

#### Variable costs

 $C_t^{variable}$  is the sum of variable costs occurring during time period t.

#### **Transport investment**

 $\mathbf{I}_{\mathbf{a},\mathbf{d},\mathbf{t}}^{\mathbf{T}}$  is the transport investment decision at node n in time period t. This is only used in the non-linear (MILP) version of CCATS. This variable is in bold to indicate that it is a primary decision variable.

#### Storage investment

 $\mathbf{I_{n,t}^S}$  is the storage investment decision at node n in time period t. This variable is in bold to indicate that it is a primary decision variable.

#### Injectivity

 $J_{n,t}$  is the available injectivity of node n in time period t.

#### **Transport capacity added**

 $\mathbf{Q_{a,d,t}}$  is the amount of capacity added to arc a of type d in time period t. This variable is in bold to indicate that it is a primary decision variable.

#### **Total flow**

 $X_{a,t}$  is the total flow moving through arc a in time period t.

#### **Existing capacity flow**

 $\bar{\mathbf{X}}_{\mathbf{a},\mathbf{t}}$  is the flow using existing transport capacity through arc a in time period t. This variable is in bold to indicate that it is a primary decision variable.

#### **New capacity flow**

 $\mathbf{X}_{\mathbf{a},\mathbf{t}}$  is the flow using new transport capacity through arc a in time period t. This variable is in bold to indicate that it is a primary decision variable.

#### Flow by policy

 $\mathbf{X}_{\mathbf{a},\mathbf{p},\mathbf{t}}$  is the flow through arc a in time period t and indexed by policy p. This variable is in bold to indicate that it is a primary decision variable.

### How to Run CCATS

CCATS can be run either standalone in a python IDE, or within the NEMS integrated model framework. The CCATS module is written in Python and Pyomo. Documentation for the source code of the CCATS module can be found found in the Model API Reference Section.

### Running CCATS Standalone

When CCATS is run standalone, CO2 supply and demand volumes are exogenous.

To run CCATS standalone:

- Open the CCATS model directory in a Python IDE (Pycharm, Microsoft Visual Studio, Spyder, etc.)
- Create and assign a python interpreter including all the Python libraries listed in CCATS Dependencies.
- Select CCATS run options from the setup files stored in the CCATS input directories (i.e. model solver, debug outputs, etc.)
- 4. Run CCATS from ccats.py.
- 5. Review results in the CCATS debug directories.

### Running CCATS in NEMS

- Select CCATS run options from the setup files stored in the CCATS input directories (i.e. model solver, debug outputs, etc.)
- 2. Select NEMS run options from the scedes files store in the NEMS scedes directory
- 3. Run the Run\_NEMS.bat file and select a run repository and scedes file.
- 4. Review results in NEMS report writer and CCATS debug directories.

### **CCATS** Dependencies

CCATS relies on the below list of Python libraries to run.

- Libraries included with the default distribution or available via pip or conda:
  - sys
  - o os
  - io
  - o shutil
  - o pathlib
  - logging
  - argparse
  - shutil
  - pylint
  - tabulate
  - pylab
  - o itertools
  - warnings
  - pickle
  - numpy
  - pandas
  - o pyomo
  - matplotlib
  - folium (for mapping)
  - o xpress (if using the FICO Xpress solver)
- · NEMS specific libraries:
  - o pyfiler1 maintined by the NEMS Integration Team.

## Inputs and Methods

Inputs to CCATS are contained in .CSV files. These inputs determine high level assumptions and how the primary CCATS modules and submodules operate. They also include switches for various outputs or features of the model.

### Module inputs

Table 11 includes the inputs that are used by Module.

Table 11. General inputs

	Table 11. Genera	l inputs	
parameter	value	type	description
threads	4	int	number of threads to use (Python code + optimization)
hist_setup	hist_setup.csv	input_file	Historical Data setup file
fin_setup	fin_setup.csv	input_file	Financial Assumptions setup file
preproc_setup	preproc_setup.csv	input_file	Preprocessor setup file
opt_setup	opt_setup.csv	input_file	Optimization setup file
postproc_setup	postproc_setup.csv	input_file	Postprocessor setup file
restart_in	restart_CCATSin.unf	input_file	Restart file input for local standalone CCATS runs
restart_out	restart_CCATSo.unf	output_file	Restart file output by CCATS
preproc_switch	TRUE	switch	Run CCATS Preprocessor if True
ccats_opt_switch	TRUE	switch	Run CCATS Optimization if True
postproc_switch	TRUE	switch	Run CCATS Postprocessor if True
output_switch	TRUE	switch	Run CCATS Output Processor if True
update_45q_switch	TRUE	switch	Update the 45Q policy path with input from setup.txt "eor_45q" and "saline_45q" variables if True
debug_switch	FALSE	switch	Output debug files if True (will result in longer model runtime)
debug_restart_itr_switch	FALSE	switch	Output the restart file de- bug file every iteration if True (will result in slightly longer model runtime)
write_restart_before_run_switch	FALSE	switch	Output the restart file de- bug received by CCATS before running if True
output_restart_unf_switch	FALSE	switch	Output the restart file as a .unf after running CCATS if True
visualize_preproc_switch	FALSE	switch	Output folium visualizations of optimization inputs if True
visualize_postproc_switch	FALSE	switch	Output folium visualizations of optimization outputs if True
pytest_switch	FALSE	switch	Perform pytest internal vali- dation tests if True

parameter	value	type	description
price_reset_switch	FALSE	switch	Reset CO2 prices to produce endogenous CO2 prices from models which would otherwise have 0 CO2 capture if True
price_reset_value_45q	-35	input_variable	CO2 price reset value when "price_reset_switch" == True
price_reset_value_ntc	-9.52	input_variable	CO2 price reset value when "price_reset_switch" == True
price_average_switch	TRUE	switch	CO2 prices are set as a weighted average of duals by CD if True
price_marginal_switch	FALSE	switch	CO2 prices are set as the marginal CO2 price by CD if True
linear_model_switch	TRUE	switch	Model is run as a linear model (vs. a MIP) if True
mapping	mapping.csv	input_file	Regionl NEMS mapping (i.e. census divisions to census regions)
co2_eor_mapping	co2_eor_mapping.csv	input_file	Mapping of CO2 EOR plays to census divisions/census regions
idm_mapping	idm_mapping.csv	input_file	Mapping of IDM CO2 capture volumes from cenesus regions to census divisions based on historical production ratios
co2_supply_index	co2_supply_index.csv	input_file	Mapping of CO2 capture facility types to NEMS Restart variable index
co2_seq_index	co2_seq_index.csv	input_file	Mapping of CO2 seques- tration types to NEMS Restart variable index
year_start	2024	input_variable	First model run year
year_aeo	2025	input_variable	AEO year
year_final	2050	input_variable	Final model run year
year_base_price	1987	input_variable	Base \$ year
eor_45q	60	input_variable	Current 45Q tax credit value for CO2 EOR
saline_45q	85	input_variable	Current 45Q tax credit value for saline formation storage
year_new_45q	2022	input_variable	Year current 45Q tax credit policy took effect
year_45q_duration	12	45Q tax credit policy duration	years
year_45q_last_new	2038	Final year for which new 45Q- eligible facilities can be retrofit/built	year

parameter	value	type	description
legacy_eor_45q	35	Legacy 45Q tax credit value for CO2 EOR	
legacy_saline_45q	50	Legacy 45Q tax credit value for saline formation storage	
year_leg_45q	2017	Year legacy tax credit value took effect	
supply_select_ts_penalty	1	input_variable	Penalty for ts node costs in the model

### Financial inputs

Table 12 shows the inputs that are used by ccats\_Finance.

Table 12. Financial assumptions

		IDIE 12. FIIIAIICIA	i documptiono	
parameter	value	type	description	units
debt_ratio	0.4	input_variable	Ratio of debt financing	frac- tion
return_over_capital_cost	0.05	input_variable	Assumed required return over capital cost for investment	frac- tion
fed_tax_rate	0.21	input_variable	Assumed federal tax rate	rate
duration_block0	1	input_variable	Duration of optimization model block 0	years
duration_block1	1	input_variable	Duration of optimization model block 1	years
duration_block2	18	input_variable	Duration of optimization model block 2	years
duration_45q	12	input_variable	Duration of 45Q tax credit policy for a single facility	years
financing_risk_premia	0.02	input_variable	Assumed risk premia required by investors over risk-free market rate	frac- tion
financing_years_transport	20	input_variable	Assumed financing years for pipeline transport investments	years
financing_years_storage	26	input_variable	Assumed financing years for saline for- mation storage investments	years
fixed_om_fraction_transport	0.025	input_variable	Fixed O&M costs as a percentage of capital costs for pipeline transport	frac- tion
fixed_om_fraction_storage	0.087	input_variable	Fixed O&M costs as a percentage of capital costs for saline formation storage	frac- tion
site_dev_years_storage	4	input_variable	Assumed number of years for storage site development	years
construction_years_storage	2	input_variable	Assumed number of years for storage site construction	years

### Preprocessor inputs

Table 13 shows the inputs that are used by Preprocessor.

Table 13. Preprocessor inputs

parameter	value	type	description	units
storage_formations_lookup	storage\storage_formations_lookup.csv	input_file	Lookup of saline formation attributes	

parameter	value	type	description	units
co2_supply_facilities	co2_facilities\co2_facility_lookup.csv	input_file	input_file	Lookup of CO2 cap- ture fa- cility at- tributes
pipeline_lookup_multi	transport\master_pipeline_lookup_multi.csv	input_file	Lookup of CO2 pipeline transportation attributes	
ts_multiplier	transport\ts_multiplier.csv	input_file	Set of CO2 multipliers for TS arcs by year	
hsm_eor_centroid	demand\hsm_eor_centroid.csv	input_file	CO2 demand from HSM centroids during STEO years	
small_sample_switch	FALSE	switch	Model solves for only a single census division for testing if TRUE	
small_sample_division	7	input_variable	Census division solved with "small_sample_switch" == TRUE	
split_45q_supply_switch	TRUE	switch	Split CO2 supply into 45Q and NTC components if TRUE	
block_45q_yr	6	input_variable	Decision variable for number of 45Q eligibility years remaining in model (see method: determine_facility_eligibility_45q)	years
new_pipes_to_exist_facilities	2026	First year that new pipelines can be built to existing cap- ture facilities (first opera- tional in the following year)	years	
tech_learn_ammonia	0.007984	tech_rate	Tech rate for capture at ammonia facilities	fr/yr
tech_learn_ethanol	0.00	tech_rate	Tech rate for capture at ethanol facilities	fr/yr
tech_learn_cement	0.01	tech_rate	Tech rate for capture at cement facilities	fr/yr
tech_learn_ng_processing	0.01	tech_rate	Tech rate for capture at natural gas processing	fr/yr
tech_learn_other	0.01	tech_rate	Tech rate for capture at all other facilities	fr/yr
tech_learn_power	0.00	tech_rate	Tech rate for capture at power plants (coal and natural gas)	fr/yr
tech_learn_transport	0.01	tech_rate	Tech rate for carbon transport	fr/yr
tech_learn_storage	0.01	tech_rate	Tech rate for carbon storage	fr/yr
transport_buffer	1.15	Buffer capacity for new pipeline builds in the optimization	input_variable	
storage_buffer	1.15	Buffer capacity for new saline formation storage	input_variable	

parameter	value	type	description	units
		builds in the		
		optimization		

# Optimization model

Table 14 includes the inputs that are used by <code>optimizationModel</code>.

Table 14. Optimization model inputs

narameter	value	tuno	description
parameter	value	type	description
opt_check_solver_status	FALSE	switch	Check solver status and attempt to troubleshoot cause for solve fail if TRUE
troubleshoot_datatypes	FALSE	switch	Log datatypes to support troubleshooting if TRUE
debug_opt_log	FALSE	switch	Output solver output log if TRUE
use_solver_xpress_persistent	TRUE	bool	Use the xpress persistent solver (NEMS default - note: the first solver selected is used)
use_solver_xpress_direct	FALSE	bool	Use the xpress direct solver (note: the first solver selected is used)
use_solver_xpress	FALSE	bool	Use the default version of the xpress solver (note: the first solver selected is used)
use_solver_highs	FALSE	bool	Use the HiGHS solver (note: the first solver selected is used)
xpress_path	C:/xpress8_12/bin/xpauth.xpr	str	path to xpress' xpauth.xpr license file
soltimelimit	600	int	solution time limit per iteration (seconds)
maxmemorysoft	16000	int	MB available for solve

### Postprocessor inputs

Table 15 shows the inputs that are used by Postprocessor.

Table 15. Postprocessor inputs

parameter	value	type	description	units
price_limit	1000	input_variable	Maximum price (+/-) returned by CCATS	\$1987
price_peg	0.3	input_variable	Maximum price (+/-) movement allowed per cycle relative to the previous cycle	\$1987
slack_threshold	1	input_variable	Threshold at which slack infeasibilities are recorded in debug outputs	tonnes
log_b1_infeasibilities	TRUE	switch	Log b1 infeasibilties if TRUE	
log_b2_infeasibilities	FALSE	switch	Log b2 infeasibilties if TRUE	
infeasibility_threshold_pct	0.5	input_variable	Log infeasibilities if greater than provided percentage of total flow	%

.

# ccats module

Main execution function for the Carbon Capture, Transportation, Allocation and Sequestration (CCATS) Module.

# **CCATS Main: Summary**

**ccats.py** is the main execution function for CCATS. It is called from NEMS **main.py**, performs basic model setup functions, and then calls <u>Module</u>: <u>Summary</u> to run the main CCATS processes. The file operates as follows:

- 1. **ccats.py** is called from NEMS **main.py** or run directly from an IDE.
- 2. Logging setup:
  - a. The previous run's log is deleted from the directory.
  - b. Logger is configured and written to "ccats\_debug.log".
- 3. File directory paths are instantiated.
- 4. get\_args() is called, pulling run information from the NEMS command line for integrated runs (i.e. iteration and model year).
- 5. run\_ccats() is called, kicking off the main CCATS run operations:
  - a. Module in Module: Summary is declared, main directories are declared, and main setup file is identified.
  - b. module.Module.setup() in Module: Summary is called to perform year 1 model setup.
  - c. CCATS determines whether to run standalone vs. integrated based on run location.
  - d. Main CCATS functions are run via Module: Summary.
  - e. After main CCATS model functions run, results are prepared and reported to the restart file in restart.Restart.write\_results().

# **CCATS Main: Input Files**

None

## **CCATS Main: Functions and Class Methods**

- get\_args() Parses the arguments passed in via command line of NEMS
- run\_ccats() Executes main CCATS processes from Module: Summary.

None

# **CCATS Main: Output Restart Variables**

None

### **CCATS Main: Code**

ccats.get\_args(in args=None)

[source]

Parses the arguments passed in via command line of NEMS. NOTE: NEMS calls Pyfiler with trailing options for PyFiler to parse through for the input file, output file, and direction of restart to hdf formatting.

Parameters: in args (list) - in args first set to none, then creates a list of system argu-

ments passed via the command line.

**arguments** – command line arguments saved into namespace variable. Returns:

**Return type:** Namespace

ccats.run\_ccats(year, iteration, pyfiler1, cycle, scedes)

[source]

Executes main CCATS processes from Module: Summary.

- Instantiates main directory,
- Declares module.Module parent class for CCATS,
- Runs module.Module.setup() processes from Module: Summary,
- Runs module.Module.run() processes from Module: Summary, and
- Runs restart.Restart.write\_results() processes from Restart: Summary.

- **Parameters:** year (str or int) Current run year.
  - **iteration** (*str or int*) Current NEMS iteration.
  - **pyfiler1** (*None or pyfiler*) Tool for reading and writing to the restart file.
  - cycle (str or int) Current NEMS cycle.
  - scedes (None or scedes file inputs from main.py.) NEMS Scenario Description data.

Return type: None

# ccats\_common package

### Submodules

## ccats\_common.common module

Utility file containing miscellaneous common functions used in CCATS.

### Common: Summary

This is a utility file containing general functions that are either a) used frequently in a single submodule, or are used by several submodules. Example functions include read\_dataframe(), which is a universal function for reading files into dataframes, and calculate\_inflation() which applies the NEMS inflation multipliers to CCATS DataFrames.

Convention for import alias is import common as com

### Common: Input Files

Unique to each function.

#### Model Functions and Class Methods

- read\_dataframe() Reads multiple filetypes into python as Pandas DataFrames, checking for nans.
- calculate\_inflation() Inflation calculator using restart file inflation multiplier, applied to Pandas DataFrames.
- array\_to\_df() Creates a Pandas DataFrame from a NumPy array.
- df\_to\_array() Creates a NumPy array from a Pandas DataFrame.
- unpack\_pyomo() Unpacks Pyomo results and converts results to DataFrames.
- check\_results() Checks optimization termination condition.
- align\_index() Aligns the index types of two tables based on the restart variable type.
- compare\_lists() Check if list A is equal or a subset of list B.
- check\_dicts\_ruleset() Check the list of dicts against defined ruleset.

### Common: Output Debug Files

None

### Common: Output Restart Variables

None

#### Common: Code

```
ccats_common.common.read_dataframe(filename, sheet_name=0, index_col=None, skiprows=None,
to_int=True)
```

Reads multiple filetypes into python as pandas DataFrames, checking for nans.

**Parameters:** • **filename** (*str*) – Filename, including file type extension (e.g. .csv).

- **sheet\_name** (*str*) Name of the sheet if using excel or hdf.
- index\_col (int, str, list, or False) Column number to use as row labels.
- **skiprows** (*int*) Column number to use as row labels.
- to\_int (bool) If True, values are converted to integers.

Return type: DataFrame

```
ccats_common.common.calculate_inflation(rest_mc_jpgdp, from_year, to_year=None) [source]
```

Inflation calculator using restart file inflation multiplier, applied to pandas DataFrames.

**Parameters:** • rest\_mc\_jpgdp (DataFrame) – DataFrame of NEMS restart file inflation multipliers indexed by year.

- **from\_year** (*int*) Starting year for inflation calculation.
- to\_year (None or int) Target year for inflation calculation, if None defaults to 1987.

Return type: float

```
ccats_common.common.array_to_df(array)
```

[source]

Create DataFrame from NumPy array.

- Creates a multi-index DataFrame from a multi-dimensional NumPy array
- Each array dimension is stored as a binary index column in the DataFrame multi-index
- Multi-index columns are currently numbered to reflect array dimension level

Parameters: array (NumPy array) - A multi-dimensional array containing restart file data

Return type: DataFrame

```
ccats_common.df_to_array(df)
```

[source]

Turns multi-index DataFrame into multi-dimensional NumPy array.

- Creates a multi-dimensional array from a multi-index dataframe
- Each DataFrame multi-index is stored as an array dimension

**Parameters:** df (DataFrame) – A multi-index DataFrame containing restart file data.

Return type: NumPy array

```
ccats_common.common.unpack_pyomo(variable, values, levels)
```

[source]

Tool for unpacking Pyomo variable outputs and converting them to DataFrames.

**Parameters:** • variable (*Pyomo Var*) – Target variable to unpack.

- values (Pyomo Datatype (for example, pyo.value)) Pyomo value type.
- levels (int) Number of levels in array to unpack (i.e. CO<sub>2 supplied from i is one level, while CO:sub: 2 piped from i to j is two levels).
  </sub>

Return type: df

```
ccats_common.common.check_results(results, SolutionStatus, TerminationCondition) [source]
```

Check Pyomo termination condition

**Parameters:** • results (pyomo. SolverResults) – Results from Pyomo.

- **SolutionStatus** (*enum.EnumType*) Solution Status from Pyomo.
- **TerminationCondition** (*enum.EnumType*) Termination Condition from Pyomo.

**Returns:** True = problem with solution, False = good solution.

Return type: bool

ccats\_common.common.align\_index(df1, df2, restart\_var=None)

[source]

Aligns the index types of two tables based on the restart variable type.

