

Reporting For Calendar Year

**Please read the instructions provided before completing this form.**

**NOTICE:** This report is **mandatory** under the Federal Energy Administration Act of 1974 (Public Law 93-275). Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by law. For further information concerning sanctions and data protections see the provision on sanctions and the provision concerning the disclosure of information in the instructions. **Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.**

**GENERAL REPORTING INSTRUCTIONS:** Form EIA-8A shall be submitted by all coal brokers, coal traders and coal terminals in the 50 United States and the District of Columbia that owned stocks of 10,000 or more short tons of coal at the end of the reporting year. Companies that take custody (physical possession) of the coal and transport but never own the coal need not report.

**On December 31<sup>st</sup> of the reporting year, did you own 10,000 or more short tons of coal stocks?**  Yes  No  
**If you checked Yes above then complete the remainder of the survey. If you checked No above, then return the survey as instructed in the General Instructions, item D.**

### I. Identification

**A. Status Change:** Did the ownership change during the year?

Yes  No

If **Yes**, give date of change and, as applicable, the name and address of the new owner.

<input type="text"/>	<input type="text"/>	<input type="text"/>
Month	Day	Year

Name of New Owner

Address of New Owner (Street) (City) (County) (State) (Zip Code)

New Owner E-mail New Owner Phone Number New Owner Fax Number

*Please make any corrections to the mailing label in the area provided at the right and return the completed form in the business reply envelope provided.*

### B. Preparer Information

Preparer's Name:  
Preparer's Company Name:  
Preparer's Street Address:  
Preparer's City, State, Zip:  
Preparer's Phone #:  
Preparer's Fax #:  
Preparer's E-mail:

**C. Reporting Company Contact Information.** The company contact person is a person who has oversight responsibility for responding to this data request and ordinarily is not the person preparing the response.

Company Contact Name:  
Company Contact Title:  
Company Contact Company Name:  
Company Contact Street Address:  
Company Contact City, State, Zip:  
Company Contact Phone #:  
Company Contact Fax #:  
Company Contact E-mail:

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**II. Coal Stocks Owned by Respondent (Include Anthracite and Bituminous Refuse)**

**A. Location State.** Please enter separate stocks data for each State where your company held stocks. See instructions for State abbreviations.

**B. Ending Coal Stocks.** Please report the amount of coal stocks in short tons owned and held by your company at the end of the reporting year. **Do not include pit inventory in coal stocks.** Coal stocks are defined to be coal that has been mined or purchased and stored awaiting shipment or transfer to a user. Pit inventory is coal in place which has been surveyed or prepared for mining.

Coal stocks do not include coal that is in-transit (in rail cars or vessels) at year-end.

EIA-8A coal stocks shall not include coal that is reported as coal stocks on the EIA-923, Power Plant Operations Report, survey form or the EIA-7A, Coal Production and Preparation Report. Coal stocks reported on the EIA-923 include on-site and off-site (i.e., transfer terminal) electric utility coal stockpiles.

A coal producer/processor/preparer reports the stocks held at the end of the reporting year on the EIA-7A survey form. A coal producer/processor/preparer does not file the EIA-8A survey form unless in a separate operation it acts as a trader or broker and meets the 10,000 or more short tons of stocks threshold.

<b>A</b> Location of Stocks (one entry per State): (State)	<b>B</b> Ending Stocks (Short Tons)

**III. Remarks (if additional space is needed, use a separate sheet of paper)**

**IV. Point of Contact.** Enter the name, title, telephone number and e-mail address of your company representative who can answer questions regarding information provided on this form.

<b>Name</b>	<b>Title</b>
<b>Telephone Number</b>	<b>E-mail Address</b>

**General Instructions:**

**A. PURPOSE.** This survey collects coal stocks data from U.S. coal brokers, coal traders and coal terminals. Information on coal stocks is collected to provide Congress with basic statistics concerning consumer use as required by the Federal Energy Administration Act of 1974 (FEAA) (P.L. 93-275), as amended. These data appear in the *Annual Coal Report*, the *Quarterly Coal Report*, and the *Monthly Energy Review*. In addition, the Energy Information Administration uses the data in short-term and long-term models such as the Short-Term Integrated Forecasting System (STIFS) and the National Energy Modeling System (NEMS) Coal Market Module. The forecast data also appear in the *Short-Term Energy Outlook* and the *Annual Energy Outlook* publications.

**B. WHO MUST SUBMIT.** Form EIA-8A shall be submitted by all coal brokers, coal traders and coal terminals in the 50 United States and the District of Columbia that owned stocks of 10,000 or more tons of coal at the end of the reporting year. Companies that take custody (physical possession) of the coal and transport but never own the coal need not report. Stocks held in individual States can be less than 10,000 short tons but the total of stocks held over all States shall be 10,000 short tons or more to trigger the requirement for filing the EIA-8A.

**C. WHEN TO SUBMIT.** Form EIA-8A shall be submitted no later than April 1 of the year following the reporting year.

**D. WHERE TO SUBMIT.** Respondents can submit data for this survey by e-mail, Secure File Transfer, mail, or facsimile. Any questions regarding the submission of this form can be directed to the Survey Manager, Mary Lilly, by e-mail at [mary.lilly@eia.doe.gov](mailto:mary.lilly@eia.doe.gov) or by phone at (202) 586-1490.

Secure Communication Methods:

By Secure File Transfer (SFT) form sent via: <https://signon.eia.doe/upload/notice8.jsp>

By Mail: Energy Information Administration, EI-52  
CNEAF - CNRD  
U.S. Department of Energy  
1000 Independence Avenue, SW  
Washington, DC 20585  
Attn: EIA-8A

Non-secure Communication Methods:

By facsimile: (202) 287-1944  
Attn: Form EIA-8A

Requests for further information, additional forms and instructions, or an extension of the reporting deadline may be directed to the Survey Manager at the address above or by telephone at (202) 586-1490.

**E. SANCTIONS.** The timely submission of Form EIA-8A by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275) as amended. Failure to respond may result in a civil penalty of not more than \$2,750 per day for each violation, or a fine of not more than \$5,000 per day for each willful violation. The government may bring a civil action to prohibit reporting violations which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such a civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements. Title 18 U.S.C. §1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

**F. GENERAL INSTRUCTIONS.** Complete all data items on the form applicable to your operation. All figures should be rounded to the nearest whole number. Report all tonnage in short tons (2,000 pounds). Blanks will be interpreted as zeros; therefore it is not necessary to enter "not applicable" (NA) or dashes or zeroes where you have no data to report.

**G. REPORTING REQUIREMENTS AND BURDEN.** This report is mandatory under Public Law 93-275. Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by law. For the provisions concerning the confidentiality of information submitted on this form, see Part I of the instructions. Public reporting burden for this collection of information is estimated to average 0.95 hours per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Statistics and Methods Group, EI-70, Forrestal, 1000 Independence Ave., SW, Washington, DC 20585; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

## H. SPECIFIC INSTRUCTIONS.

**Coal Stocks:** Coal that has been mined or purchased and stored awaiting shipment or transfer to a user.

Coal stocks shall not include coal that is in-transit (in rail cars or vessels) at year-end.

EIA-8A coal stocks shall not include coal that is reported as coal stocks on the EIA-923, Power Plant Operations Report, survey form or the EIA-7A, Coal Production and Preparation Report. Coal stocks reported on the EIA-923 include on-site and off-site (i.e., transfer terminal) electric utility coal stockpiles.

A coal producer/processor/preparer reports the stocks held at the end of the reporting year on the EIA-7A survey form. A coal producer/processor/preparer does not file the EIA-8A survey form unless in a separate operation it acts as a trader or broker and meets the 10,000 or more short tons of stocks threshold.

An entity may be a producer in a particular State and a broker in that same State or another State. The entity shall report any stocks associated with its production on the EIA-7A form, and any stocks associated with its purchase of coal for brokering or trading on the EIA-8A form.

**Pit Inventory:** Coal in place which has been surveyed or prepared for mining.

## I. DISCLOSURE OF INFORMATION.

The information reported on these forms will be protected and not disclosed to the public to the extent that it satisfies the criteria for exemption under the Freedom of Information Act (FOIA), 5 U.S.C. §552, the DOE regulations, 10 C.F.R. §1004.11, implementing the FOIA, and the Trade Secrets Act, 18 U.S.C. §1905.

The Federal Energy Administration Act requires the Energy Information Administration to provide company-specific data to other Federal agencies when requested for official use. The information reported on these forms may also be made available, upon request, to another component of the Department of Energy (DOE); to any Committee of Congress, the Government Accountability Office, or other Federal agencies authorized by law to receive such information. A court of competent jurisdiction may obtain this information in response to an order. The information may be used for any nonstatistical purposes such as administrative, regulatory, law enforcement, or adjudicatory purposes.

Disclosure limitation procedures are applied to the statistical data published from survey information to ensure that the risk of disclosure of identifiable information is very small.

Upon request specific to any of the forms, the name, address, and location (state or zip code) of the responding companies will be released in the form of a full or partial list of respondents.

### Instructions for Section II – Coal Stocks Owned by Respondent

**A. Location of Stocks.** Enter the code for the state where the stocks are located from the following list:

AL – Alabama	IL – Illinois	MT – Montana	RI – Rhode Island
AK – Alaska	IN – Indiana	NE – Nebraska	SC – South Carolina
AZ – Arizona	IA – Iowa	NV – Nevada	SD – South Dakota
AR – Arkansas	KS – Kansas	NH – New Hampshire	TN – Tennessee
CA – California	KY – Kentucky	NJ – New Jersey	TX – Texas
CO – Colorado	LA – Louisiana	NM – New Mexico	UT – Utah
CT – Connecticut	ME – Maine	NY – New York	VT – Vermont
DE – Delaware	MD – Maryland	NC – North Carolina	VA – Virginia
DC – District of Columbia	MA – Massachusetts	ND – North Dakota	WA – Washington
FL – Florida	MI – Michigan	OH – Ohio	WV – West Virginia
GA – Georgia	MN – Minnesota	OK – Oklahoma	WI – Wisconsin
HI – Hawaii	MS – Mississippi	OR – Oregon	WY – Wyoming
ID – Idaho	MO – Missouri	PA – Pennsylvania	