- If restart var is provided we use that to determine the index types
- If restart\_var is none, we assume that df1 has the correct index type

Parameters: • df1 (DataFrame (2 dimensional)) – Restart variable table.

- df2 (DataFrame (2 dimensional)) Restart variable table.
- restart\_var (DataFrame (2 dimensional)) Restart variable, used to decide the index type.

**Returns:** • **df1** (*DataFrame* (2 dimensional)) – Updated version of df1 parameter.

• df2 (DataFrame (2 dimensional)) - Updated version of df2 parameter.

ccats\_common.compare\_lists(Lista, Listb)

[source]

Check if lista is equal or a subset of listb

 used to check that node ids(supply, hubs, storage)in lista are part of the set in listb

**Parameters:** • **lista** (*list*) – First list for comparison.

• **listb** (*list*) – Second list for comparison.

Return type: None

ccats\_common.check\_dicts\_ruleset(dict\_list)

[source]

Check the list of dicts against defined ruleset

- 1. check for nans
- 2. make sure lengths of each dict are the same length

Parameters: dict\_list (list) – list of dicts

Return type: None

### ccats\_common.common\_debug module

Utilities for debugging CCATS.

### Common Debug: Summary

This file contains functions to:

- 1. Perform code analysis with pylint.
- 2. Debug DataFrames.
- 3. Debug Pyomo models.

### Common Debug: Input Files

Unique to each function.

### Common Debug: Model Functions and Class Methods

- run\_pylint() Runs pylint to analyze code.
- check\_nans() Checks a DataFrame for NaNs.

- check\_infvalues() Checks a DataFrame for infinity values.
- check\_index\_type() Checks two DataFrame to see if they are aligned for a .merge() or .update()
- print table() Prints a table for easy debugging.
- compute\_infeasibility\_explanation() used to debug Pyomo models (from Pyomo development team).

### Common Debug: Output Debug Files

None

### Common Debug: Output Restart Variables

None

### Common Debug: Code

```
[source]
ccats_common.common_debug.run_pylint(filelist=None, errors_only=False)
    Runs pylint.
    Parameters: • filelist (list) - List of files to evalute, if None, then pylint will evalute the cwd

    errors_only (bool) – Determines if we run pylint against all checks or errors only

     Return type: bool
ccats_common.common_debug.check_nans(df, tablename='my_table')
                                                                                                 [source]
    Checks table (DataFrame) for nan values.
    Parameters: • df (DataFrame (2 dimensional)) – Restart variable table
                   • tablename (string) – Name for the output file
    Return type: bool
                                                                                                [source]
ccats_common.common_debug.check_infvalues(df, tablename='my_table')
    Checks DataFrame for infinity values.
    Parameters: • df (DataFrame (2 dimensional)) – Restart variable table.
                   • tablename (str) – Name for the output file.
     Return type: bool
                                                                                                 [source]
ccats_common.common_debug.check_index_type(df1, df2)
    Checks two tables (DataFrames) index types to see if they are aligned for a .merge() or .update().

    Checks if index type of df1 is equal to index type of df2
```

· Logs the result

**Parameters:** • **df1** (*DataFrame* (2 dimensional)) – Restart variable table.

• df2 (DataFrame (2 dimensional)) – Restart variable table.

Return type: bool

```
[source]
ccats_common.common_debug.print_table(df, outputfilename=None)
```

Prints a table for easy debugging.

Parameters: • df (DataFrame (2 dimensional)) – Restart variable table

• outputfilename (str) – Name of output file

Return type: None

```
class ccats_common.common_debug._VariableBoundsAsConstraints
```

Bases: object

#### PYOMO DEBUG FUNCTION FROM PYOMO DEVELOPMENT TEAM

Replace all variables bounds and domain information with constraints. Leaves fixed Vars untouched (for now)

```
pyo = <module 'pyomo.environ' from
'C:\\python_environments\\aeo2025_py311_doc\\Lib\\site-packages\\pyomo\\environ\\__init__.py'>
class AddSlackVariables(**kwds)
```

[source]

Bases: NonIsomorphicTransformation

This plugin adds slack variables to every constraint or to the constraints specified in targets.

```
CONFIG = <pyomo.common.config.ConfigDict object>
    __init__(**kwds)
    _apply_to(instance, **kwds)
    _apply_to_impl(instance, **kwds)

class IsomorphicTransformation(**kwds)
```

Bases: Transformation

Base class for 'lossless' transformations for which a bijective mapping between optimal variable values and the optimal cost exists.

```
__init__(**kwds)
unique_component_name(name)
class ComponentMap(*args, **kwds)
```

Bases: Mixin, MutableMapping

This class is a replacement for dict that allows Pyomo modeling components to be used as entry keys. The underlying mapping is based on the Python id() of the object, which gets around the problem of hashing subclasses of NumericValue. This class is meant for creating mappings from Pyomo components to values. The use of non-Pyomo components as entry keys should be avoided.

A reference to the object is kept around as long as it has a corresponding entry in the container, so there is no need to worry about id() clashes.

We also override \_\_setstate\_\_ so that we can rebuild the container based on possibly updated object ids after a deepcopy or pickle.

\* An instance of this class should never be deepcopied/pickled unless it is done so along with the components for which it contains map entries (e.g., as part of a block). \*

```
_dict
__init__(*args, **kwds)
_abc_impl = <_abc._abc_data object>
clear() → None. Remove all items from D.
get(k[, d]) → D[k] if k in D, else d. d defaults to None.
```

```
setdefault(k[, d]) → D.get(k,d), also set D[k]=d if k not in D

class ComponentSet(*args)
```

Bases: MutableSet

This class is a replacement for set that allows Pyomo modeling components to be used as entries. The underlying hash is based on the Python id() of the object, which gets around the problem of hashing subclasses of NumericValue. This class is meant for creating sets of Pyomo components. The use of non-Pyomo components as entries should be avoided (as the behavior is undefined).

References to objects are kept around as long as they are entries in the container, so there is no need to worry about id() clashes.

We also override \_\_setstate\_\_ so that we can rebuild the container based on possibly updated object ids after a deepcopy or pickle.

\* An instance of this class should never be deepcopied/pickled unless it is done so along with its component entries (e.g., as part of a block). \*

```
_data
       __init__(*args)
       _abc_impl = <_abc._abc_data object>
       add(val)
          Add an element.
       clear()
          Remove all elements from this set.
       discard(val)
          Remove an element. Do not raise an exception if absent.
       remove(val)
          Remove an element. If not a member, raise a KeyError.
       update(args)
          Update a set with the union of itself and others.
   WriterFactory = <pyomo.common.factory.Factory object>
   default nl writer
       alias of NLWriter
   _apply_to(instance, **kwds)
                                                                                         [source]
ccats common.common debug.compute infeasibility explanation(model, solver=None,
                                                                                         [source]
tee=False, tolerance=1e-08)
```

PYOMO DEBUG FUNCTION FROM PYOMO DEVELOPMENT TEAM

This function attempts to determine why a given model is infeasible. It deploys two main algorithms:

- 1. Successfully relaxes the constraints of the problem, and reports to the user some sets of constraints and variable bounds, which when relaxed, creates a feasible model.
- 2. Uses the information collected from (1) to attempt to compute a Minimal Infeasible System (MIS), which is a set of constraints and variable bounds which appear to be in conflict with each other. It is

minimal in the sense that removing any single constraint or variable bound would result in a feasible subsystem.

Parameters: • model (A pyomo block)

- (optional) (tolerance)
- (optional)
- (optional) constraint feasible (1e-08)

```
ccats_common.common_debug._get_results_with_value(constr_value_generator, msg=None)
                                                                                  [source]
                                                                          [source]
ccats common.common debug. get results(constr generator, msg=None)
                                                                                  [source]
ccats_common.common_debug._get_constraint(modified_model, v)
```

### ccats common.common pytest module

Run Pytest for CCATS

### Common Pytest: Summary

CCATS utility submodule for running Pytest.

### Common Pytest: Input Files

None

### Common Pytest: Model Functions and Class Methods

- \_\_init\_\_() Initialize variables for running Pytest.
- run() Run Pytest.

### Common Pytest: Output Debug Files

 ccats\_common//tests\_files//logs//pytestlog\_<model\_year>.log - log of Pytest results where <model\_year> is the year of the current run.

### Common Pytest: Output Restart Variables

### Common Pytest: Code

```
[source]
class ccats_common.common_pytest.TestCollection(parent)
   Bases: object
   Class for running Pytest for CCATS.
     _init__(parent)
                                                                                             [source]
       Initializes TestCollection object.
```

**Parameters:** parent (*Class*) – Parent class for Pytest model testing.

Return type: None

run()
[source]

Run Pytest for CCATS.

Parameters: None Return type: None

### ccats\_common.common\_visual module

Utility file containing common visualization functions used in CCATS.

### Common Visual: Summary

This is a utility file containing visualization functions used in CCATS.

Common Visual: Input Files

None

#### Common Visual: Model Functions and Class Methods

- create\_folium\_map() Map a CCATS solution.
- print\_datatable\_to\_html() Create an html data table

### Common Visual: Output Debug Files

- create\_folium\_map() outputs a map in a user specified path and filename.
- print\_datatable\_to\_html() outputs a table in a user specified path and filename.

### Common Visual: Output Restart Variables

None

#### Common Visual: Code

```
ccats_common.common_visual.create_folium_map(df, outdir, map_name='pipeline_map.html')
Create a folium map.
[source]
```

**Parameters:** • **df** (*DataFrame*) – Dataframe with node, pipeline data, and co2 volume.

• **outdir** (*str*) – Path for saving the map.

• map\_name (str) – Filename for the map.

Return type: None

```
ccats_common.common_visual.print_datatable_to_html(df, outfile, headear=None) [source]

Print map input datatable to html format.
```

**Parameters:** • **df** (*DataFrame*) – Data to map.

• outdir (str) – Path for saving the map.

• map\_name (str) – Filename for the map.

Return type: None

### ccats common.folium objects module

Utility file containing folium objects used in CCATS for mapping.

Folium Objects: Summary

This is a utility file containing folium objects used in CCATS for mapping.

Folium Objects: Input Files

None

### Folium Objects: Model Functions and Class Methods

- create\_marker() creates folium markers (called by ccats\_common.folium\_pipeline\_map.create\_nodes()).
- create\_pipeline() creates folium polylines to represent pipelines between two points (called by ccats\_common.folium\_pipeline\_map.create\_pipelines()).
- create\_arrowline() creates folium polyline to represent pipelines between two points (called by ccats\_common.folium\_pipeline\_map.create\_pipelines()).
- create\_dashedline() creates dashed folium polyline to represent pipelines between two points (called by ccats\_common.folium\_pipeline\_map.create\_pipelines()).
- create\_legend() creates legend for folium map (called by run()).
- export\_legend() saves legend (called by create\_legend()).

Folium Objects: Output Debug Files

None

Folium Objects: Output Restart Variables

None

Folium Objects: Code

```
ccats_common.folium_objects.create_marker(lat, long, node_types, color='red', radius=5.0,
popup_data='N/A', featureGroupName=None)
[source]
```

Creates Folium markers.

Parameters: • lat (float) – Latitude

- **long** (*float*) Longitude
- **node\_types** (*list*) List of folium featuregroups representing node groups
- color (string) Color for marker
- radius (float) Radius for marker
- popup\_data (str) Data shown in popup when marker is clicked
- featureGroupName (str) Tells with node types to add marker to

Returns: Node types with marker representing each node

Return type: list

```
ccats_common.folium_objects.create_pipeline(id, volume, pointA, pointB, color, weight=1)
                                                                                               [source]
   Creates Folium polylines to represent pipelines between two points.
    Parameters: • id (str) – Pipeline ID.
                  • volume (float) - CO2 volume
                  • pointA (list) – Lat and long for a point.
                  • pointB (list) – Lat and long for a point.
                  • color (str) - Color of the pipeline.
                  • weight (int) – Weight of line.
     Return type: Folium polyline
                                                                                               [source]
ccats_common.folium_objects.create_arrowline(color, path, weight=24)
   Creates Folium polyline to represent pipelines between two points.
    Parameters: • color (str) – Color for the line.
                  • path (Folium Polyline) - Folium Polyline to render.
                  • weight (int) - Weight of line
    Return type: Folium PolyLineTextPath
ccats_common.folium_objects.create_dashedline(color, path, weight=24)
                                                                                               [source]
   Creates dashed folium polyline to represent pipelines between two points.
    Parameters: • color (str) – Color for the line.
                  • path (Folium Polyline) - Folium Polyline to render.
                  • weight (int) - Weight of line
     Return type: Folium PolyLineTextPath
ccats common.folium objects.create legend(color map, fname)
                                                                                               [source]
   Creates legend for folium map.
    Parameters: • color_map (dict) – Color assigned to each node type.
                  • fname (str) – Name to save the legend output file.
     Return type: None
                                                                                               [source]
ccats_common.folium_objects.export_legend(legend, filename='legend.png')
   Saves legend.
    Parameters: • legend (Matplotlib legend) – Legend to be saved.
                  • fname (str) – Name to save the legend output file.
    Return type: None
```

# ccats\_common.folium\_pipeline\_map module

Utility file containing Folium functions used in CCATS for mapping.

### Folium Pipeline Map: Summary

This is a utility file containing Folium functions used in CCATS for mapping.

### Folium Pipeline Map: Model Functions and Class Methods

- scale\_values() Creates a width scale for Folium elements based on volume (called by calculate\_node\_volumes() and calculate\_pipeline\_volumes()).
- create\_nodetypes() Creates the types of groups to place nodes (called by run()).
- calculate\_node\_volumes() Calculates volume for each node and assign a weight(radius) for Folium object (called by create\_nodes()).
- calculate\_pipeline\_volumes() Calculates volume for each pipeline and assign a weight(radius) for Folium object (called by \* create\_pipelines()).
- create\_nodes() Iterate through the pipeline DataFrame and create each node (called by create\_nodes\_pipelines()).
- create\_pipelines() Iterate through the pipeline DataFrame and create each pipeline (called by create\_nodes\_pipelines()).
- create\_nodes\_pipelines() Create nodes and pipelines (called by run()).
- run() Create a map of CCATS data (called by ccats\_common\_visual.create\_folium\_map()).

### Folium Pipeline Map: Input Files

None

### Folium Pipeline Map: Output Debug Files

None

### Folium Pipeline Map: Output Restart Variables

None

### Folium Pipeline Map: Code

```
ccats_common.folium_pipeline_map.scale_values(df, column_name, scale_start=1,
scale_end=5)
[source]
```

Creates a width scale for Folium elements based on volume.

**Parameters:** • **df** (*DataFrame*) – DataFrame with node, pipeline data, and CO<sub>2</sub> volume.

- **column\_name** (*str*) Name of column to base the scale on, generally will be co2 volume.
- scale\_start (int) Min value of scale.
- end (scale) Max value of scale.

Return type: DataFrame with scale

```
ccats_common.folium_pipeline_map.create_nodetypes(types)
[source]
```

Creates the types of groups to place nodes.

• create a Folium.FeatureGroup for each node type

Parameters: types (None or list) – Types of nodes to be plotted.

Returns: List of node groups

Return type: list

```
ccats_common.folium_pipeline_map.calculate_node_volumes(df) [source]
```

Calculates volume for each node and assign a weight(radius) for Folium object.

- · Create a dataframe with id, volume and weight
- · Sum the volume of nodes with the same id
- · Assign a weight based on volume for each node id

Parameters: df (DataFrame) - DataFrame with node, pipeline data, and CO<sub>2</sub> volume

Returns: DataFrame with id, volume and weight

Return type: DataFrame

ccats\_common.folium\_pipeline\_map.calculate\_pipeline\_volumes(df)

[source]

[source]

Calculates volume for each pipeline and assign a weight(radius) for Folium object.

- · Create a dataframe with id, volume and weight,
- Sum the volume of j nodes with the same id, and
- · Assign a weight based on volume for each node id.

**Parameters:** df (*Dataframe*) – DataFrame with node, pipeline data, and CO<sub>2</sub> volume.

Returns: DataFrame id, volume and weight

Return type: DataFrame

ccats\_common.folium\_pipeline\_map.create\_nodes(df, node\_types, color\_map)

Iterate through the pipeline dataframe and create each node.

- Calls calculate\_node\_volumes to get dataframe with weight for each node
  if id is not in dataframe, min value is assigned
- · Call create marker to create a Folium marker for each node

**Parameters:** • **df** (*DataFrame*) – DataFrame with node, pipeline data, and CO`<sub>2</sub> volume.

- node\_types (list) List of folium.FeatureGroup node groups.
- color\_map (dict) Color assigned to each node type.

Returns: node types with Folium makers representing nodes

Return type: list

ccats\_common.folium\_pipeline\_map.create\_pipelines(df, color, line\_type=None) [source]

Iterate through the pipeline dataframe and create each pipeline.

- Calls calculate node volumes to get dataframe with weight for each pipeline
  - o If id is not in dataframe, min value is assigned
- Calls create\_pipeline to create a Folium line for each pipeline

**Parameters:** df (*DataFrame*) – DataFrame with node, pipeline data, and CO<sub>2</sub> volume.

Returns: list of Folium polylines representing pipelines

Return type: list

ccats\_common.folium\_pipeline\_map.create\_nodes\_pipelines(pipeline\_data, node\_groups,
color\_map, pipeline\_color='orange', line\_type=None)
Create nodes and pipelines.

**Parameters:** • pipeline\_data (*DataFrame*) – Pipeline data for plotting.

- node groups (list) List of node groups
- **color\_map** (*dict*) Dictionary matching node types to their plot colors.
- **pipeline\_color** (*str*) Color for plotting pipelines.
- line\_type (None or str) Type of lines to be plotted.

**Returns:** • node groups (*list*) – List of Folium objects representing nodes.

• **pipelines** (*list*) – List of Folium objects representing pipelines.

ccats\_common.folium\_pipeline\_map.run(m, df, outdir, outfile)

Create a map of CCATS results.

[source]

**Parameters:** • m (Folium Map) – Map object for plotting data.

• **df** (*list*) – List of DataFrames of data for plotting.

• **outdir** (*str*) – Directory to sainge the map.

• **outfile** (*str*) – Filename for saving the map.

Return type: Folium Map

ccats\_common.folium\_pipeline\_map.run\_preprocessor\_map(m, df, outdir, outfile) [source]

### Module contents

# ccats financial module

Class for declaring CCATS Financial Assumptions.

# **CCATS Financial: Summary**

**ccats\_financial** is the preprocessor for model financial assumptions and a utility for Preprocessor: Summary to calculate the present value of model costs by block.

The module operates as follows:

- 1. Reads in financial assummption inputs in setup().
- 2. Calculates the CCATS model discount rate in calculate discount rate().

calculate\_discount\_investment() and calculate\_discount\_variable\_policy() are called from
Preprocessor: Summary.

### CCATS Financial: Functions and Class Methods ¶

- \_\_init\_\_() Constructor to initialize Class (instantiated by module.Module.setup() in Module: Summary).
- setup() CCATS\_Financial Setup method (called by module.Module.setup()).
- run() Runs main CCATS Financial Processes (called by module.Module.setup()).
- calculate\_discount\_rate() Calculate discount rate for Carbon Capture and Storage Projects (called by run()).
- calculate\_discount\_investment() Compute the present value of an investment (called by preprocessor.Preprocessor.instantiate\_pyomo\_series()).
- calculate\_discount\_variable\_policy() Compute the present value of a variable or policy cost (called by preprocessor.Preprocessor.instantiate\_pyomo\_series()).

# **CCATS** Financial: Input Files

 fin\_setup.csv - setup file with model financial inputs (debt rate, block durations, etc.).

# **CCATS Financial: Output Debug Files**

None

# **CCATS Financial: Output Restart Variables**

### **CCATS** Financial: Code

class ccats\_financial.CCATS\_Finance(parent)

[source]

Bases: object

Class for declaring CCATS Financial Assumptions.

\_\_init\_\_(parent)

[source]

Initializes attributes for CCATS Finance.

**Parameters:** parent (module.Module) – Pointer to head module

Return type: None

parent

module. Module head module

setup(setup\_filename)

[source]

Setup function for CCATS financial assumptions.

**Parameters:** setup\_filename (str) – ccats\_financial setup file name.

- self.fin\_table (DataFrame) DataFrame of CCATS financial inputs (debt rate, block durations, etc.).
- self.debt\_ratio (float) Ratio of debt financing.
- **self.return\_over\_capital\_cost** (*float*) Assumed required return over capital cost for investment.
- **self.fed\_tax\_rate** (*float*) Assumed federal tax rate.
- **self.duration\_b0** (*int*) Duration of optimization model block 0.
- self.duration b1 (int) Duration of optimization model block 1.
- **self.duration b2** (*int*) Duration of optimization model block 2.
- self.duration\_45q (int) Duration of 45Q tax credit policy for a single facility.
- self.financing\_risk\_premia (float) Assumed risk premia required by investors over risk-free market rate.
- **self.financing\_years\_transport** (*int*) Assumed financing years for pipeline transport investments.
- self.financing\_years\_storage (int) Assumed financing years for saline formation storage investments.
- self.fixed\_om\_fraction\_transport (float) Fixed O&M costs as a percentage of capital costs for pipeline transport.
- **self.fixed\_om\_fraction\_storage** (*float*) Fixed O&M costs as a percentage of capital costs for saline formation storage.
- self.site\_dev\_years\_storage (int) Assumed number of years for storage site development.

- **self.construction\_years\_storage** (*int*) Assumed number of years for storage site construction.
- self.rate\_real (NumPy array) Array of 10-year treasury rate by year
- **self.rate\_inflation** (*NumPy array*) Array of inflation rate by year.

run()
[source]

Run CCATS financial assumptions.

Parameters: None Return type: None

### calculate\_discount\_rate()

[source]

Calculate discount rate for Carbon Capture and Storage Projects

Parameters: None

Returns:

- **self.expected\_inflation\_rate** (*NumPy array of floats*) Inflation rates by year (fraction). If length < n\_years, then the last entry is used for missing years.
- **self.rate\_discount** (*NumPy array of floats*) Discount rates by year (fraction). If length < n\_years, then the last entry is used for missing years.
- self.rate\_borrowing (NumPy array of floats) Borrowing rates by year (fraction). If length < n\_years, then the last entry is used for missing years.

Called from Preprocessor: Summary to discount storage and transport investment costs.

**Parameters:** • year\_invest (int) – Year that investment decision occurs.

• **n\_financing** (*int*) – Number of years that financing is paid over (and duration that Fixed O&M is paid).

• **fom** (*float*) – Fraction of CAPEX paid each year as Fixed O&M.

Returns: discount – Relates a lump sum capex investment to its present value

(n\_years earlier).

Return type: float

calculate\_discount\_variable\_policy(block\_start\_year, n\_years,
include\_inflation)
[source]

Compute the present value of a variable or policy cost.

 Called from Preprocessor: Summary to discount policy, CO<sub>2</sub> EOR net cost offers and opex.

**Parameters:** • year\_start (int) – Start year as an index in discount\_rates and inflation\_rates.

• **n\_years** (*int*) – Number of years in the block.

• **include\_inflation** (*bool*) – True to include inflation in calculations, False to only include discount rate.

Returns: discount - Discounts an annual variable or policy cost payment to its

present value taking into account block duration.

Return type: float

# ccats history module

Class for declaring CCATS Historical Data.

# **CCATS History: Summary**

**ccats\_history** is the preprocessor for model historical data, overwriting history with up-to-date inputs.

The module operates as follows:

- 1. Reads in historical data setup().
- 2. Sets history of relevant restart variables to zero in zero\_out\_history() then overwrites history with input data in update\_history().

# **CCATS History: Functions and Class Methods**

- \_\_init\_\_() Constructor to initialize Class (instantiated by module.Module.setup() in Module: Summary).
- setup() CCATS History Setup method (called by module.Module.setup()).
- run() Runs main CCATS\_History Processes (called by module.Module.setup()).
- zero\_out\_history() Set history year data of relevant restart variables to 0 (called by run()).
- update\_history() Overwrite history in relevant restart files with up-to-date inputs (called by run()).

# CCATS History: Input Files

- hist\_setup.csv setup file with model financial inputs (debt rate, block durations, etc.).
- co2\_supply\_history\_div.csv CO<sub>2</sub> supply history by census division.
- co2\_supply\_history\_reg.csv CO<sub>2</sub> supply history by census region.
- co2\_eor\_history\_div.csv CO<sub>2</sub> EOR demand history by census division.
- co2\_eor\_history\_reg.csv CO<sub>2</sub> EOR demand history by census region.
- co2\_seq\_history\_div.csv CO<sub>2</sub> saline formation storage history by census division.
- co2\_seq\_history\_reg.csv CO<sub>2</sub> saline formation storage history by census region.

# **CCATS History: Output Debug Files**

# **CCATS History: Output Restart Variables**

- CCATSDAT\_CO2\_sup\_out CO<sub>2</sub> supply output after optimization, by census division.
- CCATSDAT\_CO2\_sup\_out\_r CO<sub>2</sub> supply output after optimization, by census region.
- CCATSDAT\_CO2\_seq\_out CO<sub>2</sub> sequestration output after optimization, by census division.
- CCATSDAT\_CO2\_seq\_out\_r CO<sub>2</sub> sequestration output after optimization, by census region.

# **CCATS History: Code**

class ccats\_history.CCATS\_History(parent)

[source]

Bases: object

Class for declaring CCATS Historical Data.

\_\_init\_\_(parent)

[source]

Initializes CCATS History object.

**Parameters:** parent (*module*. *Module*) – Pointer to head module

Return type: None

parent

module. Module head module

setup(setup\_filename)

[source]

Setup function for CCATS financial assumptions.

**Parameters:** setup\_filename (str) – ccats\_history setup file name.

- self.co2\_supply\_hist\_div (DataFrame) DataFrame of CO<sub>2</sub> supply history by census division.
- **self.co2\_supply\_hist\_reg** (*DataFrame*) DataFrame of CO<sub>2</sub> supply history by census region.
- self.co2\_eor\_hist\_div (DataFrame) DataFrame of CO<sub>2</sub> EOR demand history by census division.
- self.co2\_eor\_hist\_reg (DataFrame) DataFrame of CO<sub>2</sub> EOR demand history by census region.

- self.co2\_seq\_hist\_div (DataFrame) DataFrame of CO<sub>2</sub> saline formation storage history by census division.
- **self.co2\_seq\_hist\_reg** (*DataFrame*) DataFrame of CO<sub>2</sub> saline formation storage history by census region.

### run() [source]

Run CCATS history preprocessor.

Parameters: None

Returns:

- **self.co2\_supply\_hist\_div** (*DataFrame*) DataFrame of CO<sub>2</sub> supply history by census division.
- self.co2\_supply\_hist\_reg (DataFrame) DataFrame of CO<sub>2</sub> supply history by census region.

### zero\_out\_history()

[source]

Set data for history years equal to 0 in relevant restart variables.

• This is done to ensure that historical dataset only contains volumes from the most up-to-date input files.

Parameters: None

Returns:

- **self.parent.rest\_co2\_sup\_out** (*DataFrame*) Localized copy of restart variable *CCATSDAT\_CO2\_SUP\_OUT*.
- **self.parent.rest\_co2\_sup\_out\_r** (*DataFrame*) Localized copy of restart variable *CCATSDAT CO2 SUP OUT R*.
- **self.parent.rest\_co2\_seq\_out** (*DataFrame*) Localized copy of restart variable *CCATSDAT\_CO2\_SEQ\_OUT*.
- **self.parent.rest\_co2\_seq\_out\_r** (*DataFrame*) Localized copy of restart variable *CCATSDAT\_CO2\_SEQ\_OUT\_R*.

### update\_history()

[source]

Update relevant restart files with historical data from input files.

Parameters: None

- **self.parent.rest\_co2\_sup\_out** (*DataFrame*) Localized copy of restart variable *CCATSDAT\_CO2\_SUP\_OUT*.
- self.parent.rest\_co2\_sup\_out\_r (DataFrame) Localized copy of restart variable CCATSDAT CO2 SUP OUT R.
- **self.parent.rest\_co2\_seq\_out** (*DataFrame*) Localized copy of restart variable *CCATSDAT\_CO2\_SEQ\_OUT*.
- **self.parent.rest\_co2\_seq\_out\_r** (*DataFrame*) Localized copy of restart variable *CCATSDAT\_CO2\_SEQ\_OUT\_R*.

# ccats pickle module

Class for handling intermediate variables in CCATS.

# **CCATS Pickle: Summary**

- In year 1 the .pkl files are created, and then in subsequent years they are written to and read.
- The .pkl files are only written out when fcrl = 1 (final regular iteration) or ncrl = 1 (report iteration) to avoid duplication during other NEMS iterations.
- There are therefore two sets of .pkl files, one "fcrl" set for regular iterations, and one "ncrl" set for reporting iterations.
- All .pkl filenames and corresponding df names match the relevant corresponding submodule variable names (i.e. preproc\_i\_storage\_xxxx.pkl = self.i\_storage\_df in Preprocessor: Summary).

The Pickle methods operate as follows:

- 1. The class is initialized in the <u>\_\_init\_\_()</u> method, with class being assigned as a child to <u>Module</u>: <u>Summary</u> here.
- read\_pkl\_vars() is called from Module: Summary in all model years excluding year
   In this method all required .pkl local, iterative variable files are read into HSM as class dataframes accessible by all other modules in HSM.
- 3. write\_pkl\_variables() is called from Module: Summary in all model years. In this method all required local, iterative dataframes are written to .pkl files.

Pickle library documentation: https://docs.python.org/3/library/pickle.html

### **CCATS Pickle: Functions and Class Methods**

- \_\_init\_\_() Constructor to initialize Class (instantiated by module.Module.setup() in Module: Summary).
- read\_pkl\_vars() Read in Pickle local tables (called by module.Module.setup()).
- write\_pkl\_variables() Write out Pickle local tables (called by output.Output.write\_pkl()).

## **CCATS Pickle: Input Files**

None

CCATS Pickle: Output Debug Files

# **CCATS Pickle: Output Restart Variables**

None

### **CCATS Pickle: Code**

class ccats\_pickle.CCATS\_Pickle(parent)

[source]

Bases: object

Class for handling intermediate variables in CCATS

\_\_init\_\_(parent)

[source]

Initializes CCATS\_Pickle object.

**Parameters:** parent (module.Module) – Pointer to head module

Return type: None

parent

module. Module head module

read\_pkl\_vars(itr\_type, year\_current, temp\_filepath)

[source]

Read in Pickle local tables.

**Parameters:** • itr\_type (*str*) – FCRL or NCRL iteration.

- **year\_current** (*int*) Current year being modeled.
- **temp\_filepath** (*str*) Location of .pkl files.

- self.preproc\_i\_storage\_df (DataFrame) DataFrame of storage formations input data.
- **self.preproc\_i\_co2\_supply\_facility\_df** (*DataFrame*) DataFrame of Co<sub>2</sub> cost curve from NETL input data.
- **self.preproc\_i\_pipeline\_lookup\_df** (*DataFrame*) DataFrame of Co<sub>2</sub> pipeline lookup table input data.
- **self.preproc\_i\_eor\_demand\_df** (*DataFrame*) DataFrame of Co<sub>2</sub> EOR site CO2 demanded input data.
- self.preproc\_i\_eor\_cost\_net\_df (DataFrame) DataFrame of Co<sub>2</sub>
   EOR net cost for Co<sub>2</sub> input data.
- **self.preproc\_i\_ts\_multiplier\_df** (*DataFrame*) DataFrame of multipliers for ts-ts node arcs input data.
- self.preproc\_pipes\_existing\_df (DataFrame) DataFrame of existing
   Co<sub>2</sub> pipeline infrastructure in a given model year.

- **self.preproc\_storage\_existing\_df** (*DataFrame*) DataFrame of existing Co<sub>2</sub> storage infrastructure in a given model year.
- self.preproc\_co2\_facility\_eligibility\_df (DataFrame) DataFrame of Co<sub>2</sub> facility 45Q eligibility.
- **self.mod\_new\_built\_pipes\_df** (*DataFrame*) DataFrame of new pipelines built in previous model year Block 1.
- **self.mod\_new\_aors\_df** (*DataFrame*) DataFrame of new AORS, carried over from the previous model year.
- **self.mod\_store\_prev\_b0\_df** (*DataFrame*) DataFrame of previous model year Co<sub>2</sub> stored in Block 0.

**Parameters:** • itr\_type (*str*) – FCRL or NCRL iteration.

• year\_current (int) – Current year being modeled.

• temp\_filepath (str) – Location of .pkl files.

Return type: None

# localize\_restart module

Submodule for localizing and concatenating restart variables.

# Localize Restart: Summary

CCATS receives CO<sub>2</sub> supply and demand from several NEMS modules. Each CO<sub>2</sub> supply or demand source has its own restart file variable. To rationalize and simplify this data, supply and demand data restart variables are re-formatted and concatenated into larger files. The Localize submodule accomplishes this as follows:

- EOR demand and net cost offers are received as DataFrames via the restart file in a base-1 ordered index. In setup\_eor() these DataFrames are remapped to the HSM play numbers and census division numbers used to identify CO<sub>2</sub> EOR plays.
- 2. CO<sub>2</sub> supply volumes are aggregated and concatenated into DataFrames industrial\_co2\_supply\_45q and industrial\_co2\_supply\_ntc in concat\_restart\_variables\_supply()
- 3. CO<sub>2</sub> supply volumes are aggregated and concatenated into DataFrames industrial\_co2\_cost\_inv and industrial\_co2\_cost\_om in concat\_restart\_variables\_cost().

### Localize restart: Input Files

### Localize Restart: Model Functions and Class Methods

- \_\_init\_\_() Constructor to initialize class (instantiated by module.Module.setup() in Module:
   Summary)
- setup\_eor() Format EOR demand input files for CCATS preprocessor (called by module.Module.setup()).
- concat\_restart\_variables\_supply() Concatenate CO<sub>2</sub> capture type supply restart variables into
   45Q and NTC supply tables (called by module.Module.setup()).
- concat\_restart\_variables\_cost() Concatenate CO<sub>2</sub> capture type cost restart variables into 45Q and NTC capture cost tables (called by module.Module.setup()).

### Localize Restart: Output Debug Files

None

Localize Restart: Output Restart Variables

### Localize Restart: Code

class localize\_restart.Localize(parent)

[source]

Bases: object

Localize submodule for CCATS.

\_\_init\_\_(parent)

[source]

Initializes Localize object.

**Parameters:** parent (*str*) – Module.Module (Pointer to parent module)

Return type: None

parent

module. Module head module

setup\_eor()
[source]

Map EOR demand input files to HSM play numbers and census divisions for CCATS preprocessor.

**Returns:** • self.rest\_dem\_eor (*DataFrame*) – DataFrame of CO<sub>2</sub> demand (metric tonnes) from CO<sub>2</sub> EOR.

 self.rest\_cst\_eor (DataFrame) – DataFrame of CO<sub>2</sub> price offers (1987\$/tonne) from CO<sub>2</sub> EOR.

### concat\_restart\_variables\_supply()

[source]

Concatenate CO<sub>2</sub> capture type supply restart variables into 45Q and NTC supply tables.

**Returns:** • self.industrial\_co2\_supply\_45q (*DataFrame*) – DataFrame of industrial CO<sub>2</sub> supply (metric tonnes) eligible for 45Q tax credits.

 self.industrial\_co2\_supply\_ntc (DataFrame) — DataFrame of industrial CO<sub>2</sub> supply (metric tonnes) not eligible for 45Q tax credits.

### concat\_restart\_variables\_cost()

[source]

Concatenate CO<sub>2</sub> capture type cost restart variables into 45Q and NTC capture cost tables.

**Returns:** • self.industrial\_co2\_cost\_inv (*DataFrame*) – Carbon capture investment costs (1987\$) by NEMS module and region.

• **self.industrial\_co2\_cost\_om** (*DataFrame*) – Carbon capture O&M costs (1987\$) by NEMS module and region.

# module module

Parent module of the Carbon Capture, Allocation, Transportation and Sequestration (CCATS) Module.

# Module: Summary

The "**Module**" class performs all key model setup functions and runs all sub-class processes (i.e. Restart: Summary, Preprocessor: Summary etc.).

The module operates as follows:

- 1. Instantiates parent-level variables in init ()
- 2. Declares parent-level utilities and variables, and runs submodule setup functions in setup(), this function operations as follows:
  - a. Declare *logger* as class variable,
  - b. Declare filepaths,
  - c. Read in setup.csv and other global input files (i.e. mapping.csv),
  - d. Run Restart: Summary to get restart variables,
  - e. Localize restart variables at the parent class level in Localize, and
  - f. Run setup functions for the CCATS Preprocessor: Summary, CCATS Optimization: Summary, ref:Postprocessor and ref:Output modules.
- 3. Runs CCATS modules

### Module: Functions and Class Methods

- \_\_init\_\_() Constructor to initialize Class (called by ccats.run\_ccats()).
- setup() CCATS Setup method.
- load local parameters() Loads in CCATS Parameters from the restart file
- copy\_restart\_variables() Copies Restart Variables for CCATS from the Restart File into local variables.
- aggregate\_restart\_variables() Aggregate localized restart variables for Preprocessor: Summary.
- read\_pk1() Call CCATS Pickle: Summary to read in pickle files from the previous model year fcrl and ncrl iterations.
- run() Runs main CCATS Model Processes.

# Module: Input Files

- **setup.csv** setup file with key model inputs (model flags, model history year, etc.).
- mapping.csv CCATS regional mapping (mapping states to CCATS regions, etc.).
- co2\_eor\_mapping.csv Census division and census region mapping for CO<sub>2</sub> EOR plays.
- idm\_mapping.csv Proportional allocation of IDM CO<sub>2</sub> capture volumes from census regions to census divisions.
- co2\_supply\_index.csv Restart file index numbers for CO<sub>2</sub> supply types.
- co2\_seq\_index.csv Restart file index numbers for CO<sub>2</sub> sequestration types.

# Module: Output Debug Files

None

## Module: Output Restart Variables

None

### Module: Code

class module.Module
[source]

Bases: object

Parent module for CCATS.

\_\_init\_\_()
[source]

Initializes Module object.

Parameters: None Return type: None

- Imports and declares pyfiler object,
- Declares logger as parent object executable,
- Assigns input paths and global variables,
- Reads in setup tables,
- Calls Restart: Summary to read in restart variables,
- Calls load local parameters() to load in model parameters,
- · Reads in relevant scedes flags,
- Calls :ref:ccats pickle: to read in iterative model intermediate variables,

- Calls :ref:ccats history: to loads in history, and write history data to restart file variables, and
- Calls the Preprocessor: Summary, CCATS Optimization: Summary, Postprocessor: Summary, and Output: Summary setup methods.

- **Parameters:** main\_directory (str) Filepath for CCATS main run directory.
  - **setup\_filename** (*str*) setup.txt filename.
  - **year** (*str or int*) Current model year.
  - pyfiler1 (None or pyfiler) Tool for reading and writing to the restart file.
  - cycle (int) Model run cycle from main.py.
  - scedes (None or scedes file inputs from main.py.) NEMS Scenario Description data.

- **self.pyfiler1** (*object*) Object containing restart file data from **main.py**.
- **self.threads** (*int*) Number of threads available to run CCATS.
- self.logger (Logger) Logger utility.
- \*directories\* (strings) Assorted directory paths including self.main directory and self.input path.
- self.integrated switch (int) Switch indicating whether CCATS run is standalone or integrated.
- self.setup\_table (DataFrame) Input file containing CCATS model user inputs.
- self.debug\_switch (bool) Output debug files if True (will result in longer model runtime).
- self.update 45q switch (bool) Update the 45Q policy path with input from setup.txt "eor 45q" and "saline 45q" variables if True.
- self.visualize\_preproc\_switch (bool) Output folium visualizations of optimization inputs if True.
- self.visualize postproc switch (bool) Output folium visualizations of optimization outputs if True.
- self.pytest\_switch (bool) Perform pytest internal validation tests if
- **self.price\_reset\_switch** (*bool*) Reset CO<sub>2</sub> prices to produce endogenous CO2 prices from models which would otherwise have 0 CO2 capture if True.
- **self.price\_average\_switch** (*bool*) CO<sub>2</sub> prices are set as a weighted average of duals by CD if True.
- self.price\_marginal\_switch (bool) CO<sub>2</sub> prices are set as the marginal CO<sub>2</sub> price by CD if True.
- self.linear model switch (bool) Model is run as a linear model (vs. a MIP) if True.
- self.write\_restart\_before\_run\_switch (bool) Output the restart file debug received by CCATS before running if True.
- self.debug restart itr switch (bool) Output the restart file debug file every iteration if True (will result in slightly longer model runtime).
- self.output restart unf switch (bool) Output the restart file as a .unf after running CCATS if True.

- self.year\_aeo (int) AEO vintage year.
- **self.year\_start** (*int*) Model start year.
- **self.year final** (*int*) Model final year.
- **self.year\_base\_price** (*int*) Base dollar year.
- self.years\_steo (list) Model STEO years.
- self.eor\_45q (float) EOR 45Q tax credit value.
- **self.saline 45q** (*float*) Saline Formation storage 45Q tax credit value.
- **self.year\_new\_45q** (*float*) Year in which most recent 45Q policy update occurred (2022 Inflation Reduction Act).
- **self.legacy\_eor\_45q** (*float*) Legacy 45Q policy EOR tax credit value.
- **self.legacy\_saline\_45q** (*float*) Legacy 45Q policy saline formation storage tax credit value.
- self.year\_leg\_45q (float) Year in which legacy 45Q policy update occurred (2018 Bipartisan Budget Act).
- **self.price\_reset\_value\_45q** (*float*) Default starting price for 45Q eligible CO<sub>2</sub> volumes when model is reset to restart convergence.
- **self.price\_reset\_value\_ntc** (*float*) Default starting price for 45Q ineligible CO<sub>2</sub> volumes when model is reset to restart convergence.
- self.supply\_select\_ts\_penalty (float) Penalty for ts node costs in the model.
- **self.mapping** (*DataFrame*) DataFrame of NEMS mapping (i.e. HSM regions to LFMM regions).
- self.co2\_eor\_mapping (DataFrame) DataFrame of NEMS mapping to CO<sub>2</sub> EOR projects.
- **self.idm\_mapping** (*DataFrame*) DataFrame of Industrial demand module mapping (Census regions to divisions with distrutions).
- self.co2\_supply\_index (dict) Dictionary of restart file index numbers for CO<sub>2</sub> supply types.
- self.co2\_seq\_index (dict) Dictionary of index numbers for CO<sub>2</sub> sequestration types.

### load\_local\_parameters()

[source]

Loads in CCATS Parameters from the restart file.

Parameters: None

Returns:

- self.param\_baseyr (int) Base AEO model year for history (hardcoded as 1990).
- **self.param\_fcrl** (*boolean*) Flag to indicate last regular model iteration.
- **self.param\_ncrl** (*boolean*) Flag to indicate reporting model iteration.

### copy\_restart\_variables()

[source]

Copy Restart Variables for CCATS from the Restart File into local variables.

- We instantiate local versions of the restart files to ensure data fidelity
- Local variables are instantiated form the restart file exactly once, and written to the restart file exactly once

Parameters: None

- self.year\_current (int) Current model year.
- **self.iteration current** (*int*) Current model iteration.
- **self.rest\_mc\_jpgdp** (*DataFrame*) DataFrame of inflation multipliers.
- self.rest\_mc\_rmcorpbaa (DataFrame) DataFrame of corporate bond rate path.
- self.rest\_mc\_rmtcm10y (DataFrame) DataFrame of ten-year treasury rate path.
- self.rest\_pelin (DataFrame) DataFrame of industrial electricity prices (1987\$/MWh).
- **self.rest\_ccs\_eor\_45q** (*DataFrame*) DataFrame of 45Q tax credit values for carbon capture from saline formation storage (1987\$).
- self.rest\_ccs\_saline\_45q (DataFrame) DataFrame of 45Q tax credits values for carbon capture from CO<sub>2</sub> EOR (1987\$).
- **self.leg\_ccs\_eor\_45q** (*DataFrame*) DataFrame of legacy 45Q tax credit values for carbon capture from saline formation storage (1987\$).
- **self.rest\_i\_45q\_duration** (*int*) Tax code section 45Q subsidy duration
- self.rest\_i\_45q\_syr (int) Start year of tax code section 45Q subsidy
- self.rest\_i\_45q\_lyr\_ret (int) End year of tax code section 45Q subsidy for retrofits
- self.rest\_i\_45q\_lyr\_new (int) End year of tax code section 45Q subsidy for new builds
- **self.leg\_ccs\_eor\_45q** (*df*) DataFrame of legacy 45Q tax credit values for carbon capture from saline formation storage (1987\$)
- self.leg\_ccs\_saline\_45q (DataFrame) DataFrame of legacy 45Q tax credits values for carbon capture from CO<sub>2</sub> EOR (1987\$).
- self.eor\_45q (int) Base 45Q tax credit value for carbon capture from CO<sub>2</sub> EOR (1987\$).
- **self.saline\_45q** (*int*) Base 45Q tax credit value for carbon capture from saline formation storage (1987\$).
- self.legacy\_eor\_45q (int) Legacy base 45Q tax credit value for carbon capture from CO<sub>2</sub> EOR (1987\$).
- **self.legacy\_saline\_45q** (*int*) Legacy base 45Q tax credit value for carbon capture from saline formation storage (1987\$).
- **self.rest\_i\_45q\_duration** (*int*) 45Q tax credit duration (years).
- self.rest\_i\_45q\_lyr\_ret (int) Final year for 45Q retrofits.
- **self.rest\_i\_45q\_syr** (*int*) Start year of 45Q tax credit program.
- self.rest\_dem\_eor (DataFrame) DataFrame of CO<sub>2</sub> demand (metric tonnes) from CO<sub>2</sub> EOR.
- self.rest\_cst\_eor (DataFrame) DataFrame of CO<sub>2</sub> price offers (1987\$/tonne) from CO<sub>2</sub> EOR.
- self.rest\_play\_map (DataFrame) DataFrame of CO<sub>2</sub> EOR play number indices.

- CO:sub:`2` Supply and Capture Cost Variables (DataFrames) –
  DataFrames of CO<sub>2</sub> supply (metric tonnes) and capture costs (1987\$)
  from other NEMS modules (HSM, HMM, LFMM, IDM, EMM).
- CO:sub: 2 Price Variables (DataFrames) CO<sub>2</sub> prices (1987\$) calculated in CCATS and sent to other NEMS by Census Region/Division.
- CCATS Reporting Variables (DataFrames) CO<sub>2</sub> supply and demand reporting variables.

### aggregate\_restart\_variables()

[source]

Aggregate localized restart variables for Preprocessor: Summary.

Parameters: None

Returns:

- self.rest\_dem\_eor (DataFrame) DataFrame of CO<sub>2</sub> EOR CO<sub>2</sub> demand (metric tonnes) by play.
- self.rest\_cst\_eor (DataFrame) DataFrame of CO<sub>2</sub> EOR price offers for CO<sub>2</sub> (1987\$/tonne) by play.
- self.rest\_industrial\_co2\_supply\_45q (DataFrame) DataFrame of industrial CO<sub>2</sub> supply (metric tonnes) eligible for 45Q tax credits.
- self.rest\_industrial\_co2\_supply\_ntc (DataFrame) DataFrame of industrial CO<sub>2</sub> supply (metric tonnes) not eligible for 45Q tax credits.
- **self.rest\_industrial\_co2\_cost\_inv** (*DataFrame*) DataFrame of carbon capture investment costs (1987\$) by NEMS module and region.
- **self.rest\_industrial\_co2\_cost\_om** (*DataFrame*) DataFrame of carbon capture O&M costs (1987\$) by NEMS module and region.

### read\_pkl() [source]

Call ccats\_pickle.ccats\_pickle.read\_pkl\_vars() to read in pickle files from the previous model year fcrl and ncrl iterations.

- Different pickle outputs are read in to CCATS depending on whether ncrl=1 (if we are running a reporting loop)
- All non-reporting iterations use the previous model year fcrl=1 iteration variables
- All reporting iterations use the previous model year ncrl=1 iteration variables

Parameters: None Return type: None

run(year=None, iteration=None)

[source]

Runs main CCATS Model Processes.

• Preprocessor: Summary

• CCATS Optimization: Summary

Postprocessor: Summary

Output: Summary

**Parameters:** • year (int) – Current model year.

• **iteration** (*int*) – Current model iteration.

**Returns:** • self.year\_current (*DataFrame*) – Current model year.

• **self.iteration\_current** (*DataFrame*) – Current model iteration.

# opmodels package

### Submodules

### opmodels.ccats optimization module

Optimization Submodule.

### **CCATS Optimization: Summary**

This submodule is the main optimization program for CCATS. CCATS treats the problem as either a Linear Program (LP) or Mixed Integer Linear Program (MILP) using Pyomo. The optimization operates as follows:

- 1. setup() is called from Module: Summary. Optimization specific options are set based on inputs from opt\_setup.csv.
- 2. run() is called from Module: Summary.
- 3. Data is organized by time period in preparation for creating a Pyomo model.
- 4. The Pyomo model is created using three blocks to represent three model time periods.
- 5. Model is solved. If solved as a LP, duals are saved.
- 6. If model was originally solved as MILP, it is re-solved as a LP (by fixing integer variables) to get duals.

### **CCATS Optimization: Input Files**

• opt setup.csv - optimization setup file

### **CCATS Optimization: Model Functions and Class Methods**

- \_\_init\_\_() Constructor to initialize Class (called by module.Module.setup())
- setup() Setup function using opt\_setup.csv (called by module.Module.setup())
- run() runs main model functions in OptimizationModel (called by module.Module.run())
- instantiate\_pyomo() creates the pyomo model (called by run())
- prepare\_data\_for\_blocks() creates dictionaries of data indexed by block (called by run())
- instantiate\_blocks() calls most declare\_\* functions to set-up the optimization problem, assigning data by block (called by run())
- declare\_sets() creates sets (called by instantiate\_pyomo())
- declare\_parameters() creates parameters (variables held fixed) in Pyomo (called by instantiate\_pyomo())

- declare\_variables() creates variables in Pyomo (called by instantiate\_pyomo())
- declare constraints() creates constraints in Pyomo (called by instantiate pyomo())
- declare\_objective() creates objective function for a single block (called by instantiate\_pyomo())
- declare\_constraints\_across\_blocks() creates constraints across blocks (called by run())
- declare\_objective\_across\_blocks() creates multi-block objective (called by run())
- solve\_optimization() solve the optimization problem (called by run())
- solve\_linearized\_optimization() re-solve the optimization as a linear problem to get duals, if originally solved non-linear (called by run())

### **CCATS Optimization: Output Debug Files**

- main\_opt\_log.log Optimization log file
- debugmodel file\*\*ccats model\_20XX.mps\*\* MPS of 20XX optimization problem
- debugmodel\_file\*\*ccats\_model\_20XX.lp\*\* LP of 20XX optimization problem
- debugoptimization\_inputsdata\*\*data\_b#\_20XX.pkl\*\* Pickled data inputs for block # for 20XX

### **CCATS Optimization: Code**

class opmodels.ccats optimization.OptimizationModel(parent) [source]

Bases: **submodule** 

Main Optimization Submodule for CCATS.

\_\_init\_\_(parent) [source]

Initializes OptimizationModel object.

**Parameters:** parent (*str*) – Module.Module (Pointer to parent module)

**Return type:** None

setup(setup\_filename) [source]

Setup Main Optimization Submodule for CCATS.

**Parameters:** setup\_filename (str) – Path to transport setup file.

**Returns:** • self.opt\_check\_solver\_status (bool) – If True, check the solver status

- **self.troubleshoot\_datatypes** (*bool*) If True, log datatypes to assist with troubleshooting
- self.debug\_opt\_log (Boolean) If True, output solver status to nohup (integrated runs only) and main\_opt\_log.log (standalone & integrated runs)

run()
[source]

Run Main Optimization Submodule for CCATS.

Parameters: None

Return type: None

### instantiate\_pyomo()

[source]

Run Main Optimization Submodule for CCATS.

Parameters: None

**Returns:** m – Instantiated Pyomo model

Return type: Pyomo ConcreteModel

### prepare\_data\_for\_blocks()

[source]

Produce block-level tables for optimization.

Parameters: None

- self.m.s\_time (list) List of number of time periods as model blocks
- self.m.duration (list) List of duration of each block (years)
- self.m.co2\_supply (dict) Dictionary of CO<sub>2</sub> supply (metric tonnes) by CO<sub>2</sub> source by block
- self.m.co2\_demand (dict) Dictionary of CO<sub>2</sub> demand (metric tonnes)
   by CO<sub>2</sub> demand site by block
- self.m.co2\_demand\_cost\_net (dict) Dictionary of CO<sub>2</sub> demand net cost (\$1987/tonne tonne) by CO<sub>2</sub> demand site by block
- self.m.storage\_injectivity\_existing (dict) Dictionary of existing CO<sub>2</sub> storage site injectivity (tonnes/year) by block
- self.m.storage\_injectivity\_new (dict) Dictionary of potential new CO<sub>2</sub> storage site injectivity (tonnes/year) by block
- self.m.storage\_net\_existing (dict) Dictionary of existing CO<sub>2</sub> storage site net storage capacity (tonnes) by block
- self.m.storage\_net\_adder (dict) Dictionary of potential new CO<sub>2</sub>
   storage site net storage capacity (tonnes) by block
- self.m.storage\_aors\_available (dict) Dictionary of remaining storage AORs available by block
- self.m.capex\_transport\_base (dict) Dictionary of transportation CAPEX intercept (base) by block
- self.m.capex\_transport\_slope (dict) Dictionary of transportation CAPEX slope by block
- self.m.opex\_transport\_elec (dict) Dictionary of transportation electricity demand by block
- **self.m.storage\_capex** (*dict*) Dictionary of storage capex (1987\$/tonne) by storage site by block
- self.m.storage\_opex (dict) Dictionary of storage opex (1987\$/tonne) by storage site by block
- self.m.discount\_invest\_storage (dict) Dictionary of storage investment discount rates by block
- self.m.discount\_invest\_transport (dict) Dictionary of transportation discount rates by block

- self.m.discount\_variable (dict) Dictionary of variable discount rates by block
- self.m.discount\_policy (dict) Dictionary of policy discount rates by block

### instantiate\_blocks(mb, t)

[source]

Instantiate a single pyomo block for *m*.

**Parameters:** • mb (*Pyomo Block*) – Pyomo block to be populated

• **t** (*int*) – Time period

**Returns:** self.b – Block populated with sets, parameters, variables, constraints, and

objective function.

Return type: Pyomo Block

### declare\_sets(data)

[source]

Declare sets.

**Parameters:** data (*object*) – Data for including within this block.

Returns:

- **self.b.s\_nodes\_supply** (*Pyomo Set*) Pyomo set of supplu nodes
- **self.b.s\_nodes\_trans\_ship** (*Pyomo Set*) Pyomo set of trans-ship-ment nodes
- self.b.s\_nodes\_demand (*Pyomo Set*) Pyomo set of demand nodes
- self.b.s\_nodes\_sequester (Pyomo Set) Pyomo set of sequestration nodes (i.e. storage and demand)
- **self.b.s\_nodes\_storage** (*Pyomo Set*) Pyomo set of storage nodes
- **self.b.s\_nodes** (*Pyomo Set*) Pyomo set of all nodes
- self.b.s\_arcs (Pyomo Set) Pyomo set of arcs.
- self.b.s\_arcs\_out (Pyomo Set) Pyomo set of arcs out
- self.b.s\_arcs\_in (Pyomo Set) Pyomo set of arcs in
- self.b.s\_transport\_options (Pyomo Set) Pyomo set of transportation options
- **self.b.s\_policy\_options** (*Pyomo Set*) Pyomo set of policy options

### declare\_parameters(data)

[source]

Declare parameters.

**Parameters:** data (object) – Data for including within this block.

- **self.b.p\_transport\_cap\_existing** (*Pyomo Param*) Parameter for block existing transportation capacities.
- **self.b.p\_transport\_cap\_add\_min** (*Pyomo Param*) Parameter for block transport capacity adder minimum values.
- **self.b.p\_transport\_cap\_add\_max** (*Pyomo Param*) Parameter for block transport capacity adder maximum values.
- **self.b.p\_capex\_transport\_base** (*Pyomo Param*) Parameter for block transportation capex equation intercept.
- **self.b.p\_capex\_transport\_slope** (*Pyomo Param*) Parameter for block transportation capex equation slope.

- **self.b.p\_opex\_transport** (*Pyomo Param*) Parameter for block transportation variable opex.
- **self.b.p\_electricity\_demand** (*Pyomo Param*) Parameter for block transportation electricity demand.
- self.b.p\_capex\_storage (Pyomo Param) Parameter for block storage capex.
- **self.b.p\_opex\_storage** (*Pyomo Param*) Parameter for block storage variable opex.
- self.b.p\_co2\_demand\_cost\_net (Pyomo Param) Parameter for block CO<sub>2</sub> demand net costs.
- **self.b.p\_policy\_cost** (*Pyomo Param*) Parameter for block policy costs.
- self.b.p\_co2\_supply (Pyomo Param) Parameter for block CO<sub>2</sub> supply.
- **self.b.p\_co2\_demand** (*Pyomo Param*) Parameter for block CO<sub>2</sub> demand.
- **self.b.p\_storage\_injectivity\_existing** (*Pyomo Param*) Parameter for block existing CO<sub>2</sub> injection/year.
- **self.b.p\_storage\_injectivity\_new** (*Pyomo Param*) Parameter for block potential new CO<sub>2</sub> injection/year.
- **self.b.p\_storage\_net\_existing** (*Pyomo Param*) Parameter for block existing storage total capacity.
- **self.b.p\_storage\_net\_adder** (*Pyomo Param*) Parameter for block potential storage total capacity adder.
- **self.b.p\_storage\_aors\_available** (*Pyomo Param*) Parameter for block remaining storage injection sites available.
- **self.b.p\_duration** (*Pyomo Param*) Parameter for block duration in years.
- **self.b.p\_discount\_invest\_storage** (*Pyomo Param*) Parameter for storage investment discount rate.
- **self.b.p\_discount\_invest\_transport** (*Pyomo Param*) Parameter for transportation investment discount rate.
- self.b.p\_discount\_variable (Pyomo Param) Parameter for variable cost discount rate.
- **self.b.p\_discount\_policy** (*Pyomo Param*) Parameter for policy cost discount rate.
- **self.b.transport\_buffer** (*Pyomo Param*) Parameter for transportation build buffer.
- **self.b.storage\_buffer** (*Pyomo Param*) Parameter for storage build buffer.
- self.b.p\_M\_supply (Pyomo Param) Parameter for supply bounds.
- **self.b.p\_M\_storage** (*Pyomo Param*) Parameter for storage bounds.
- self.b.p\_M\_excess (Pyomo Param) Parameter for excess (infeasibility) cost.

Declare variables.

Parameters: None

Returns:

- **self.b.v\_flow** (*Pyomo Var*) Pyomo decision variable of block flow through arcs.
- **self.b.v\_flow\_base** (*Pyomo Var*) Pyomo decision variable of block flow through existing arcs.
- **self.b.v\_flow\_add** (*Pyomo Var*) Pyomo decision variable of block flow through arcs requiring investment.
- **self.b.v\_flow\_by\_policy** (*Pyomo Var*) Pyomo decision variable of block flow by policy type (i.e. 45Q or no tax credit).
- self.b.vb\_transport\_investment (Pyomo Var) Pyomo binary variable for block new investment.
- self.b.v\_transport\_cap\_add (Pyomo Var) Pyomo decision variable of block transport capacity added.
- **self.b.v\_storage\_investment** (*Pyomo Var*) Pyomo decision variable of block storage investment added.
- self.b.v\_storage\_injectivity (Pyomo Var) Pyomo decision variable of block storage injectivity.
- self.b.va\_slack\_storage (Pyomo Var) Slack variable for storage capacity.
- self.b.va\_slack\_demand\_eor (Pyomo Var) Slack variable for demand capacity.
- self.b.va\_excess\_supply (Pyomo Var) Slack variable for excess (infeasible) supply.
- **self.b.va\_excess\_ts\_in** (*Pyomo Var*) Slack variable for excess (infeasible) supply flowing into ts nodes.
- self.b.va\_excess\_ts\_out (Pyomo Var) Slack variable for excess (infeasible) supply flowing out of ts nodes.

### declare\_constraints()

[source]

Declare CCATS block-level constraints.

Parameters: None

- **c\_flow\_total\_rule** (*Pyomo Constraint*) Pyomo constraint asserting that total flow == flow from existing pipelines + flow from new pipelines.
- **c\_flow\_by\_policy** (*Pyomo Constraint*) Pyomo constraint asserting that flow by policy type == total flow.
- c\_flow\_balance\_supply (Pyomo Constraint) Pyomo constraint asserting that CO<sub>2</sub> out of CO<sub>2</sub> supply nodes == CO<sub>2</sub> supplied to the model.
- **c\_flow\_balance\_transshipment** (*Pyomo Constraint*) Pyomo constraint asserting that CO<sub>2</sub> out of trans-shipment nodes == CO<sub>2</sub> into trans-shipment nodes.
- c\_flow\_balance\_demand\_storage (Pyomo Constraint) Pyomo constraint asserting that CO<sub>2</sub> flow to CO<sub>2</sub> storage doesn't exceed storage

capacity.

- **c\_flow\_balance\_demand\_eor** (*Pyomo Constraint*) Pyomo constraint asserting that CO<sub>2</sub> flow to CO<sub>2</sub> demand doesn't exceed demand.
- **c\_t0\_flow\_base** (*Pyomo Constraint*) Pyomo constraint asserting that no CO<sub>2</sub> transport capacity can be added in time period 1.
- c\_transport\_capacity\_added\_min (Pyomo Constraint) Pyomo constraint asserting minimum CO<sub>2</sub> transportation capacity that can be added/arc.
- c\_transport\_capacity\_added\_max (Pyomo Constraint) Pyomo constraint asserting maximum CO<sub>2</sub> transportation capacity that can be added/arc.
- **c\_transport\_selection** (*Pyomo Constraint*) Pyomo constraint asserting only one transportation option allowed to be added/arc.
- c\_vb\_transport\_investment\_dummy (*Pyomo Constraint*) Pyomo constraint to constrain vb\_transport\_investment when solved as a linear model.

### declare\_objective()

[source]

Declare objective function.

#### Parameters: None

Returns:

- **self.b.e\_sum\_flow\_arcs\_in** (*Pyomo Expression*) Sum of flows into each node.
- **self.b.e\_sum\_policy** (*Pyomo Expression*) Sum of policy costs (including tax credits).
- **self.b.e\_sum\_costs\_investment** (*Pyomo Expression*) Sum of investment costs.
- **self.b.e\_sum\_costs\_variable** (*Pyomo Expression*) Sum of variable costs.
- **self.b.e\_sum\_excess** (*Pyomo Expression*) Costs of slack (infeasibilities).
- **self.b.e\_sum\_costs** (*Pyomo Expression*) Costs exclusive of slack (infeasibilities).
- **self.b.e\_sum\_all\_costs** (*Pyomo Expression*) Costs inclusive of slack (infeasibilities).
- **self.b.objective** (*Pyomo Objective*) Pyomo block-level objective function minimizing total costs.

### declare\_constraints\_across\_blocks()

[source]

Declare constraints across blocks.

#### Parameters: None

Returns:

 c\_flow\_added (Pyomo Constrtaint) – Pyomo constraint asserting that block flow added \* a transport buffer <= block-level transport capacity added in previous time period

- c\_storage\_injectivity (Pyomo Constraint) Pyomo constraint asserting that stored CO<sub>2</sub> <= new storage capacity + existing storage capacity.</li>
- c\_storage\_cumulative\_injection (Pyomo Constraint) Pyomo constraint asserting that storage volumes <= exceed maximum formation capacity.</li>
- c\_storage\_aors\_available (Pyomo Constraint) Pyomo constraint asserting that storage investment sites <= remaining storage sites available for investment.</li>

### declare\_objective\_across\_blocks()

[source]

Declare objective across blocks.

Parameters: None

Returns:

- self.m.e\_sum\_blocks (Pyomo Expression) Pyomo Expression summing all costs across blocks.
- **self.m.objective\_multiblock** (*Pyomo Objective*) Pyomo objective function minimizing total costs across blocks.

### solve\_optimization()

[source]

Solve optimization and commit *self.m* model to parent level variable for use by other CCATS submodules.

Parameters: None

Returns:

- **self.m.objective\_value** (*Float*) Copy of multiblock objective result.
- **self.parent.pyomo\_model** (*Pyomo model*) Copy of self.m.
- self.parent.pyomo\_block0 (Pyomo block) Copy of self.m.blocks[0] for easier access in postprocessor.
- **self.parent.pyomo\_block1** (*Pyomo block*) Copy of self.m.blocks[0] for easier access in postprocessor.
- self.parent.pyomo\_block2 (Pyomo block) Copy of self.m.blocks[0] for easier access in postprocessor.
- self.parent.model\_name (String) Name of optimization model ('ccats\_opt').

### solve\_linearized\_optimization()

[source]

Re-solve the optimization problem after linearizing and fixing investment variables.

Parameters: None

- self.parent.pyomo\_model (Pyomo model) Copy of self.m\_linear
- self.parent.pyomo\_block0 (Pyomo block) Copy of self.m\_linear.blocks[0] for easier access in postprocessor.
- self.parent.pyomo\_block1 (Pyomo block) Copy of self.m\_linear.blocks[0] for easier access in postprocessor.
- **self.parent.pyomo\_block2** (*Pyomo block*) Copy of self.m\_linear.blocks[0] for easier access in postprocessor.
- **self.parent.model\_name** (*String*) Name of optimization model ('ccats\_opt').



# output module

Submodule for outputting CCATS results.

## **Output: Summary**

This submodule outputs CCATS results:

- 1. setup() and run() are called from Module: Summary.
- 2. Pickle results.
- 3. Reset prices if "self.parent.price\_reset\_switch" === True.
- 4. Prepare variables to be written to restart file.

## Output: Input Files

None

## Output: Model Functions and Class Methods

- \_\_init\_\_() Initializes variables to be populated by Output (called by module.Module.setup())
- setup() Set-up the submodule (called by module.Module.setup())
- <u>run()</u> Calls remaining Output functions (called by module.module.run())
- write\_pk1() Write results using pickle (called by run())
- reset\_prices() Reset prices (called by run())
- write\_local\_restart\_vars() Move local restart variables to global variables (called by run())

### **Output: Output Debug Files**

None

## **Output: Output Restart Variables**

- tcs45q\_ccs\_eor\_45q CO<sub>2</sub> sequestered in EOR.
- tcs45q\_ccs\_saline\_45q CO<sub>2</sub> sequestered in saline storage.
- tcs45q\_i\_45q\_duration Tax code section 45Q subsidy duration
- tcs45q\_i\_45q\_syr Start year of tax code section 45Q subsidy
- tcs45q\_i\_45q\_lyr\_ret End year of tax code section 45Q subsidy for retrofits
- tcs45q\_i\_45q\_lyr\_new End year of tax code section 45Q subsidy for new builds
- ccatsdat\_co2\_elec Electricity consumed by CO2 transport.

- ccatsdat\_co2\_prc\_dis\_45q CO<sub>2</sub> for 45Q eligible flow at census district level.
- ccatsdat\_co2\_prc\_dis\_ntc CO<sub>2</sub> for 45Q ineligible flow at census district level.
- ccatsdat\_co2\_prc\_reg\_45q CO<sub>2</sub> for 45Q eligible flow at census region level.
- ccatsdat\_co2\_prc\_reg\_ntc CO<sub>2</sub> for 45Q ineligible flow at census region level.
- ccatsdat\_co2\_sup\_out Total CO<sub>2</sub> supplied to CCATS, by census division.
- ccatsdat\_co2\_seq\_out Total CO<sub>2</sub> sequestered by CCATS, by census division.
- ccatsdat\_co2\_sup\_out\_r Total CO<sub>2</sub> supplied to CCATS, by census region.
- ccatsdat\_co2\_seq\_out\_r Total CO<sub>2</sub> sequestered by CCATS, by census region.

### class output.Output(parent)

[source]

Bases: Submodule

Output Submodule for CCATS.

\_\_init\_\_(parent)

[source]

Initializes Output object.

**Parameters:** parent (*str*) – Module.Module (Pointer to parent module)

Return type: None

parent

module.Module head module

setup()
[source]

Setup Output Submodule for CCATS.

Parameters: None Return type: None

run()
[source]

Run Output Submodule for CCATS.

Parameters: None Return type: None

Write local variables to pickle

- Because CCATS is in Python and Main is in Fortran, Python must read/write local variables between model years
- This is done using Pickle
- Different pickle outputs are written depending on whether fcrl = 0, fcrl = 1, or ncrl=1 (is this a reporting loop)
- If fcrl=0, do not write pickle outputs
- If fcrl=1 and ncrl=0, write fcrl pickle outputs
- If ncrl=1, write ncrl pickle outputs

Parameters: None Return type: None

#### reset\_prices()

[source]

Resets prices

Parameters: None

Returns:

- self.parent.ccatsdat\_co2\_prc\_dis\_45q (DataFrame) CO<sub>2</sub> for 45Q eligible flow at census district level.
- self.parent.ccatsdat\_co2\_prc\_reg\_45q (DataFrame) CO<sub>2</sub> for 45Q eligible flow at census region level.
- self.parent.ccatsdat\_co2\_prc\_dis\_ntc (DataFrame) CO<sub>2</sub> for 45Q ineligible flow at census district level.
- self.parent.ccatsdat\_co2\_prc\_reg\_ntc (DataFrame) CO<sub>2</sub> for 45Q ineligible flow at census region level.

### write\_local\_restart\_vars()

[source]

Write local restart variables to global restart variables.

Parameters: None

- self.parent.restart.tcs45q\_ccs\_eor\_45q (DataFrame) CO<sub>2</sub> sequestered in EOR from legacy common block.
- self.parent.restart.tcs45q\_ccs\_saline\_45q (DataFrame) CO<sub>2</sub> sequestered in saline storage from legacy common block.
- self.parent.restart.tcs45q\_i\_45q\_duration (int) Tax code section 45Q subsidy duration
- self.parent.restart.tcs45q\_i\_45q\_syr (int) Start year of tax code section 45Q subsidy
- self.parent.restart.tcs45q\_i\_45q\_lyr\_ret (int) End year of tax code section 45Q subsidy for retrofits
- self.parent.restart.tcs45q\_i\_45q\_lyr\_new (int) End year of tax code section 45Q subsidy for new builds
- **self.parent.restart.ccatsdat\_co2\_elec** (*DataFrame*) Electricity consumed by CO<sub>2</sub> transport.
- self.parent.restart.ccatsdat\_co2\_prc\_dis\_45q (DataFrame) CO<sub>2</sub> for 45Q eligible flow at census district level.
- self.parent.restart.ccatsdat\_co2\_prc\_dis\_ntc (DataFrame) CO<sub>2</sub> for 45Q ineligible flow at census district level.
- self.parent.restart.ccatsdat\_co2\_prc\_reg\_45q (DataFrame) CO<sub>2</sub>
   for 45Q eligible flow at census region level.
- self.parent.restart.ccatsdat\_co2\_prc\_reg\_ntc (DataFrame) CO<sub>2</sub> for 45Q ineligible flow at census region level.
- **self.parent.restart.ccatsdat\_co2\_sup\_out** (*DataFrame*) Total CO<sub>2</sub> supplied to CCATS, by census division.

- self.parent.restart.ccatsdat\_co2\_seq\_out (DataFrame) Total CO<sub>2</sub> sequestered by CCATS, by census division.
- self.parent.restart.ccatsdat\_co2\_sup\_out\_r (DataFrame) Total CO<sub>2</sub> supplied to CCATS, by census region.
- self.parent.restart.ccatsdat\_co2\_seq\_out\_r (DataFrame) Total CO<sub>2</sub> sequestered by CCATS, by census region.

# postprocessor module

Submodule for Postprocessing CCATS results.

### Postprocessor: Summary

This submodule postprocesses CCATS model results and prepares results to be sent to the restart file:

- 1. setup() is called from Module: Summary, assigning postprocessor price smoothing variables and infeasibility output switches.
- 2. run() is called from Module: Summary.
- 3. Extract results from Pyomo model, storing results as Pandas DataFrames.
- 4. Convert dual variables to weighted-average CO2 price variables used by other NEMS modules in duals\_to\_df().
- 5. Test for infeasibilities.
- 6. Write data required for next model year calculations to local variables, and pickle.
- 6. Prepare results to write to the restart file.
- 7. Create result summaries.
- 8. Visualize results.

## Postprocessor: Input Files

· postproc setup.csv - postprocessor setup file

## Postprocessor: Model Functions and Class Methods

- \_\_init\_\_() Initializes variables to be populated by Postprocessor (called by module.Module.setup())
- setup() Sets run settings using the setup file (called by module.Module.setup())
- run() Calls remaining Postprocessor functions (called by module.module.run())
- variables\_to\_df() Process Pyomo results into Pandas DataFrames (called by run())
- slacks\_to\_df() Processes slack variables (called by run())
- assign\_flows\_to\_pipes() Aggregates flows by pipeline segment (called by run())
- binding\_constraints\_to\_df() Process binding constraints (called by run())
- duals\_to\_df() Process dual variables (called by run())
- electricity\_demand\_to\_df() Process electricity consumed (called by run())
- copy\_variables\_for\_pytest() Copy variables for Pytest (called by run())
- test\_flows() Test slack variables (called by run())
- update\_existing\_pipeline\_network() Process new pipeline capacity (called by run())
- update\_storage\_volumes() Process new storage capacity 2 stored (called by run())

- write\_restart\_file() Output variables for the restart file (called by run())
- write\_pkl() Output variables for pickling (called by run())
- results to csv() Save results to CSV (called by run())
- summarize\_outputs() Create high level run summary (called by run())
- visualize\_results() Create interactive folium maps and datatable (called by run())

## Postprocessor: Output Debug Files

Some debug files are output multiple times during a NEMS run, and use the following convention:

- <model> is the name of the model (default is ccats\_opt),
- <block> is the number of the current block (0, 1, or 2),
- <year> is the current model year, for example 2024,
- <iteration> is the current NEMS iteration, and
- <cycle> is the current NEMS cycle.
- In debug// (output by summarize\_outputs()):
  - <model>\_data\_stats\_postproc.csv High level summary of the run
- In debug//optimization results//binding constraints// (output by run()):
  - max\_cap\_binding\_trans\_existing\_<block>\_<year>.csv Existing transport capacity constraints that are binding (hit limit)
  - max\_cap\_binding\_trans\_add\_<block>\_<year>.csv Added transport capacity constraints that are binding
  - max\_cap\_binding\_store\_<block>\_<year>.csv Storage injectivity constraints that are binding
- In debug//optimization tests// (output by test\_flows()):
  - infeasible\_supply\_b<block>\_<year>\_<iteration>\_<cycle>.csv
     Supply node infeasibilities
  - infeasible\_ts\_in\_b<block>\_<year>\_<iteration>\_<cycle>.csv Infeasibilities into trans-shipment nodes
  - infeasible\_ts\_out\_b<block>\_<year>\_<iteration>\_<cycle>.csv
     Infeasibilities
     out of trans-shipment nodes
- In debug//optimization\_results// (output by results\_to\_csv()):
  - flows//b<block> flows all years.csv All flows
  - investment\_decisions//<model>\_bins\_b<blook>\_<year>.csv Pipeline binary investment decisions (only meaningful for MILP)
  - transport\_cap\_added//<model>\_cap\_add\_b<block>\_<year>.csv Transportation capacity added
  - investment\_decisions//<model>\_store\_aors\_b<blook>\_<year>.csv Storage capacity added
  - duals//<model>\_duals\_<year>.csv Dual variables
- In debug//visualization results//<model>// (output by visualize\_results()):

- o postproc\_pipeline\_map\_<year>\_<block>.html Map of active CO2 flows
- datatable\_postproc\_pipeline\_map\_<year>\_<block>.html Table summarizing results.
- In debug//electricity demand// (output by write\_restart\_file()):
  - o electricity demand.csv Overview of electricity consumed for transportation

## Postprocessor: Output Restart Variables

- rest\_co2\_sup\_out Supplied CO<sub>2</sub> by census division and type
  - 1 = PP Coal.
  - ∘ 2 = PP Natgas,
  - $\circ$  3 = BECCS,
  - 4 = Natural Gas Processing,
  - $\circ$  5 = Cement,
  - ∘ 6 = Ethanol,
  - 7 = Hydrogen,
  - ∘ 8 = Other, and
  - 9 = Total.
- rest\_co2\_seq\_out Sequestered CO<sub>2</sub> by census division and storage type where
  - 1 = EOR, and
  - 2 = Saline.
- rest\_co2\_sup\_out\_r Supplied CO<sub>2</sub> by census region and type
  - 1 = PP Coal,
  - ∘ 2 = PP Natgas,
  - $\circ$  3 = BECCS,
  - 4 = Natural Gas Processing,
  - $\circ$  5 = Cement,
  - $\circ$  6 = Ethanol,
  - ∘ 7 = Hydrogen,
  - ∘ 8 = Other, and
  - 9 = Total.
- rest\_co2\_seq\_out\_r Sequestered CO<sub>2</sub> by census region and storage type where
  - 1 = EOR, and
  - 2 = Saline.
- rest\_co2\_prc\_dis\_45q 45Q CO<sub>2</sub> price by census division
- rest\_co2\_prc\_dis\_ntc NTC CO<sub>2</sub> price by census division
- rest\_co2\_prc\_reg\_45q 45Q CO<sub>2</sub> price by census region
- rest\_co2\_prc\_reg\_ntc NTC CO2 price by census region
- rest\_co2\_elec Electricity consumed by pipelines by census region

## Postprocessor: Code

class postprocessor.Postprocessor(parent)

[source]

Bases: Submodule

Postprocessor Submodule for CCATS.

\_\_init\_\_(parent)

[source]

Initializes Postprocessor object.

**Parameters:** parent (*str*) – Module.Module (Pointer to parent module)

Return type: None

parent

module. Module head module

setup(setup\_filename)

[source]

Setup postprocessor Submodule for CCATS.

**Parameters:** setup\_filename (str) – Path to postprocessor setup file.

Returns:

- **self.logger** (*Logger*) Logger utility, declared in parent.
- self.setup\_table (DataFrame) Setup table for Preprocessor.py, with input values and switches relating to the submodule
- self.price\_limit (float) Maximum price (+/-) returned by CCATS in \$1987.
- **self.price\_peg** (*float*) Maximum price (+/-) movement allowed per cycle relative to the previous cycle in \$1987.
- self.slack\_threshold (float) Volume threshold at which infeasibilities are recorded in debug outputs
- self.log\_b1\_infeasibilities (bool) Determines whether or not to log infeasibilities for block 1.
- self.log\_b2\_infeasibilities (bool) Determines whether or not to log infeasibilities for block 2.
- **self.infeasibility\_threshold\_pct** (*float*) Log infeasibilities if greater than provided percentage of total flow.

run() [source]

Run postprocessor Submodule for CCATS.

Parameters: None

- **self.m** (*Pyomo Model*) Pyomo model solved by models.ccats\_optimization.OptimizationModel.
- **self.b0** (*Pyomo Block*) Block 0 extracted from self.m.
- **self.b1** (*Pyomo Block*) Block 1 extracted from self.m.
- self.b2 (Pyomo Block) Block 2 extracted from self.m.

- self.O\_pipe\_bins\_b0\_df (DataFrame) Block 0 transportation binary investment decisions.
- self.O\_pipe\_bins\_b1\_df (DataFrame) Block 1 transportation binary investment decisions.
- self.O\_pipe\_bins\_b2\_df (DataFrame) Block 2 transportation binary investment decisions.
- **self.O\_store\_new\_aors\_b0\_df** (*DataFrame*) Block 0 aors opened.
- self.O\_store\_new\_aors\_b1\_df (DataFrame) Block 1 aors opened.
- self.O\_store\_new\_aors\_b2\_df (DataFrame) Block 2 aors opened.
- **self.O\_transport\_cap\_add\_b0\_df** (*DataFrame*) Block 0 transportation capacity added.
- self.O\_transport\_cap\_add\_b1\_df (DataFrame) Block 1 transportation capacity added.
- **self.O\_transport\_cap\_add\_b2\_df** (*DataFrame*) Block 2 transportation capacity added.
- **self.O\_infeasible\_supply\_b0\_df** (*DataFrame*) Block 0 infeasible supply.
- **self.O\_infeasible\_supply\_b1\_df** (*DataFrame*) Block 1 infeasible supply.
- self.O\_infeasible\_supply\_b2\_df (DataFrame) Block 2 infeasible supply.
- **self.O\_infeasible\_ts\_in\_b0\_df** (*DataFrame*) Block 0 infeasible flow into trans-shipment nodes.
- **self.O\_infeasible\_ts\_in\_b1\_df** (*DataFrame*) Block 1 infeasible flow into trans-shipment nodes.
- **self.O\_infeasible\_ts\_in\_b2\_df** (*DataFrame*) Block 2 infeasible flow into trans-shipment nodes.
- **self.O\_infeasible\_ts\_out\_b0\_df** (*DataFrame*) Block 0 infeasible flow out of trans-shipment nodes.
- self.O\_infeasible\_ts\_out\_b1\_df (DataFrame) Block 1 infeasible flow out of trans-shipment nodes.
- self.O\_infeasible\_ts\_out\_b2\_df (DataFrame) Block 2 infeasible flow out of trans-shipment nodes.
- self.O\_slack\_demand\_b0\_df (DataFrame) Block 0 slack demand for EOR nodes.
- self.O\_slack\_demand\_b1\_df (DataFrame) Block 1 slack demand for EOR nodes.
- self.O\_slack\_demand\_b2\_df (DataFrame) Block 2 slack demand for EOR nodes.
- **self.O\_slack\_storage\_b0\_df** (*DataFrame*) Block 0 slack storage for saline storage nodes.
- self.O\_slack\_storage\_b1\_df (DataFrame) Block 1 slack storage for saline storage nodes.
- **self.O\_slack\_storage\_b2\_df** (*DataFrame*) Block 2 slack storage for saline storage nodes.
- **self.O\_flows\_b0\_df** (*DataFrame*) Block 0 flows assigned to pipelines.
- **self.O\_flows\_b1\_df** (*DataFrame*) Block 1 flows assigned to pipelines.

- **self.O\_flows\_b2\_df** (*DataFrame*) Block 2 flows assigned to pipelines.
- **self.C\_bind\_trans\_existing\_b0\_df** (*DataFrame*) Block 0 binding existing transportation constraints.
- **self.C\_bind\_trans\_existing\_b1\_df** (*DataFrame*) Block 1 binding existing transportation constraints.
- **self.C\_bind\_trans\_existing\_b2\_df** (*DataFrame*) Block 2 binding existing transportation constraints.
- **self.C\_bind\_trans\_add\_b0\_df** (*DataFrame*) Block 0 binding added transportation constraints.
- **self.C\_bind\_trans\_add\_b1\_df** (*DataFrame*) Block 1 binding added transportation constraints.
- **self.C\_bind\_trans\_add\_b2\_df** (*DataFrame*) Block 2 binding added transportation constraints.
- **self.C\_bind\_store\_b0\_df** (*DataFrame*) Block 0 binding storage constraints.
- self.C\_bind\_store\_b1\_df (DataFrame) Block 1 binding storage constraints.
- **self.C\_bind\_store\_b2\_df** (*DataFrame*) Block 2 binding storage constraints.

variables\_to\_df(block, O\_flows\_df, O\_pipe\_bins\_df, O\_store\_new\_aors\_df)
Write pyomo results to DataFrames for use in postprocessors.
[source]

**Parameters:** • **block** (*Pyomo Block*) – Current Pyomo Block to be analyzed.

- **O\_flows\_df** (*DataFrame*) Expected to be an empty DataFrame.
- **O\_pipe\_bins\_df** (*DataFrame*) Expected to be an empty DataFrame.
- O\_store\_new\_aors\_df (DataFrame) Expected to be an empty DataFrame.

Returns:

- **O\_flows\_df** (*DataFrame*) Flows indexed by arc (node i, node j), 45Q elgibility, and pipeline ID.
- **O\_pipe\_bins\_df** (*DataFrame*) Transportation binary investment decisions indexed by arc (node i, node j) and pipeline ID.
- O\_store\_new\_aors\_df (DataFrame) Saline storage added (AORS) indexed by node.
- **O\_transport\_cap\_add\_df** (*DataFrame*) Transportation capacity added indexed by arc (node i, node j) and segment.

Write pyomo slack variables to DataFrames for use in postprocessors.

**Parameters:** • block (*Pyomo Block*) – Pyomo Block to be analyzed.

- O infeasible supply (DataFrame) Empty DataFrame.
- O infeasible ts in (DataFrame) Empty DataFrame.
- **O\_infeasible\_ts\_out** (*DataFrame*) Empty DataFrame.
- **O\_slack\_demand** (*DataFrame*) Empty DataFrame.
- **O\_slack\_storage** (*DataFrame*) Empty DataFrame.

Returns:

- O infeasible supply (DataFrame) Infeasible supply by node.
- O infeasible ts in (DataFrame) Infeasible flow into a trans-shipment node.
- O\_infeasible\_ts\_out (DataFrame) Infeasible flow out of a trans-shipment node.
- O\_slack\_demand (DataFrame) Slack EOR demand by node.
- O slack storage (DataFrame) Slack saline storage by node.

assign\_flows\_to\_pipes(O flows df, O pipe bins df, time period) [source] Assign flows to the correct pipeline segments.

**Parameters:** • **O\_flows\_df** (*DataFrame*) – Current block flows.

• **O\_pipe\_bins\_df** (*DataFrame*) – Transportation investments.

• **time period** (*int*) – Block number.

O flows df – Current block flows assigned to pipelines. Returns:

**Return type:** DataFrame

binding constraints to df(block, O flows df, C bind trans existing df, C bind trans add df, C bind store df, new aors df) [source]

Write Pyomo constraints to DataFrames for use in postprocessors.

- **Parameters:** block (*Pyomo Block*) Pyomo block to be analyzed.
  - O flows df (DataFrame) Current block flows.
  - C bind trans existing df (DataFrame) Empty DataFrame.
  - **C** bind trans add df (*DataFrame*) Empty DataFrame.
  - C bind store df (DataFrame) Empty DataFrame.
  - new\_aors\_df (DataFrame) Additional storage capacity (AORS) that has been added.

Returns:

- **C\_bind\_trans\_existing\_df** (*DataFrame*) Binding existing transportation constraints for the current block.
- C bind trans add df (DataFrame) Binding added transportation constraints for the current block.
- **C\_bind\_store\_df** (*DataFrame*) Binding storage constraints for the current block.

duals\_to\_df()

[source]

Translate dual variables to df.

Parameters: None

- **self.duals\_df** (*DataFrame*) DataFrame of dual variables generated from models.ccats\_optimization.OptimizationModel.
- **self.shadow\_price\_df** (*DataFrame*) DataFrame of all shadow prices indexed by tax credit eligibility (policy eligibility).
- **self.shadow\_price\_div\_df** (*DataFrame*) DataFrame of shadow prices at the census division level.
- self.shadow price reg df (DataFrame) DataFrame of shadow prices at the census region level.

### electricity\_demand\_to\_df()

[source]

Calculate electricity demand and write to dedicated df by census division.

Parameters: None

**Returns:** self.electric\_demand – Electricity consumed by census division.

Return type: DataFrame

test\_flows() [source]

Test if supply slack variables indicate that not all supply was allocated to demand or storage.

If infeasibilities found:

- postprocessor.Postprocessor.results\_to\_csv()
- postprocessor.Postprocessor.summarize\_outputs()

Parameters: None

If infeasibilities found:

- postprocessor.Postprocessor.results\_to\_csv()
- postprocessor.Postprocessor.summarize\_outputs()

Parameters: None

**Returns:** O\_infeasible\_supply - DataFrame of supply slack variables from

optimization.

Return type: DataFrame

#### update existing pipeline network()

[source]

Get capacity added for new pipelines and write to DataFrame to be passed between model years.

• Use block 0, because those are the investments selected in the curent model year (first available to use in block 1)

Parameters: None

**Returns:** self.parent.new\_built\_pipes\_df – DataFrame of pipeline capacity added.

**Return type:** DataFrame

### update\_storage\_volumes()

[source]

Update realized storage volumes passed between model years.

• Use block 0 since it is investment block.

Parameters: None

Returns: • self.parent.new\_aors\_df (DataFrame) – Additional storage capacity

(AORs) added.

self.parent.store\_prev\_b0\_df (DataFrame) — Track amount of CO<sub>2</sub> stored.

[source]

Write results to local restart variable.

Results are based on block 0

Results use the following indices:

- Supply
- 1 = PP Coal
- ∘ 2 = PP Natgas
- 3 = BECCS
- 4 = Natural Gas Processing
- $\circ$  5 = Cement
- ∘ 6 = Ethanol
- 7 = Hydrogen
- ∘ 8 = Other
- ∘ 9 = Total
- Sequestration
  - $\circ$  1 = CO<sub>2</sub> EOR
  - ∘ 2 = Storage

#### Parameters: None

#### Returns:

- self.parent.rest\_co2\_sup\_out (DataFrame) Supplied CO<sub>2</sub> by census division
- **self.parent.rest\_co2\_seq\_out** (*DataFrame*) Sequestered CO<sub>2</sub> by census division
- self.parent.rest\_co2\_sup\_out\_r (DataFrame) Supplied CO<sub>2</sub> by census region
- self.parent.rest\_co2\_seq\_out\_r (DataFrame) Sequestered CO<sub>2</sub> by census region
- self.parent.rest\_co2\_prc\_dis\_45q (DataFrame) Price of CO<sub>2</sub> 45Q eligible by census district
- self.parent.rest\_co2\_prc\_dis\_ntc (DataFrame) Price of CO<sub>2</sub> 45Q ineligible by census district
- self.parent.rest\_co2\_prc\_reg\_45q (DataFrame) Price of CO<sub>2</sub> 45Q eligible by census region
- self.parent.rest\_co2\_prc\_reg\_ntc (DataFrame) Price of CO<sub>2</sub> 45Q ineligible by census region
- **self.parent.rest\_co2\_elec** (*DataFrame*) Electricity consumption by census division

### copy\_variables\_for\_pytest()

[source]

Copy flow variables for Pytest.

• Pytest is used for results testing (i.e. confirming flows in the model don't exceed flows reported via the restart variable)

#### Parameters: None

#### Returns:

- self.parent.pytest.O\_flows\_b0\_df (DataFrame) Block 0 flows copied for pytest.
- self.parent.pytest.O\_flows\_b1\_df (DataFrame) Block 1 flows copied for pytest.
- self.parent.pytest.O\_infeasible\_supply\_b0\_df (DataFrame) Copy of block 0 infeasible supply df for pytest.
- self.parent.pytest.O\_infeasible\_supply\_b1\_df (DataFrame) Copy of block 1 infeasible supply df for pytest.
- self.parent.pytest.O\_infeasible\_supply\_b2\_df (DataFrame) Copy of block 2 infeasible supply df for pytest.
- **self.parent.pytest.transport\_add** (*DataFrame*) Copy of transport capacity add df for pytest.
- **self.parent.pytest.transport\_existing** (*DataFrame*) Copy of transport capacity existing df for pytest.
- **self.parent.pytest.year start** (*int*) Copy of start year for pytest.
- **self.parent.pytest.year\_current** (*int*) Copy of current year for pytest.
- self.parent.pytest.iteration\_current (int) Copy of current iteration for pytest.
- self.parent.pytest.rest\_co2\_sup\_out (DataFrame) Supplied CO<sub>2</sub> by census division
- self.parent.pytest.rest\_co2\_sup\_out\_r (DataFrame) Sequestered
   CO<sub>2</sub> by census division

### write\_pkl() [source]

Write local variables that need to be passed between model iterations to pickle.

#### Parameters: None

#### Returns:

- self.parent.pkl.mod\_new\_aors\_df (DataFrame) AORS opened during Block 0.
- self.parent.pkl.mod\_store\_prev\_b0\_df (DataFrame) Previous block 0 storage.
- **self.parent.pkl.mod\_new\_built\_pipes\_df** (*DataFrame*) New built pipelines.

### visualize\_results(0\_flows\_input, block)

[source]

Visualize optimization results.

**Parameters:** • O\_flows\_input (DataFrame) — Flows from the current block to be visualized.

block (String) – Name of block.

Return type: None

#### results\_to\_csv()

Write Pyomo results to csv.

Parameters: None Return type: None

## summarize\_outputs()

[source]

Create high level summary of run and output to <model>\_data\_stats\_postproc.csv.

Parameters: None Return type: None

# preprocessor module

Submodule for Preprocessing CCATS data during runtime.

### Preprocessor: Summary

This submodule preprocesses CCATS data from the restart file and input files and prepares it for use in the main CCATS optimization model. The preprocessor runs as follows:

- 1. setup() is called from Module: Summary.
- 2. In setup(), input variables are read in from preproc\_setupd.csv, then
  load\_inputs\_restart(), load\_inputs\_csv() and load\_inputs\_pkl() are called to read in
  input DataFrames.
- 3. Other model year 1 setup processes are performed, (i.e. input costs are inflation adjusted in harmonize\_costs\_inflation() and 45Q tax credit values are assigned to demand and storage input DataFrames in assign\_tax\_credits()).
- 4. Preprocessor: Summary setup ends.
- 5. run() is called from Module: Summary.
- 6. Instantiate model year process DataFrames:
  - a. Main model year CO<sub>2</sub> supply facility pipeline lookup, storage,
     and EOR demand DataFrames are instantiated in instantiate\_model\_year\_dfs().
  - b. Block-level model year CO<sub>2</sub> supply and EOR demand DataFrames are instantiated in setup\_co2\_supply\_blocks() and setup\_co2\_eor\_blocks(), respectively.
  - c. Model year pipeline infrastructure is declared based on generalized Department of Transportation (DOT) pipeline network and previous model year results in declare\_existing\_pipe\_infrastructure().
- 7. Produce a point-source CO<sub>2</sub> supply roster for the main CCATS optimization:
  - a. CO<sub>2</sub> supply sources from the NETL CCRD database have their prices adjusted based on technology improvement (apply\_tech\_rate\_capture\_costs()) and transport costs (add\_transport\_costs\_to\_netl\_capture\_costs()).
  - b. Assign NEMS capture costs to Facility Aggregated Nodes (FANs) in assign\_nems\_capture\_costs().

- c. Match CO<sub>2</sub> supply from NEMS against the point-source facility rosters in self.ccs\_facility\_year\_df and self.cluster\_facility\_df, based on facility capture cost, producing block-level CO<sub>2</sub> supply facility rosters for the main optimization.
- d. Set 45Q eligibility status for each block-level CO<sub>2</sub> supply facility roster, and adjust capital costs down for the next model year.
- 8. Produce a transportation roster for the main CCATS optimization:
  - a. Make the dataset sparse in filter\_available\_pipelines() based on selected CO<sub>2</sub> supply faciliites and available CO<sub>2</sub> EOR and saline formation storage sites.
  - b. Assign pipeline electricity costs in assign\_electricity\_costs().
- 9. Produce a CO<sub>2</sub> saline formation storage roster for the main CCATS optimization
  - a. Update saline formation storage capacity and injectivity based on previous model year results
- 10. Setup inputs to CCATS optimization.
- 11. Run CCATS preprocessor utiliites (i.e. pickle variables, debug outputs)

## Preprocessor: Input Files

- preproc setup.csv preprocessor setup file
- storage formations lookup.csv Lookup of saline formation attributes
- co2\_facility\_lookup.csv Lookup of CO<sub>2</sub> capture facility attributes
- master\_pipeline\_lookup\_multi.csv Lookup of CO<sub>2</sub> pipeline transportation attributes
- ts\_multiplier.csv Set of CO<sub>2</sub> multipliers for TS arcs by year
- hsm\_eor\_centroid.csv CO2 demand from HSM centroids during STEO years

## Preprocessor: Model Functions and Class Methods

### Setup

- \_\_init\_\_() Constructor to initialize Preprocessor submodule (instantiated by module.Module.setup() in Module: Summary)
- setup() Setup Preprocessor submodule for CCATS (called by module.Module.setup()).
- load\_inputs\_restart() Load CCATS inputs from Restart File (called by setup()).

- load\_inputs\_csv() Load CCATS inputs from .csv files (called by setup()).
- load\_inputs\_pk1() Load CCATS inputs from .pkl files (called by setup()).
- harmonize\_costs\_inflation() Harmonize model input costs using NEMS inflation multipliers (called by setup()).
- filter\_pnw() Assess Pacific Northwest (PNW) EMM region's viability for CCS activities (called by setup()).

### Run

• run() - Run Preprocessor Submodule for CCATS (called by module.module.run()).

### **Model Year Instantiations**

- assign\_tax\_credits() Assign 45Q tax credits values to storage and demand input DataFrames (called by run()).
- instantiate\_model\_year\_dfs() Instantiate model year DataFrames (i.e. model year pipeline lookup, available saline formations, etc.) (called by run()).
- account\_for\_facility\_year\_operations() Account for the number of years a facility
  has been operational (called by run()).
- setup\_co2\_supply\_blocks() Instantiate model DataFrames for CO<sub>2</sub> supply (called by run()).
- setup\_co2\_eor\_blocks() Setup model year DataFrames for CO<sub>2</sub> EOR demand (called by run()).
- declare\_existing\_pipe\_infrastructure() Declare and update existing pipeline network (called by run()).

### Produce CO<sub>2</sub> supply source roster

- apply\_tech\_rate\_capture\_costs() Apply tech learning rate to NETL capture costs (called by run()).
- assign\_nems\_capture\_costs() Assign CO<sub>2</sub> capture cost (\$/tonne) for net-new facilities based on restart file variables (called by run()).
- get\_co2\_facility\_rosters() Determine rosters of available CO<sub>2</sub> capture facilities (called by run()).
- add\_transport\_costs\_to\_net1\_capture\_costs() Prioritize CO<sub>2</sub> capture facilities based on transport connection costs (called by run()).
- determine\_point\_source\_supplies() Match CO<sub>2</sub> supplies against the NETL curve and produce a DataFrame of model year supply sources (called by run()).
- determine\_facility\_eligibility\_45q() Determine 45Q eligibility for CO<sub>2</sub> capture facilities by model block (called by run()).

• zero\_inv\_costs\_for\_retrofit\_facilities() - Zeroes out investment costs for facilities which have been retrofit (called by run()).

### Update CO<sub>2</sub> transportation roster

- filter\_available\_pipelines() Remove inactive CO<sub>2</sub> supply, storage and CO<sub>2</sub> EOR nodes from the pipeline network to make more sparse (called by run()).
- assign\_electricity\_costs() Assign electricity costs (\$/MWh) for pipelines (called by run()).

### Update CO<sub>2</sub> saline formation storage roster

• update\_storage\_infrastructure() - Declare and update existing storage infrastructure based on last model year results (called by run()).

### Setup CCATS Optimization

- declare\_supply\_opt() Declare supply DataFrames for the main CCATS optimization (called by run()).
- prepare\_storage\_opt() Prepare storage DataFrames for the main CCATS optimization (called by run()).
- prepare\_eor\_opt() Prepare EOR DataFrames for the main CCATS optimization (called by run()).
- setup\_optimization() Format and prepare data in optimization DataFrames for optimization (called by run()).
- instantiate\_pyomo\_series() Declare Pyomo optimization inputs as series (called by run()).

### **CCATS Preprocessor Utilities**

- write\_pkl() Write CCATS variables that need to be passed between model iterations via .pkl files (called by run()).
- summarize\_inputs() Output debug file of preprocessor data summary (called by run()).
- visualize\_inputs() Visualize preprocessed data (called by run()).

## Preprocessor: Output Debug Files

Some debug files are output multiple times during a NEMS run, and use the following convention:

<model> is the name of the model (default is ccats\_opt),

- <block> is the number of the current block (0, 1, or 2),
- <year> is the current model year, for example 2024,
- <iteration> is the current NEMS iteration, and
- <cycle> is the current NEMS cycle.
- In debug// (output by summarize\_inputs()):
  - <model> data stats preproc.csv High level summary of model inputs
- In debug//tech\_learning (output by apply\_tech\_rate\_capture\_costs()):
  - tech\_learning\_capture.csv Tech learning rate for carbon capture technologies by year
- In debug//tech learning (output by instantiate\_pyomo\_series()):
  - tech\_learning\_transport\_storage.csv Tech learning rate for carbon capture technologies by year
- In debug//optimization inputs//supply (output by determine\_facility\_eligibility\_45q()):
  - before\_45q\_supply\_sources\_sparse\_<block>\_df\_<year>.csv
     CO<sub>2</sub> supply sources before being updated for 45Q eligibility
  - after\_45q\_supply\_sources\_sparse\_<block>\_df\_<year>.csv Point-source CO<sub>2</sub>
     supply sources after being updated for 45Q eligibility
- In debug//optimization inputs//elec costs (output by assign\_electricity\_costs()):
  - elec\_prices\_<year>\_<iteration>\_<cycle>.csv Electricity prices used by CCATS main optimization
- In debug//optimization inputs//elec costs (output by instantiate\_pyomo\_series()):
  - opex\_transport\_elec\_<year>.csv Electricity opex (\$/tonne) used by CCATS main optimization
  - electricity\_demand\_<year>.csv Electricity demand (MWh/tonne) used by ccats main optimization
- In debug//optimization inputs//storage (output by instantiate\_pyomo\_series()):
  - storage\_existing\_opt.csv Existing storage capacity in the CCATS main optimization
  - storage\_new\_opt.csv Potential for new storage capacity in the CCATS main optimization
  - storage\_opt\_inputs.csv Main storage inputs into the CCATS main optimization storage (storage AORs available and operating, injectivity, etc.)
- In debug//optimization\_inputs//transport (output by instantiate\_pyomo\_series()):
  - pipeline\_network\_<year>.csv Available arcs for pipeline network in the CCATS main optimization
- In debug//financing (output by instantiate pyomo series()):
  - discount\_multipliers.csv Block durations and discount multipliers by variable used in the CCATS main optimization

## Preprocessor: Output Restart Variables

None

### Preprocessor: Code

class preprocessor.Preprocessor(parent)

[source]

Bases: Submodule

Preprocessor Submodule for CCATS.

\_\_init\_\_(parent)

[source]

Initializes Preprocessor object.

**Parameters:** parent (*str*) – Module.Module (Pointer to parent module).

Return type: None

setup(setup\_filename)

[source]

Setup Preprocessor Submodule for CCATS.

**Parameters:** setup\_filename (str) – Path to preprocessor setup file.

- **self.logger** (*Logger*) Logger utility, declared in parent.
- **self.input\_path** (*str*) Model input path, declared in parent.
- **self.output\_path** (*str*) Model output path, declared in parent.
- **self.preproc\_input\_path** (*str*) Preprocessor submodule input path.
- **self.setup\_table** (*DataFrame*) Setup table for Preprocessor.py, with input values and switches relating to the submodule.
- self.small\_sample\_switch (DataFrame) Model solves for only a single census division for testing if TRUE.
- self.split\_45q\_supply\_switch (DataFrame) Split CO<sub>2</sub> supply into 45Q and NTC components if TRUE.
- **self.small\_sample\_division** (*int*) Census Division tested when running a "small sample" test.
- **self.block\_45q\_yr** (*int*) Decision variable for number of 45Q eligibility years remaining in model (see method: determine facility eligibility\_45q).
- **self.new\_pipes\_to\_exist\_facilities** (*int*) First year that new pipelines can be built to existing capture facilities (first operational in the following year).
- self.tech\_learn\_ammonia (float) Tech rate for capture at ammonia facilities.
- **self.tech\_learn\_ethanol** (*float*) Tech rate for capture at ethanol facilities.

- **self.tech\_learn\_cement** (*float*) Tech rate for capture at cement facilities.
- **self.tech\_learn\_ng\_processing** (*float*) Tech rate for capture at natural gas processing.
- **self.tech\_learn\_other** (*float*) Tech rate for capture at all other facilities.
- **self.tech\_learn\_power** (*float*) Tech rate for capture at power plants (coal and natural gas).
- **self.tech\_learn\_transport** (*float*) Tech rate for carbon transport.
- **self.tech\_learn\_storage** (*float*) Tech rate for carbon storage.
- self.transport\_buffer (float) Buffer (fraction) added above CO<sub>2</sub> flow to transport network during optimization.
- self.storage\_buffer (float) Buffer (fraction) added above CO<sub>2</sub> flow to storage network during optimization.

### load\_inputs\_restart()

[source]

Load CCATS inputs from Restart File.

- Read in relevant DataFrames from parent module, and
- Assign instantiate "eligibility\_45q" column in each supply DataFrame.

**Returns:** • self.i\_industrial\_supply\_45q\_df (*DataFrame*) — DataFrame of 45Q eligibile CO<sub>2</sub> supply from NEMS.

- self.i\_industrial\_supply\_ntc\_df (DataFrame) DataFrame of CO<sub>2</sub> supply from NEMS that is not eligibile for a tax credit (NTC = no tax credit).
- self.i\_nems\_facility\_cost\_df (DataFrame) DataFrame of CO<sub>2</sub> capture costs from NEMS.

### load\_inputs\_csv()

[source]

Load CCATS inputs from CSV.

- Read in input data from .csvs, and
- Concat EOR demand data from the restart file to EOR centroid data from hsm\_eor\_centroid.csv input file, and filter out any EOR plays that have no demand.

**Returns:** • self.i\_storage\_df (*DataFrame*) – DataFrame of storage formations - input data.

- self.i\_co2\_supply\_facility\_df (DataFrame) DataFrame of CO<sub>2</sub> capture facility attributes from NETL - input data.
- self.i\_pipeline\_lookup\_df (DataFrame) DataFrame of CO<sub>2</sub> pipeline lookup input data.
- self.i\_eor\_demand\_df (DataFrame) DataFrame of CO<sub>2</sub> EOR site CO<sub>2</sub> demanded - input data.

• self.i\_eor\_cost\_net\_df (DataFrame) - DataFrame of CO<sub>2</sub> EOR net cost for CO<sub>2</sub> - input data.

### load\_inputs\_pkl()

[source]

Load CCATS inputs from Pickle.

- CCATS Pickle variables are loaded from CCATS Pickle: Summary after model year 1 using the pickle library (https://docs.python.org/3/library/pickle.html),
- This is done because the CCATS Python environment is not maintained between model iterations, pickling allows us to store working memory between iterations, and
- This code can be deprecated once user objects are implemented in main.py.

- Returns: self.i\_storage\_df (DataFrame) DataFrame of storage formations input
  - self.i\_co2\_supply\_facility\_df (DataFrame) DataFrame of CO<sub>2</sub> capture facility attributes from NETL - input data.
  - self.i\_pipeline\_lookup\_df (DataFrame) DataFrame of CO<sub>2</sub> pipeline lookup - input data.
  - self.i\_eor\_demand\_df (DataFrame) DataFrame of CO2 EOR site CO2 demanded - input data.
  - self.i\_eor\_cost\_net\_df (DataFrame) DataFrame of CO2 EOR net cost for CO<sub>2</sub> - input data.
  - self.pipes\_existing\_df (DataFrame) DataFrame of existing CO2 pipeline infrastructure in a given model year.
  - self.storage\_existing\_df (DataFrame) DataFrame of existing CO<sub>2</sub> storage infrastructure in a given model year.
  - self.co2\_facility\_eligibility\_df (DataFrame) DataFrame of CO2 facility 45Q eligibility.
  - self.parent.new built pipes df (DataFrame) DataFrame of new pipelines built in previous model year b1.
  - self.parent.new\_aors\_df (DataFrame) DataFrame of new AORS, carried over from the previous model year.
  - self.parent.store\_prev\_b0\_df (DataFrame) DataFrame of previous model year CO<sub>2</sub> stored in b0.
  - self.parent.co2\_supply\_prev\_b1\_df (DataFrame) DataFrame of previous model year CO<sub>2</sub> supplied in b1.

### harmonize\_costs\_inflation()

[source]

Harmonize model input costs using NEMS inflation multipliers.

Inflation calculations are called using the common.calculate\_inflation() function.

**Returns:** • self.i\_nems\_facility\_cost\_df (*DataFrame*) – DataFrame of industrial facility CO<sub>2</sub> capture cost from NEMS - input data.

- self.i\_pipeline\_lookup\_df (DataFrame) DataFrame of CO<sub>2</sub> pipeline lookup input data.
- self.i\_co2\_supply\_facility\_df (DataFrame) DataFrame of CO<sub>2</sub> capture facility attributes from NETL - input data.
- **self.i\_storage\_df** (*DataFrame*) DataFrame of storage formations input data.

Assess Pacific Northwest (PNC) EMM region's viability for CCS activities.

 PNW has lots of electricity generation, but limited CCS options, so we pull FANs (Facility Aggregated Nodes) out of the representation if no builds in the previous cycle.

Parameters: None

Returns:

- self.i\_co2\_supply\_facility\_df (DataFrame) DataFrame of CO<sub>2</sub> capture facility attributes from NETL input data.
- self.i\_pipeline\_lookup\_df (DataFrame) DataFrame of CO<sub>2</sub> pipeline lookup input data.

### assign\_tax\_credits()

[source]

Assign 45Q tax credits values to storage and demand input DataFrames.

**Returns:** • self.i\_storage\_df (*DataFrame*) – DataFrame of storage formations - input data.

• **self.i\_eor\_demand\_df** (*DataFrame*) – DataFrame of EOR demand - input data.

run()
[source]

Run Preprocessor Submodule for CCATS.

Parameters: None Return type: None

### instantiate\_model\_year\_dfs()

[source]

Instantiate model year DataFrames (i.e. model year pipeline lookup, available saline formations, etc.).

 Pipeline CO<sub>2</sub> supplies and pipeline network change every model year, so need to refresh DataFrames every model year.

Parameters: None

#### Returns:

- **self.pipeline\_lookup\_df** (*DataFrame*) DataFrame of model year CO<sub>2</sub> pipeline lookup.
- **self.storage\_new\_df** (*DataFrame*) DataFrame of model year potential new storage formations.
- **self.eor\_demand\_df** (*DataFrame*) DataFrame of model year CO<sub>2</sub> EOR demand by play.
- self.eor\_cost\_net\_df (DataFrame) DataFrame of model CO<sub>2</sub> EOR net cost by play.
- self.co2\_supply\_facility\_df (DataFrame) DataFrame of available facilities for carbon capture.

### account for facility year operations()

[source]

Account for the number of years a facility has been operational.

 Update years operating and 45Q eligibility before facilities are selected for the optimization.

self.co2\_supply\_facility\_df - DataFrame of CO<sub>2</sub> facility data and costs -Returns:

input data.

Return type: DataFrame

#### setup co2 supply blocks()

[source]

Instantiate block-level model DataFrames for CO<sub>2</sub> supply.

- Instantiate individual block-level DataFrames for CO2 supply by facility type, and
- Instantiate a single DataFrame for model year capture costs, with
  - Block 0 CO<sub>2</sub> supply == model year CO<sub>2</sub> supply,
  - Block 1 CO<sub>2</sub> supply == model year + 1 CO<sub>2</sub> supply, and
  - Block 2 CO<sub>2</sub> supply == mean(model year + 2 max(final AEO model year, model year + 7)) CO<sub>2</sub> demand.

- **Returns:** self.year\_industrial\_supply\_b0\_df (*DataFrame*) DataFrame of model year CO<sub>2</sub> supply for model time period 0.
  - self.year\_industrial\_supply\_b1\_df (DataFrame) DataFrame of model year CO<sub>2</sub> supply for model time period 1.
  - self.year\_industrial\_supply\_b2\_df (DataFrame) DataFrame of model year CO<sub>2</sub> supply for model time period 2.
  - **self.year\_nems\_facility\_cost\_df** (*DataFrame*) DataFrame of model year CO<sub>2</sub> capture costs from NEMS modules.

### setup\_co2\_eor\_blocks()

[source]

Setup model year DataFrames for CO<sub>2</sub> EOR demand.

- Block 0 CO<sub>2</sub> demand == model year CO<sub>2</sub> demand,
- Block 1 CO<sub>2</sub> demand == model year + 1 CO<sub>2</sub> demand, and
- Block 2 CO<sub>2</sub> demand == mean(model year + 2 max(final AEO model year, model year + 7) CO<sub>2</sub> demand.

**Returns:** • **self.eor\_demand\_df** (*DataFrame*) – DataFrame of model year CO<sub>2</sub> EOR demand by play.

 self.eor\_cost\_net\_df (DataFrame) – DataFrame of model CO<sub>2</sub> EOR net cost by play.

### declare\_existing\_pipe\_infrastructure()

[source]

Declare and update existing pipeline network.

- If first model year, instantiate existing pipeline infrastructure based on generalized DOT network, and
- After first model year, update existing transport infrastructure with previous model year's results (pipelines bult in b1).

Parameters: None

**Returns:** self.pipes\_existing\_df – DataFrame of model year existing CO<sub>2</sub> pipeline

infrastructure.

Return type: DataFrame

#### apply\_tech\_rate\_capture\_costs()

[source]

Apply tech learning rate to NETL capture costs.

Parameters: None

**Returns:** self.co2\_supply\_facility\_df - DataFrame of available CO<sub>2</sub> facilities for

carbon capture.

Return type: DataFrame

### assign\_nems\_capture\_costs()

[source]

Assign CO<sub>2</sub> capture cost (\$/tonne) for net-new facilities based on restart file variables.

Parameters: None

**Returns:** self.co2\_supply\_facility\_df - DataFrame of available CO<sub>2</sub> facilities for

carbon capture.

Return type: DataFrame

#### get\_co2\_facility\_rosters()

[source]

Produce model year rosters of available CO<sub>2</sub> capture facilities.

Parameters: None

**Returns:** • self.ccs\_facility\_year\_df (*DataFrame*) – DataFrame of existing carbon

capture facilities eligible for carbon capture retrofit.

• **self.cluster\_facility\_df** (*DataFrame*) – DataFrame of representative clustered carbon capture facilities.

#### add\_transport\_costs\_to\_netl\_capture\_costs()

[source]

Prioritize CO<sub>2</sub> capture facilities based on transport connection costs.

- Update NETL costs to represent capture cost by facility + transport to the closest site, and
- If the closest site isn't source-to-sink, double cost.

Parameters: None

**Returns:** self.ccs\_facility\_year\_df - DataFrame of existing carbon capture facili-

ties eligible for carbon capture retrofit.

Return type: DataFrame

### determine\_point\_source\_supplies()

[source]

Match CO 2 supplies against the NETL supply curve and produce block-level DataFrames of model year supply sources.

Parameters: None

Returns:

- **self.supply\_sources\_sparse\_b0\_df** (*DataFrame*) DataFrame of model year CO<sub>2</sub> supply sources for time period 0.
- self.supply\_sources\_sparse\_b1\_df (DataFrame) DataFrame of model year CO<sub>2</sub> supply sources for time period 1.
- **self.supply\_sources\_sparse\_b2\_df** (*DataFrame*) DataFrame of model year CO<sub>2</sub> supply sources for time period 2.

### determine\_facility\_eligibility\_45q()

[source]

Determine 45Q eligibility for CO<sub>2</sub> capture facilities by model block.

- Calculate remaining years of 45Q eligibility by CO<sub>2</sub> supply source by block:
  - If self.split\_45q\_supply\_switch == True, split each CO<sub>2</sub> facility supply into 45Q and NTC components by year, else determine whether flow is 45Q or NTC based on yr\_remain\_45q and self.block\_45q\_yr,
  - Concatenate new CO<sub>2</sub> capture facilities to co2\_facility\_eligibility\_df and update years operating.

Parameters: None

- **self.supply\_sources\_sparse\_b0\_df** (*DataFrame*) DataFrame of time period 0 sparse CO<sub>2</sub> supply sources for model year optimization.
- self.supply\_sources\_sparse\_b1\_df (DataFrame) DataFrame of time period 1 sparse CO<sub>2</sub> supply sources for model year optimization.

- **self.supply\_sources\_sparse\_b2\_df** (*DataFrame*) DataFrame of time period 2 sparse CO<sub>2</sub> supply sources for model year optimization.
- self.co2\_facility\_eligibility\_df (DataFrame) DataFrame of model year CO<sub>2</sub> capture facility 45Q eligibility.

#### zero\_inv\_costs\_for\_retrofit\_facilities()

[source]

Zero out investment costs for facilities which have been retrofit, and establish facility ranking to maintain facility selection

consistency between model years.

 Set capital costs for CO<sub>2</sub> facilities which have already been retrofit to 0.01% of initial costs to maintain sort order in facility roster.

Parameters: None

Returns: self.i\_co2\_supply\_facility\_df - DataFrame of CO2 capture facility at-

tributes from NETL - input data.

**Return type:** DataFrame

### filter\_available\_pipelines()

[source]

Remove inactive  $CO_2$  supply, storage and  $CO_2$  EOR nodes from the pipeline network to make more sparse.

Parameters: None

**Returns:** pipeline\_lookup\_df – DataFrame of model year CO<sub>2</sub> pipeline lookup.

Return type: DataFrame

### assign electricity costs()

[source]

Assign electricity costs (\$/MWh) for pipelines.

Parameters: None

**Returns:** • self.pipes\_existing\_df (DataFrame) – DataFrame of model year exist-

ing CO<sub>2</sub> pipeline infrastructure.

self.pipeline\_lookup\_df (DataFrame) – DataFrame of model year CO<sub>2</sub>

pipeline lookup.

### update\_storage\_infrastructure()

[source]

Declare and update existing storage infrastructure based on last model year results.

If first model year, instantiate existing storage sites, and

• After first model year, merge prior year model results to existing storage infrastructure and update relevant storage site attributes.

Parameters: None

**Returns:** self.storage\_existing\_df - DataFrame of existing CO<sub>2</sub> storage infra-

structure in a given model year.

Return type: DataFrame

### declare\_supply\_opt()

[source]

Declare supply DataFrames for the main CCATS optimization.

Parameters: None

Returns:

- **self.supply\_sources\_sparse\_b0\_df** (*DataFrame*) DataFrame of time period 0 sparse CO<sub>2</sub> supply sources for model year optimization.
- **self.supply\_sources\_sparse\_b1\_df** (*DataFrame*) DataFrame of time period 1 sparse CO<sub>2</sub> supply sources for model year optimization.
- **self.supply\_sources\_sparse\_b2\_df** (*DataFrame*) DataFrame of time period 2 sparse CO<sub>2</sub> supply sources for model year optimization.
- **self.co2\_supply\_b0\_df** (*DataFrame*) DataFrame of time period 0 CO<sub>2</sub> supply sources and volumes for the main optimization.
- self.co2\_supply\_b1\_df (DataFrame) DataFrame of time period 1 CO<sub>2</sub> supply sources and volumes for the main optimization.
- **self.co2\_supply\_b2\_df** (*DataFrame*) DataFrame of time period 2 CO<sub>2</sub> supply sources and volumes for the main optimization.

### prepare\_storage\_opt()

[source]

Prepare storage DataFrames for the main CCATS optimization.

Parameters: None

Returns:

- self.storage\_existing\_df (DataFrame) DataFrame of existing CO<sub>2</sub> storage infrastructure in a given model year.
- self.storage\_new\_df (DataFrame) DataFrame of potential new CO<sub>2</sub> storage infrastructure.
- self.storage\_costs\_df (DataFrame) DataFrame of CO<sub>2</sub> storage costs.

### prepare eor opt()

[source]

Prepare EOR dataframes for the main CCATS optimization.

Parameters: None

Returns:

- self.eor\_demand\_df (DataFrame) DataFrame of model CO<sub>2</sub> EOR net cost by play.
- self.eor\_cost\_net\_df (DataFrame) DataFrame of model year EOR demand net costs.

### setup\_optimization()

[source]

Format and prepare data in optimization DataFrames for optimization.

Parameters: None

Returns:

 self.co2\_supply\_b0\_opt (DataFrame) — Optimization DataFrame of time period 0 CO<sub>2</sub> supply sources and volumes for the main optimization.

- self.co2\_supply\_b1\_opt (DataFrame) Optimization DataFrame of time period 1 CO<sub>2</sub> supply sources and volumes for the main optimization.
- **self.co2\_supply\_b2\_opt** (*DataFrame*) Optimization DataFrame of time period 2 CO<sub>2</sub> supply sources and volumes for the main optimization.
- self.storage\_existing\_opt (DataFrame) Optimization DataFrame of existing CO<sub>2</sub> storage infrastructure in a given model year.
- self.storage\_new\_opt (DataFrame) Optimization DataFrame of potential new CO<sub>2</sub> storage infrastructure.
- self.storage\_costs\_opt (DataFrame) Optimization DataFrame of CO<sub>2</sub> storage costs.
- **self.eor\_demand\_opt** (*DataFrame*) Optimization DataFrame of model year EOR demand.
- self.eor\_cost\_net\_opt (DataFrame) Optimization DataFrame of model year EOR demand net costs.
- **self.pipeline\_lookup\_opt** (*DataFrame*) Optimization DataFrame of pipeline network options for optimization.
- **self.pipes\_existing\_opt** (*DataFrame*) Optimization DataFrame of existing pipeline infrastructure for optimization.

### instantiate\_pyomo\_series()

[source]

Declare pyomo optimization inputs as Series.

#### Parameters: None

- **self.nodes\_supply** (*Series*) Supply nodes.
- **self.nodes\_trans\_ship** (*Series*) Trans-shipment (TS) nodes.
- **self.nodes\_sequester** (*Series*) Sequestration nodes.
- self.nodes demand (Series) EOR Demand nodes.
- **self.nodes\_storage** (*Series*) Storage nodes.
- **self.nodes** (*Series*) All optimization nodes.
- **self.arcs** (Series) All optimization arcs.
- self.policy cost (Series) CO<sub>2</sub> policy cost.
- self.eligibility\_45q (Series) Arc eligibility for 45Q.
- **self.transport\_existing** (*Series*) Transportation capacity that does not require investment decision (metric tonnes).
- **self.transport\_add\_min** (*Series*) Transport capacity (lower bound) that requires an investment decision (metric tonnes).
- **self.transport\_add** (*Series*) Transport capacity (upper bound) that requires an investment decision (metric tonnes).
- **self.pipeline\_lookup\_opt\_b0** (*Series*) Transport pipeline lookup for net-new pipeline builds, block 0.
- **self.pipeline\_lookup\_opt\_b1** (*Series*) Transport pipeline lookup for net-new pipeline builds, block 1.

- **self.pipeline\_lookup\_opt\_b2** (*Series*) Transport pipeline lookup for net-new pipeline builds, block 2.
- **self.ts\_multiplier\_b0** (*Series*) Multiplier for TS arcs to represent added complexity of a regional/national pipeline network, block 0.
- **self.ts\_multiplier\_b1** (*Series*) Multiplier for TS arcs to represent added complexity of a regional/national pipeline network, block 1.
- **self.ts\_multiplier\_b2** (*Series*) Multiplier for TS arcs to represent added complexity of a regional/national pipeline network, block 2.
- **self.cost\_reduce\_fr\_transport\_b0** (*Series*) Technology improvement rate for transport costs, block 0.
- **self.cost\_reduce\_fr\_transport\_b1** (*Series*) Technology improvement rate for transport costs, block 1.
- **self.cost\_reduce\_fr\_transport\_b2** (*Series*) Technology improvement rate for transport costs, block 2.
- **self.capex\_transport\_base\_b0** (*Series*) Base capital cost of transportation investment decision used in capex piecewise (1987\$), block 0.
- **self.capex\_transport\_base\_b1** (*Series*) Base capital cost of transportation investment decision used in capex piecewise (1987\$), block 1.
- **self.capex\_transport\_base\_b2** (*Series*) Base capital cost of transportation investment decision used in capex piecewise (1987\$), block 2.
- **self.capex\_transport\_slope\_b0** (*Series*) Capital cost slope of transportation investment decision used in capex piecewise (1987\$), block 0.
- **self.capex\_transport\_slope\_b1** (*Series*) Capital cost slope of transportation investment decision used in capex piecewise (1987\$), block 1.
- **self.capex\_transport\_slope\_b2** (*Series*) Capital cost slope of transportation investment decision used in capex piecewise (1987\$), block 2.
- **self.electricity\_demand** (*Series*) Electricity demand from pumps (MWh).
- **self.opex\_transport\_elec\_b0** (*Series*) Operating cost for electricity (\$/MWh), block 0.
- **self.opex\_transport\_elec\_b1** (*Series*) Operating cost for electricity (\$/MWh), block 1.
- **self.opex\_transport\_elec\_b2** (*Series*) Operating cost for electricity (\$/MWh), block 2.
- **self.cost\_reduce\_fr\_storage\_b0** (*Series*) Technology improvement rate for storage costs, block 0.
- **self.cost\_reduce\_fr\_storage\_b1** (*Series*) Technology improvement rate for storage costs, block 1.
- **self.cost\_reduce\_fr\_storage\_b2** (*Series*) Technology improvement rate for storage costs, block 2.
- **self.storage\_capex\_b0** (*Series*) Capital and Fixed O&M costs (\$/tonne) for saline formation storage, block 0.
- **self.storage\_capex\_b1** (*Series*) Capital and Fixed O&M costs (\$/tonne) for saline formation storage, block 1.
- **self.storage\_capex\_b2** (*Series*) Capital and Fixed O&M costs (\$/tonne) for saline formation storage, block 2.

- **self.storage\_varom\_b0** (*Series*) Variable O&M costs (\$/tonne) for saline formation storage, block 0.
- **self.storage\_varom\_b1** (*Series*) Variable O&M costs (\$/tonne) for saline formation storage, block 1.
- self.storage\_varom\_b2 (Series) Variable O&M costs (\$/tonne) for saline formation storage, block 2.
- self.co2\_supply\_b0 (Series) CO<sub>2</sub> supply sources and volumes for the main optimization (metric tonnes), block 0.
- self.co2\_supply\_b1 (Series) CO<sub>2</sub> supply sources and volumes for the main optimization (metric tonnes), block 1.
- self.co2\_supply\_b2 (Series) CO<sub>2</sub> supply sources and volumes for the main optimization (metric tonnes), block 2.
- self.co2\_demand\_b0 (Series) CO<sub>2</sub> demand for the main optimization (metric tonnes), block 0.
- **self.co2\_demand\_b1** (*Series*) CO<sub>2</sub> demand for the main optimization (metric tonnes), block 1.
- self.co2\_demand\_b2 (Series) CO<sub>2</sub> demand for the main optimization (metric tonnes), block 2.
- self.co2\_demand\_cost\_b0 (Series) CO<sub>2</sub> demand net cost for the main optimization (1987\$/tonne), block 0.
- self.co2\_demand\_cost\_b1 (Series) CO<sub>2</sub> demand net cost for the main optimization (1987\$/tonne), block 1.
- self.co2\_demand\_cost\_b2 (Series) CO<sub>2</sub> demand net cost for the main optimization (1987\$/tonne), block 2.
- **self.co2\_injectivity\_existing\_b0** (*Series*) Existing injectivity for the main optimization (metric tonnes), block 0.
- **self.co2\_injectivity\_existing\_b1** (*Series*) Existing injectivity for the main optimization (metric tonnes), block 1.
- **self.co2\_injectivity\_existing\_b2** (*Series*) Existing injectivity for the main optimization (metric tonnes), block 2.
- **self.co2\_injectivity\_new\_b0** (*Series*) Potential new injectivity for the main optimization (metric tonnes), block 0.
- self.co2\_injectivity\_new\_b1 (Series) Potential new injectivity for the main optimization (metric tonnes), block 1.
- **self.co2\_injectivity\_new\_b2** (*Series*) Potential new injectivity for the main optimization (metric tonnes), block 2.
- self.co2\_max\_injectivity\_b0 (Series) Max injectivity for the main optimization (metric tonnes), block 0.
- **self.co2\_max\_injectivity\_b1** (*Series*) Max injectivity for the main optimization (metric tonnes), block 1.
- self.co2\_max\_injectivity\_b2 (Series) Max injectivity for the main optimization (metric tonnes), block 2.
- self.co2\_store\_net\_existing\_b0 (Series) Existing CO<sub>2</sub> storage capacity (metric tonnes), block 0.

- self.co2\_store\_net\_existing\_b1 (Series) Existing CO<sub>2</sub> storage capacity (metric tonnes), block 1.
- self.co2\_store\_net\_existing\_b2 (Series) Existing CO<sub>2</sub> storage capacity (metric tonnes), block 2.
- self.co2\_store\_net\_adder\_b0 (Series) Potential new CO<sub>2</sub> storage capacity (metric tonnes), block 0.
- self.co2\_store\_net\_adder\_b1 (Series) Potential new CO<sub>2</sub> storage capacity (metric tonnes), block 1.
- self.co2\_store\_net\_adder\_b2 (Series) Potential new CO<sub>2</sub> storage capacity (metric tonnes), block 2.
- self.storage\_aors\_available\_b0 (Series) Remaining storage areas of review available, block 0.
- **self.storage\_aors\_available\_b1** (*Series*) Remaining storage areas of review available, block 1.
- self.storage\_aors\_available\_b2 (Series) Remaining storage areas of review available, block 2.
- **self.duration\_b0** (*int*) Time period 0 duration (years).
- self.duration\_b1 (int) Time period 1 duration (years).
- **self.duration\_b2** (*int*) Time period 2 duration (years).
- **self.duration\_45q** (*int*) Duration of 45Q tax credit eligibility (years).
- **self.discount\_invest\_storage\_b0** (*Series*) Discount rate for investment in storage, block 0.
- **self.discount\_invest\_storage\_b1** (*Series*) Discount rate for investment in storage, block 1.
- **self.discount\_invest\_storage\_b2** (*Series*) Discount rate for investment in storage, block 2.
- **self.discount\_invest\_transport\_b0** (*Series*) Discount rate for investment in transportation, block 0.
- **self.discount\_invest\_transport\_b1** (*Series*) Discount rate for investment in transportation, block 1.
- **self.discount\_invest\_transport\_b2** (*Series*) Discount rate for investment in transportation, block 2.
- self.discount\_variable\_b0 (Series) Discount rate for variables, block
   0.
- self.discount\_variable\_b1 (Series) Discount rate for variables, block
- self.discount\_variable\_b2 (Series) Discount rate for variables, block
   2.
- **self.discount\_policy\_b0** (*Series*) Discount rate for policy, block 0.
- self.discount\_policy\_b1 (Series) Discount rate for policy, block 1.
- **self.discount\_policy\_b2** (*Series*) Discount rate for policy, block 2.

Write CCATS variables that need to be passed between model iterations to .pkl files.

Parameters: None

#### Returns:

- **self.parent.pkl.preproc\_i\_storage\_df** (*DataFrame*) DataFrame of storage formations input data.
- self.parent.pkl.preproc\_i\_co2\_supply\_facility\_df (DataFrame) —
   DataFrame of CO<sub>2</sub> cost curve from NETL input data.
- self.parent.pkl.preproc\_i\_pipeline\_lookup\_df (DataFrame) —
   DataFrame of CO<sub>2</sub> pipeline lookup table input data.
- self.parent.pkl.preproc\_i\_eor\_demand\_df (DataFrame) DataFrame of CO<sub>2</sub> EOR site CO<sub>2</sub> demanded - input data.
- **self.parent.pkl.preproc\_i\_eor\_cost\_net\_df** (*DataFrame*) DataFrame of CO<sub>2</sub> EOR net cost for CO<sub>2</sub> input data.
- **self.parent.pkl.preproc\_i\_ts\_multiplier\_df** (*DataFrame*) DataFrame of multipliers for ts-ts node arcs input data.
- **self.parent.pkl.preproc\_pipes\_existing\_df** (*DataFrame*) DataFrame of existing CO<sub>2</sub> pipeline infrastructure in a given model year.
- self.parent.pkl.preproc\_storage\_existing\_df (DataFrame) —
   DataFrame of existing CO<sub>2</sub> storage infrastructure in a given model year.
- self.parent.pkl.preproc\_co2\_facility\_eligibility\_df (DataFrame) —
   DataFrame of CO<sub>2</sub> facility 45Q eligibility.

### summarize\_inputs()

[source]

Output debug file of preprocessor data summary.

Parameters: None Return type: None

### visualize\_inputs()

[source]

Visualize preprocessed data.

Parameters: None Return type: None

# pyscedes module

PyScedesAll Created on April 4 2023 @author: jmw

PyScedesAll is a Python function for parsing the scedes.all file into a user class that can be passed between Python programs for use in NEMS. This code sets a dictionary of scedes keys in the user class.

class pyscedes.User(scedes\_dict)
 Bases: object
 \_\_init\_\_(scedes\_dict)

pyscedes.find\_keys\_sed()

pyscedes.parse\_scedes\_file(filename)

[source]

Parameters: NEMS (filename- currently hardcoded to the scedes.all file inside of the local

folder of)

Return type: scedes dict-dictionary of scedes keys

## restart module

Class for handling the Restart File in CCATS.

## **Restart: Summary**

The Restart: Summary module reads in restart variables from the restart file, stores these variables in a class dictionary, and writes these CCATS output variables back to the restart file after CCATS processes have been run. The restart file is read from, and written to, using **self.parent.pyfiler1.pyd**, which is maintained by the Integration team. The module operates as follows:

- 1. run() is called from the Module: Summary parent class to read in the restart file.
- 2. run() calls the *read\_filer* method from **pyfiler1**. This method loads all the NEMS restart variables into a class dictionary. Using the "output" argument of *read\_filer* a second "output" dictionary is also instantiated, indicating which variables need to be output back to the restart file at the end of each CCATS iteration.
- 3. CCATS restart variables are instantiated, read into appropriately indexed dataframes, and offset by calendar years in the relevant indices. Once this is done, CCATS operations move back to Module: Summary.
- 5. Restart variables are updated in the various CCATS modules.
- 6. In the ccats.run\_ccats() function of ccats the write\_results() function in Restart: Summary is called.
- 7. Each instantiated output variable is called from the Restart: Summary class dictionary, re-sized to match restart file formatting, and then written to the appropriate restart variable in the restart file using the *write filer* method of **pyfiler1**.

### Restart: Model Functions and Class Methods

- \_\_init\_\_() Constructor to initialize Restart submodule (instantiated by module.Module.setup() in Module: Summary).
- run() Calls the read\_filer method from self.parent.pyfiler1 and loads the restart file into CCATS (called by module.Module.setup()).
- add\_int() Function for adding an integer from the restart file into CCATS as an integer (called by \_\_init\_\_()).
- add\_df() Function for adding a dataframe from the restart file into NEMS (called by init ()).
- write\_results() Repacks local restart file variables into multi-dimensional arrays, and writes
  to restart file (called by ccats.run\_ccats()).
- dump restart() Dumps restart file to .xlsx debug file (called by write results()).

### Restart: Output Debug Files

**rest\_all\_<cycle>.xlsx** - Debug of CCATS output restart variables, where <cycle> is the current NEMS cycle.

### Restart: Code

```
[source]
class restart.Restart(parent)
   Bases: object
   Class for handling the Restart File in CCATS
   __init__(parent)
                                                                              [source]
       Initializes Restart object.
        Parameters: parent (str) – Module.Module (Pointer to parent module)
        Return type: None
   parent
       module Module head module
   output_path
       output path
   df_dict_in
   df_dict_out
   int dict in
   int dict out
                                                                              [source]
   run(temp filename, temp rest)
```

- Determines if CCATS is running integrated in NEMS or standalone.
  - If integrated, pass, since pyfiler1 is imported from main.py containing the complete restart file.
  - If standalone, read in the restart file.

Calls the read filer method from **pyfiler1** and loads the restart file into CCATS.

 Then instantiate all the restart variables and fill the Restart: Summary class dictionary with the CCATS restart variable keys.

Parameters: temp\_filename (*str*) – Restart filename.

Returns: self.\_\_dict\_\_ – Class dictionary of CCATS restart variables read in from the restart file.

Return type: dictionary

[source]

Function for reading an integer from the restart file into CCATS as an integer.

**Parameters:** • dict\_name (str) – Name of restart variable or parameter in pyfiler1.

- restart\_ref (int) Integer data value from pyfiler1.
- **output** (*bool*) Boolean of whether the restart variable is an output value of CCATS.

**Returns:** restart\_ref – Integer value from the restart file.

Return type: int

### add\_df(dict\_name, restart\_ref, output, offset=None)

[source]

Function for reading an array from the restart file into CCATS as a DataFrame.

**Parameters:** • dict\_name (str) – Name of restart variable or parameter in pyfiler1.

- restart ref (int) Integer data value from pyfiler1.
- **output** (*bool*) Boolean of whether the restart variable is an output value of CCATS.
- **offset** (*list*) List indicating output DataFrame index value offset.

**Returns: df** – Restart variable DataFrame.

Return type: DataFrame

#### write\_results(temp\_filename)

[source]

Repacks local restart file variables into multi-dimensional arrays, and writes these to the restart file.

- Loop through the class dictionary based on keys in int\_dict\_out and df\_dict\_out,
- Split out each of the dictionary keys into different variables,
- Use "getattr" to produce the output array index,
- Set the output array index with "setattr", and
- These arrays are returned to main.py via pyfiler1.

**Parameters:** temp filename (*str*) – Restart File name.

Return type: None

### dump\_restart()

[source]

Dumps restart file to .xlsx debug file.

Return type: None

# submodule\_ccats module

Generic submodule class of CCATS.

- Used to declare variables at the child-level that are universally used across CCATS submodules.
- i.e. self.input path = self.parent.input path

#### **Example**

import submodule as sub

### Submodule: Code

```
[source]
class submodule ccats.Submodule(parent, submodule name)
   Bases: object
   Generic submodule for CCATS.
    Parameters: • parent (module. Module) – Pointer to head module
                  • submodule_name (str) – Name of submodule (e.g. 'Offshore')
   __init__(parent, submodule name)
                                                                                 [source]
   setup(setup filename)
                                                                                 [source]
       Setup General Submodule for CCATS
        Parameters: setup_filename (str) – Path to submodule setup file.
       Examples
       def setup(self):
           super().setup(setup filename)
        Return type: None
   run()
                                                                                 [source]
       Run General Submodule for CCATS.
       Examples
       def run(self):
           logger.info('Running Preprocessor') super().run()
        Return type: None
```